

In Vivo Dermal Open Flow Microperfusion: *A Novel Approach to Evaluating Topical Bioavailability and Bioequivalence*



Funding for this project was made possible, in part, by the Food and Drug Administration through grants 1U01FD004946 and 1U01FD005861. The views expressed in this presentation do not reflect the official policies of the Food and Drug Administration, or the Department of Health and Human Services; nor does any mention of trade names, commercial practices, or organization imply endorsement by the United States Government. The human research study was approved by the FDA Research Involving Human Subject Committee (RIHSC) and the local Institutional Review Board (IRB) of the Medical University Graz, Austria

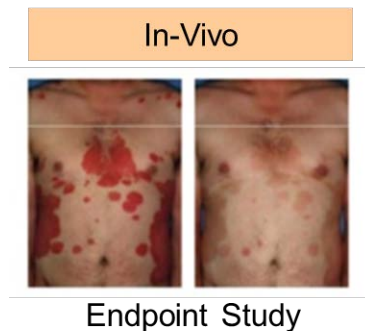
Dermal Open Flow Microperfusion *Vision*

2

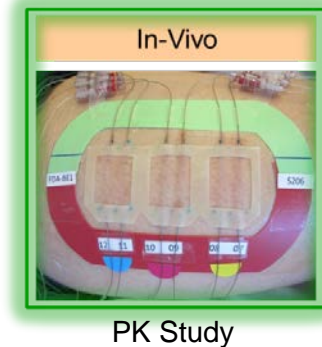
FDA approval for topical generic drugs - with some exceptions – requires a

Comparative Clinical Endpoint Bioequivalence Study

Vision: Using dOFM for PK-based Bioequivalence Studies



Patients
Hundreds to thousands
Several month to years



Healthy subjects
20 - 40
Few weeks

Skin PK-based BE approaches

3

Strengths

1. Provide a direct in-vivo measurement of the rate and extent of the active moiety at or near the site of action in the skin.
2. Evidence indicates that dermal sampling has the potential to differentiate pharmacokinetic profiles by their magnitude.

Challenges

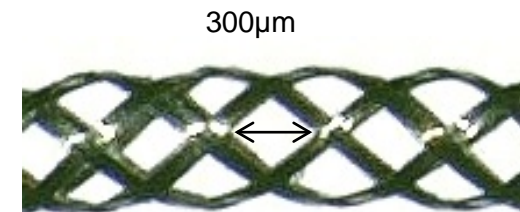
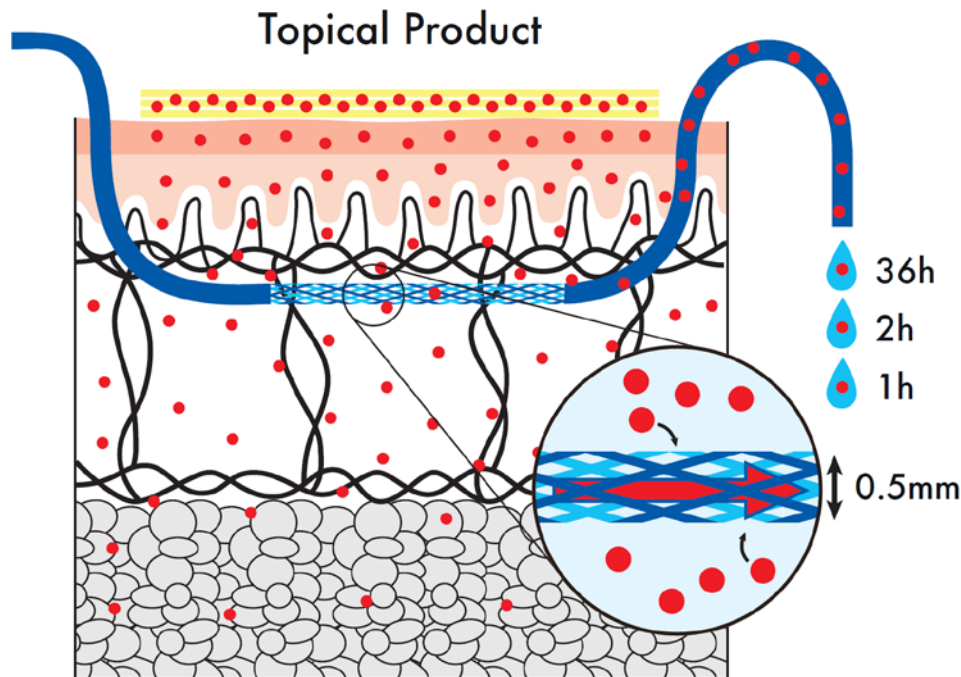
1. Existing sampling methods have limitations.
 2. Limited sampling time, often < 8 hours.
 3. High variability of skin PK data.
-

Skin PK-based BE approaches

Open Flow Microperfusion

4

✓ OFM samples represent diluted but unfiltered interstitial fluid



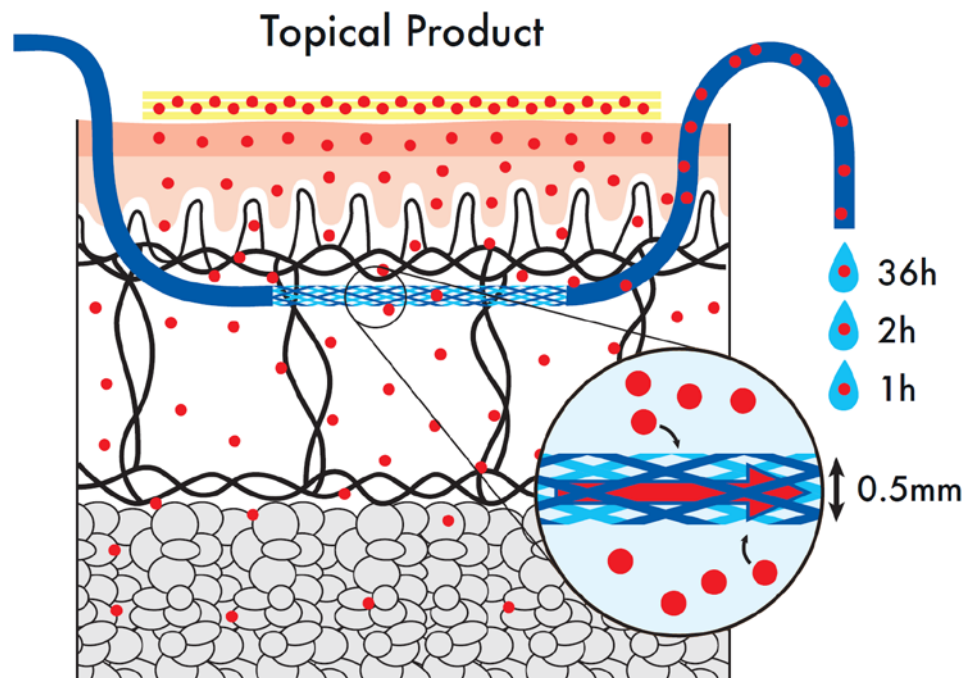
CE-certified for clinical use

Skin PK-based BE approaches

Open Flow Microperfusion

5

✓ All drugs are accessible in-vivo in the dermis



lipophilic substances

Bodenlenz et al. 2016 (CP-17; logP 3.5)
Holmgaard et al. 2011 (Fentanyl; logP 4.5)

high molecular weight substances (up to cells)

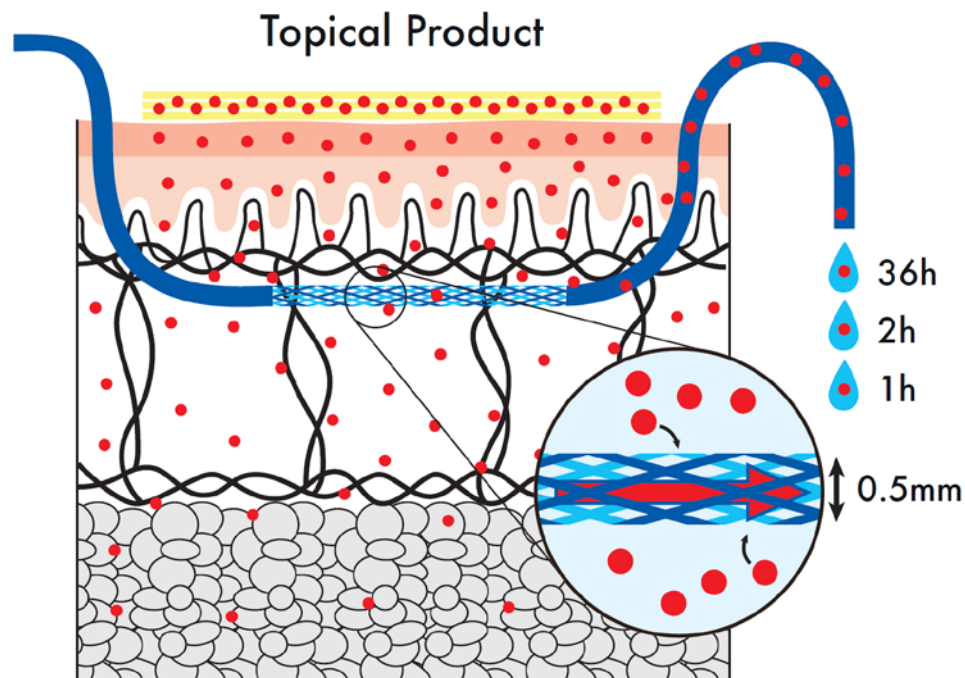
Dragatin et al. 2016
(Quantification of antibodies in skin)
Kolbinger et al. 2016
(Cytokines in the skin in healthy & patients)

Skin PK-based BE approaches

Open Flow Microperfusion

6

✓ dOFM shows dose dependent dermal AUC profiles



Clinical dOFM studies in skin:

Corticoid (topical) – 26 h clinical
Antibody (SC) – 17 h clinical

Skin PK-based BE approaches using dOFM

7

Strengths

1. Provide a direct in-vivo measurement of the rate and extent of the active moiety at or near the site of action in the skin.
2. Evidence indicates that dermal sampling has the potential to differentiate pharmacokinetic profiles by their magnitude.

Challenges

1. Limitations of existing sampling methods
 - no limitation as dOFM samples diluted ISF
 2. Limited sampling time, often < 8 hours
 - no limitation as dOFM samples up to 48 hours
 3. High variability of skin PK data
 - optimization of dOFM during the project
-

Clinical Bioavailability

Overall Approach

8

Overall AIM: Investigate the capability of dOFM to address BE and non-BE of topical formulations in-vivo.

- Head-to-head comparison within one subject to minimize inter-subject effect on BE.
- Use application-triplets with
 - two separate application sites for reference product → for BE
 - one application site for a non-Q1 product → for non-BE
- Healthy subjects with intact skin integrity for best discrimination of formulations.
- Use a drug for which skin PK was never successfully monitored in healthy subjects.



dOFM

Controlled or Monitored Parameters

9

✓ **Controlling all significantly contributing factors which add data variability - or at least monitoring them.**

Variations may result from differences in

Hairiness

→ not controlled

Hair shaving

→ **subjects are shaved 5 days before dOFM visit**

Sweat duct

→ not controlled

Skin barrier (stratum corneum) properties

→ **monitored by TEWL and Impedance**

Skin care products use

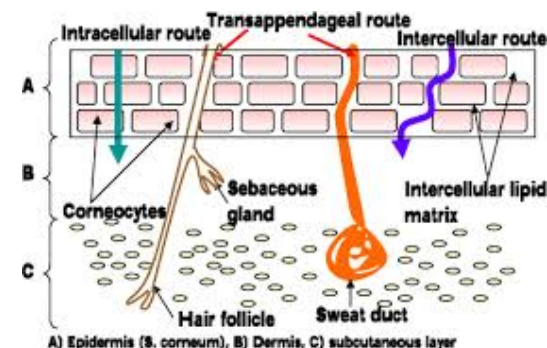
→ **not allowed 5 days before dOFM visit**

Skin condition (e.g. Solarium)

→ **visual check at screening visit**

Room temperature and humidity

→ **controlled at $22 \pm 1^\circ \text{C}$; 40 - 60% rel. humidity**



dOFM

Controlled or Monitored Parameters

10

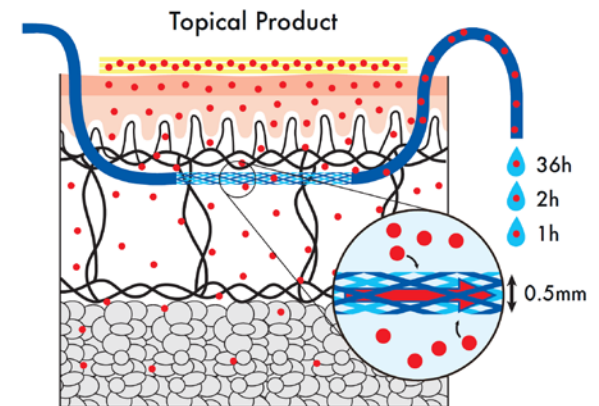
✓ **Controlling all significantly contributing factors which add data variability - or at least monitoring them.**

Variations may result from differences in

- Trauma formation
- Application site
- Dosage application
- Probe depth
- Flow rate
- Local blood flow
- Lateral diffusion and cross-talk
- Systemic absorption and cross-talk

Universal Parameters

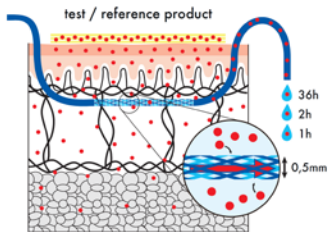
Drug Dependent Parameters



dOFM *Trauma formation*

11

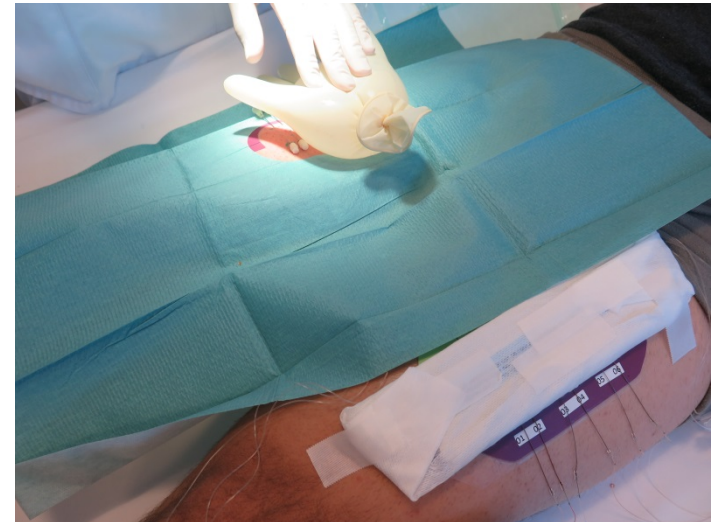
✓ **Minimized trauma formation by cooling.**



Variations may result from differences in

Trauma formation

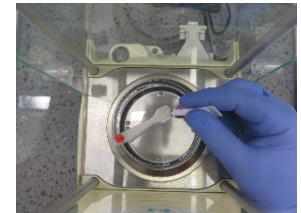
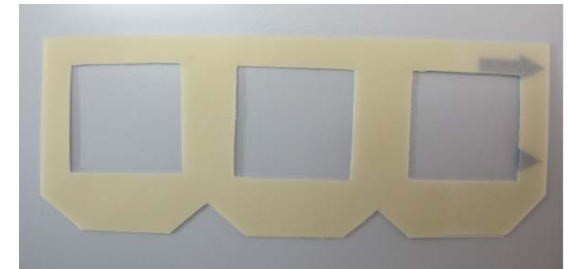
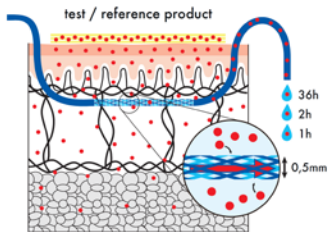
- Application site
- Dosage application
- Probe depth
- Flow rate
- Local blood flow
- Lateral diffusion and cross-talk
- Systemic absorption and cross-talk



**Standardized by cooling
after dOFM insertion**

dOFM *Drug application*

✓ **Homogeneous drug application by using an application template.**



Variations may result from differences in

Trauma formation

Application site

Dosage application

Probe depth

Flow rate

Local blood flow

Lateral diffusion and cross-talk

Systemic absorption and cross-talk

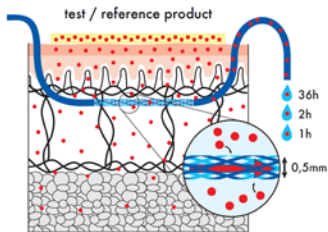
**Standardized by use of
application template**

and

**Standardization of
application**

dOFM *Probe depth*

✓ dOFM probe depth measurement for each probe.



Variations may result from differences in

Trauma formation

Application site

Dosage application

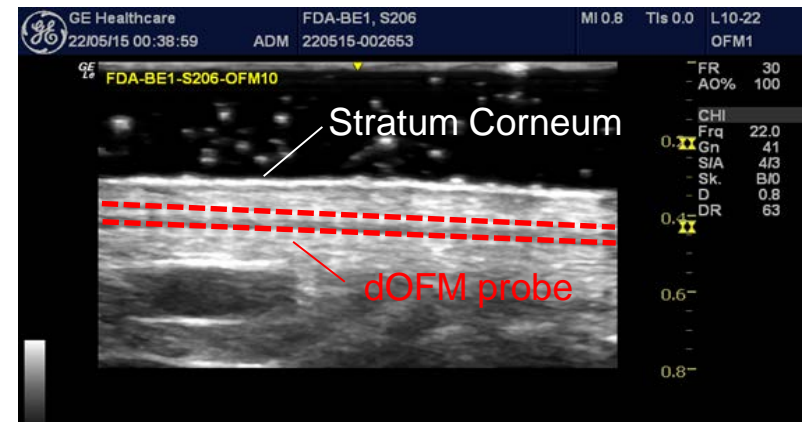
Probe depth

Flow rate

Local blood flow

Lateral diffusion and cross-talk

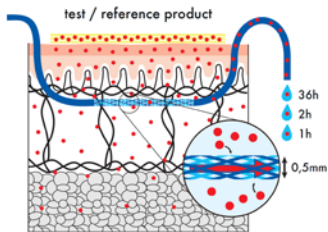
Systemic absorption and cross-talk



Depth of exchange area measured by
ultrasound

dOFM *Flow rate*

✓ **Stable flow rate of dOFM probes over 36 hours.**



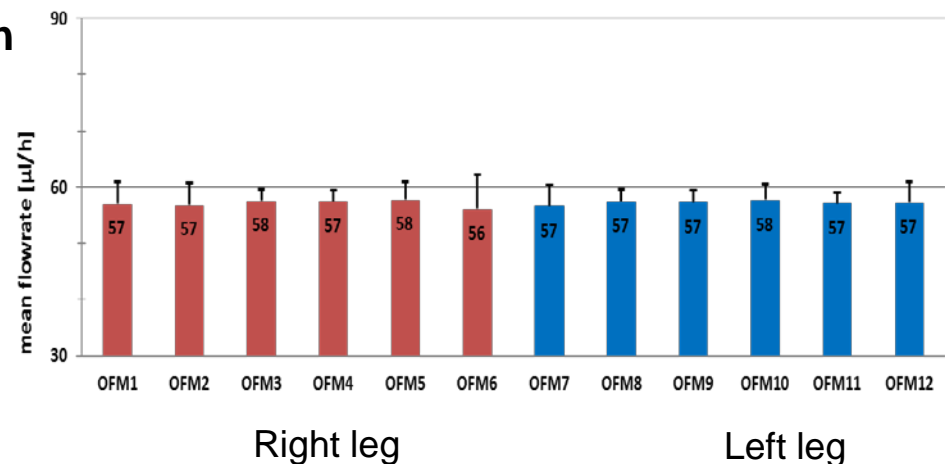
Variations may result from differences in

- Trauma formation
- Application site
- Dosage application
- Probe depth

Flow rate

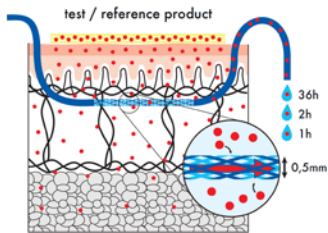
- Local blood flow
- Lateral diffusion and cross-talk
- Systemic absorption and cross-talk

Flow rates of all probes in one subject



dOFM *Local blood flow*

✓ **Monitoring local blood flow by internal standard in OFM perfusate.**



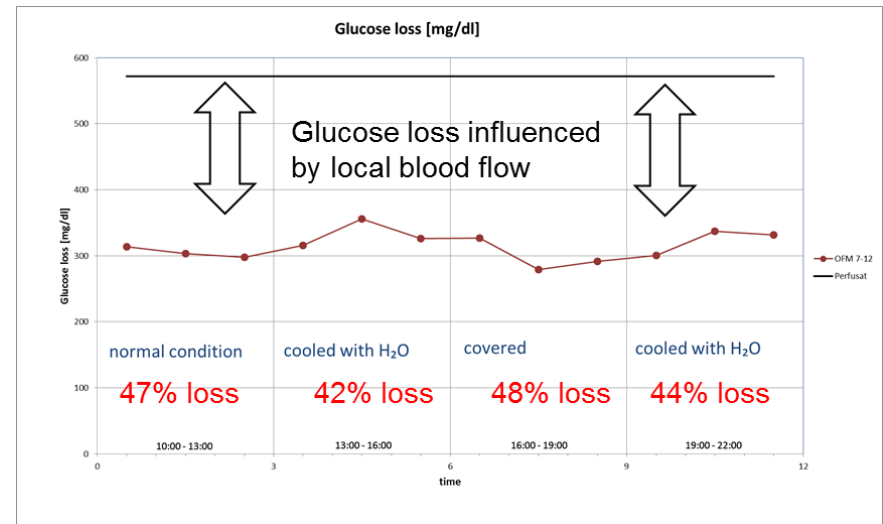
Variations may result from differences in

- Trauma formation
- Application site
- Dosage application
- Probe depth
- Flow rate

Local blood flow

- Lateral diffusion and cross-talk
- Systemic absorption and cross-talk

Local blood flow monitoring by loss of glucose from dOFM perfusate



dOFM

Lateral diffusion and cross-talk

16

✓ **Lateral diffusion for acyclovir is negligible.**

Lateral Diffusion between adjacent application sites

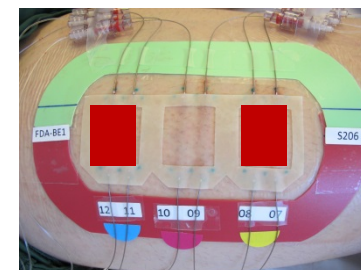
- $R = \frac{|\#dOFM\ Samples\ BLANC\ SITES > LLOD|}{|\#dOFM\ Samples\ US\ ZOVIRAX\ SITES > LLOD|}$
- Definition: no lateral diffusion if $R < 0.05$

Methodology

- results from all 6 subjects of phase 1
- 10.000 bootstrap estimates were computed
- creation of confidence interval for the true population value of the test statistic R
- a one-sided 95% confidence interval was constructed

Results

MIN	MEDIAN	P90	P95	P99	MAX
.007633588	0.076336	0.10853	0.11831	0.13492	0.18248



US Zovirax
Very high dose
of 50 mg/cm²

dOFM

Systemic absorption and cross-talk

17

✓ **No systemic exposure and thus no influence on PK of dOFM site.**

Test for Systemic Exposure

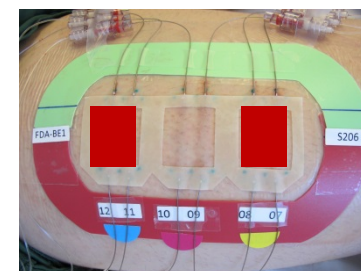
- $$R = \frac{|\#Blood\ Samples > LLOD|}{|\#Total\ Blood\ Samples|}$$
- Definition: no systemic exposure if $R < 0.05$

Methodology

- 6 subjects, 6 application sites
- 10.000 bootstrap estimates were computed
- creation of confidence interval for the true population value of the test statistic R
- a one-sided 95% confidence interval was constructed

Results

MIN	MEDIAN	P90	P95	P99	MAX
0	0.012821	0.025641	0.038462	0.051282	0.064103

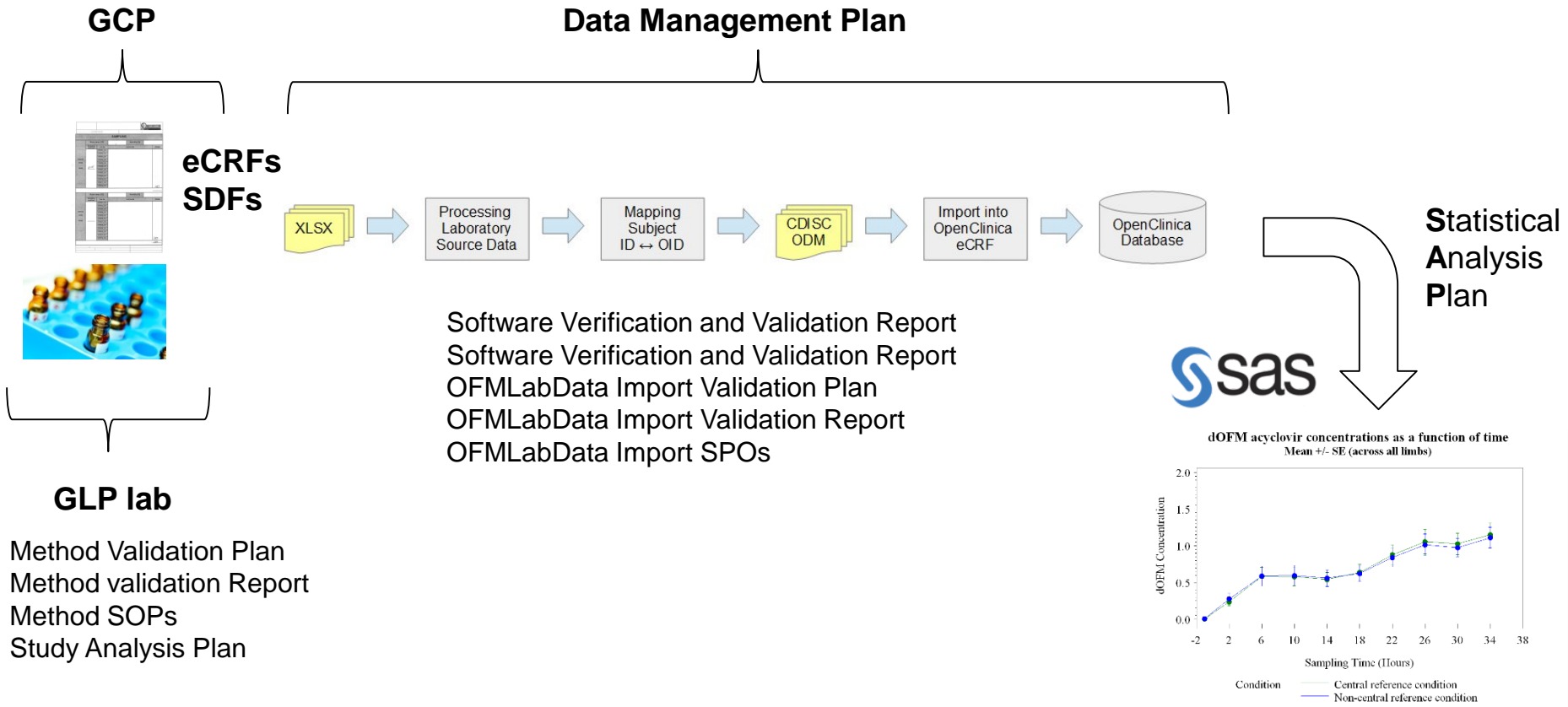


US Zovirax
Very high dose
of 50 mg/cm²

dOFM Quality management systems

18

✓ High quality standards are key to reliable skin PK studies.

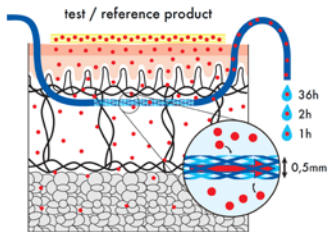


dOFM

Controlled or Monitored Parameters

19

✓ **Highly controlled set-up has been developed.**



Variations may result from differences in

- | | | |
|------------------------------------|---|---|
| Trauma formation | → | Controlled by cooling |
| Application site | → | Controlled by application template |
| Dosage application | → | Controlled by standardization |
| Probe depth | → | Monitored by ultrasound |
| Flow rate | → | Monitored by sample weight |
| Local blood flow | → | Monitored by glucose marker |
| Lateral diffusion and cross-talk | → | Negligible |
| Systemic absorption and cross-talk | → | No systemic exposure |

Comparative IVRT study

Investigated drugs

20

✓ All 5% acyclovir creams investigated.

- Reference product Zovirax cream 5% (GSK, U.S.) was compared against itself and six test products:
 - Zovirax cream 5% (GSK, Vienna, Austria)
 - Zovirax ointment 5% (GSK, U.S.)
 - Aciclostad 5% (STADA, Austria)
 - Aciclovir 1A Pharma Cream 5% (1A Pharma, Austria)
 - Antiviral cold Sore cream 5% (Boots, UK)
 - Zovirax cold Sore cream 5% (GlaxoSmithKline, Brentford, UK)
- Statistical method:
Mann-Whitney U test according to
USP general chapter <1724>



Comparative IVRT study

Apparatus qualification

21

✓ **IVRT apparatus qualification was passed successfully.**

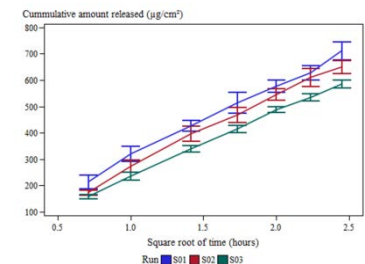
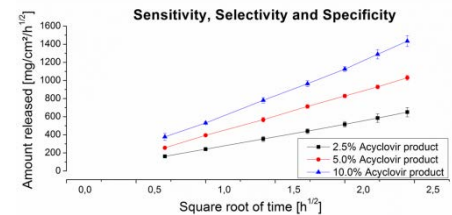
PARAMETER	ACCEPTANCE CRITERIA		RESULTS		
	Intercell Variability (Precision)	Accuracy	Range of variation V	Mean	Pass
Volume of the cells	$V \leq 0.48 \text{ mL}^{1)}$	$\bar{x}_i \in [12 + 0.6 \text{ mL}, 12 - 0.6 \text{ mL}]$ <i>for</i> $1 \leq i \leq 6^{4)}$	0.33 mL	9.77 mL	No
Diameter of the orifice	$V \leq 0.45 \text{ mm}^{2)}$	$\bar{x}_i \in [15 + 0.75 \text{ mm}, 15 - 0.75 \text{ mm}]$ <i>for</i> $1 \leq i \leq 6^{4)}$	0.05 mm	15.01 mm	Yes
Temperature of the receptor medium	-	$\bar{x}_i \in [32 + 1 \text{ }^\circ\text{C}, 32 - 1 \text{ }^\circ\text{C}]$ <i>for</i> $1 \leq i \leq 6$	0.23 °C	31.98°C	Yes
Speed of the magnetic stirrer	$V \leq 12 \text{ rpm}^{3)}$	$\bar{x}_i \in [600 + 60 \text{ rpm}, 600 - 60 \text{ rpm}]$ <i>for</i> $1 \leq i \leq 6^{5)}$	1.77 rpm	597.98 rpm	Yes
Dispensed sampling volume	-	$\bar{x}_i \in [500 + 15 \text{ } \mu\text{L}, 500 - 15 \text{ } \mu\text{L}]$ <i>for</i> $1 \leq i \leq 6^{3)}$	10.76 μL	492.40 μL	Yes

„A Comprehensive Approach to Qualify and Validate the Essential Parameters of an In Vitro Release Test (IVRT) Method for Acyclovir Cream, 5%“ – **published online International Journal of Pharmaceutics – OPEN ACCESS**

Comparative IVRT study *IVRT method validation*

✓ **IVRT method validation for acyclovir was passed successfully.**

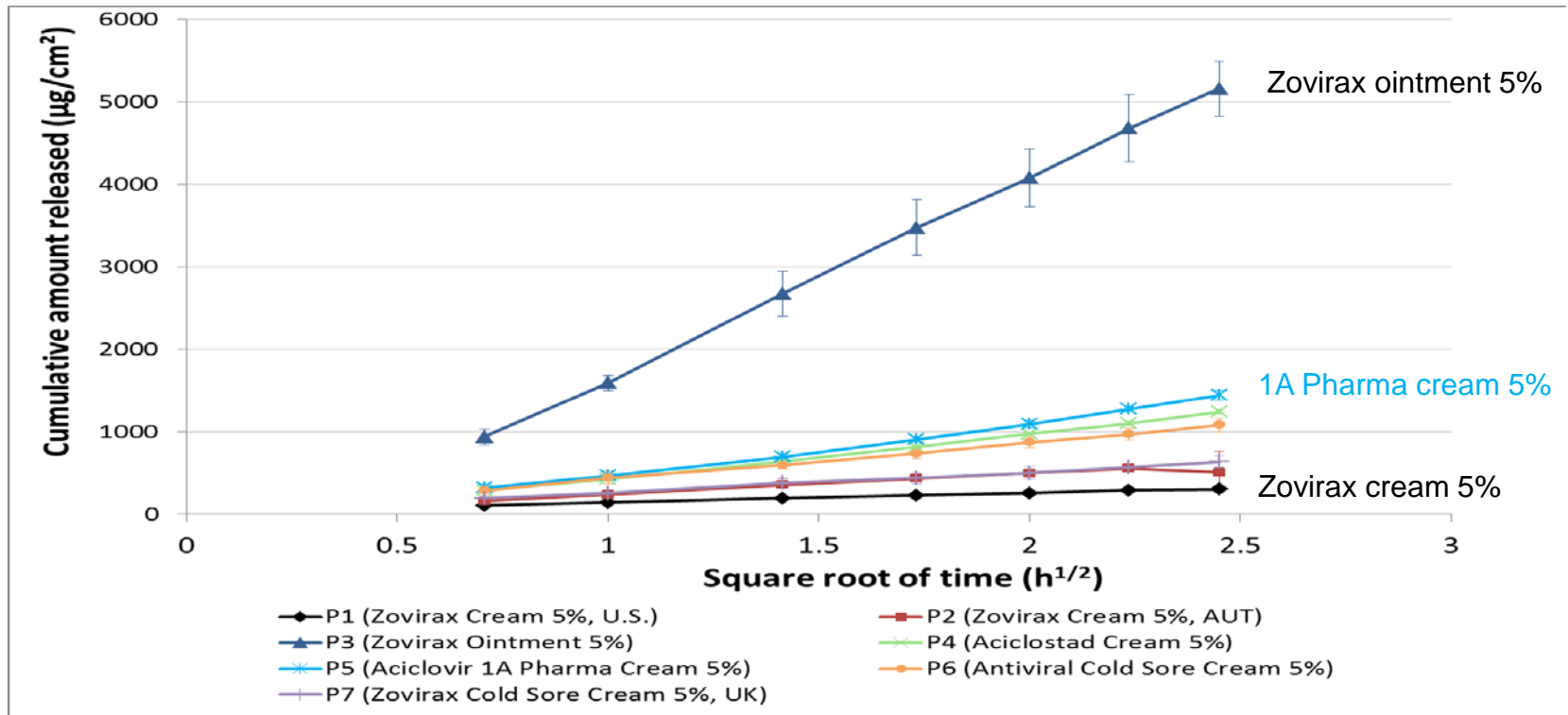
Parameter	Acceptance Criteria	Passed
Membrane Inertness	No acyclovir binding on the membrane: Recovery of 105.5%	✓
Receptor medium solubility	Solubility > 10 times higher than the maximum acyclovir concentration in the receptor medium observed during the IVRT study	✓
Linearity	Lowest R ² : 0.97, no outlier	✓
Precision and Reproducibility	Inter-run variability 5.8%; intra-run variability 4.4%	✓
Sensitivity	Mean release rate increased with increasing acyclovir concentration	✓
Specificity	Linear regression model (release rate versus product concentration) R ² = 0.943	✓
Selectivity	IVRT method accurately identify in-equivalent and equivalent acyclovir products	✓
Robustness	Release rate for temperature and stirring speed variation deviate < 15%	✓
Recovery	< 10%; no excessive acyclovir depletion	✓



Comparative IVRT study Results

23

✓ IVRT identified different drug release rates.



dOFM Clinical Study Details

24

- ✓ Test and Reference are both 5% acyclovir creams but **NON-Q1**
- ✓ IVRT: identical release R:R and non identical release T:R

Zovirax (R) (USA)	Aciclovir-1A (Austria)
Water	Water
Propylene glycol	Propylene glycol
Mineral oil	Viscous Paraffin
White petrolatum	White Vaseline
Cetostearyl alcohol	Cetyl alcohol
SLS	Not disclosed
Poloxamer 407	Not disclosed
Not disclosed	Dimethicone
Not disclosed	Glyceryl Mono Stearate
Not disclosed	Polyoxyethylene stearate

Non-Q1

Equivalence comparison	Computed confidence interval	
	Lower Limit [%]	Upper Limit [%]
Zovirax cream 5% US v. Zovirax cream 5% US	85.7	103.02
Zovirax cream 5% US v. Aciclovir 1A Pharma Cream 5%	16.27	19.60

Acceptance limits: [75%, 133.33%]

Clinical Bioavailability

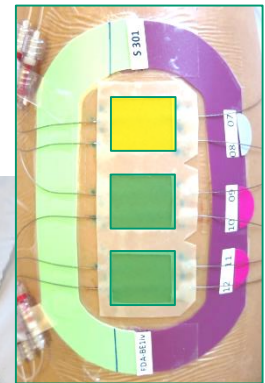
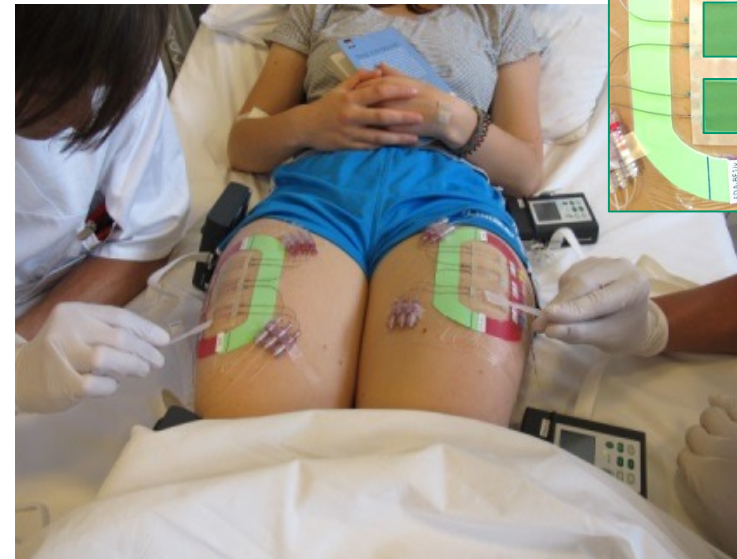
Clinical BE Study

25

Overall AIM: Investigate the capability of dOFM to address BE and non-BE of topical formulations in-vivo.

Overview Clinical Studies:

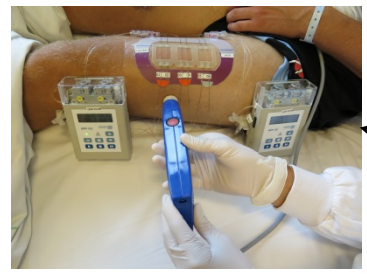
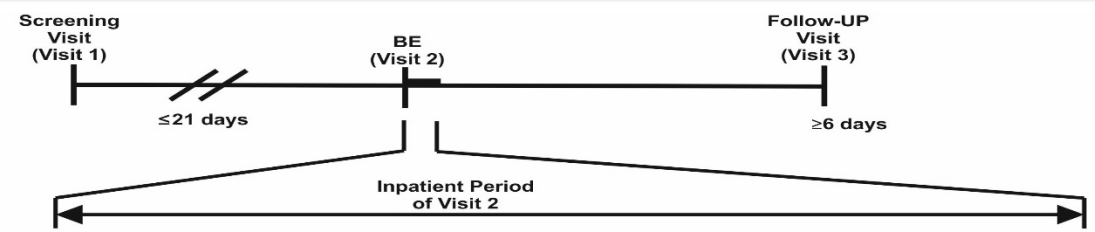
- 20 healthy subjects
- Reference: Zovirax® US
- Test: Aciclovir-1A Pharma Austria
- 2 application triplets per subject
- 15 mg/cm² cream application
- 36 hours dOFM sampling time



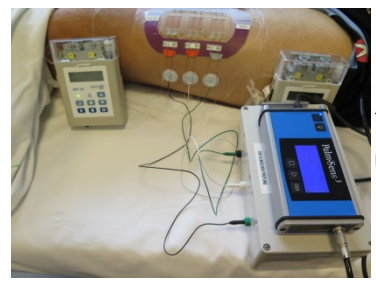
dOFM Clinical Study Details

26

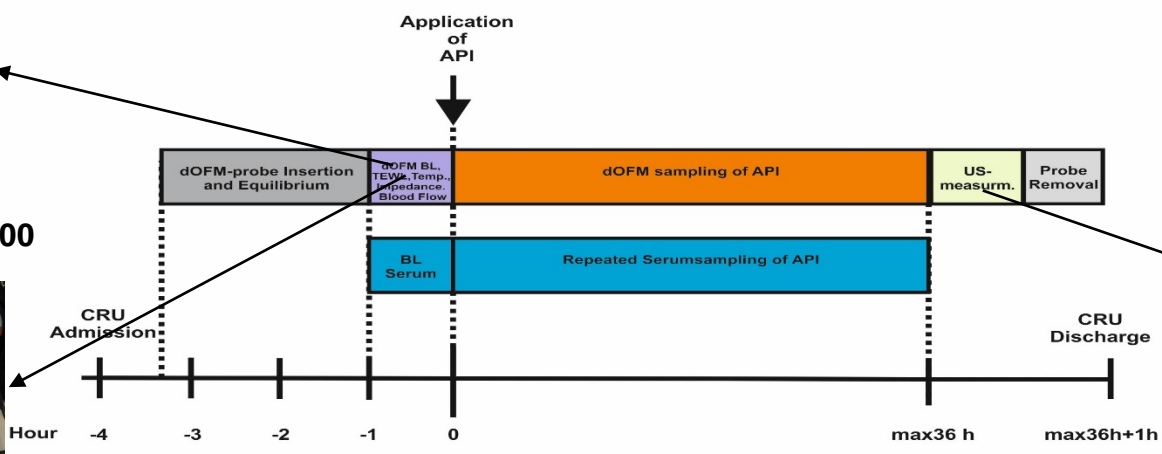
✓ Highly standardized clinical BE study design.



TEWL by Aquaflux AF200



Impedance by JOANNEUM

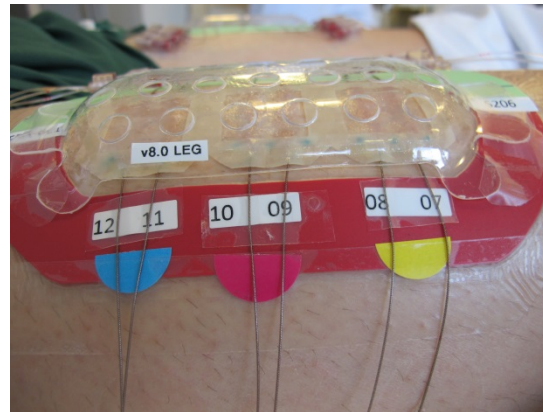
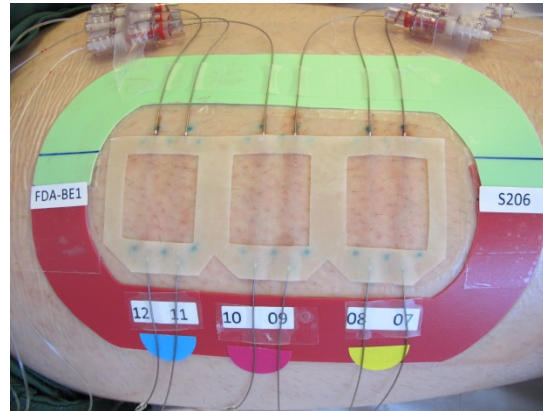
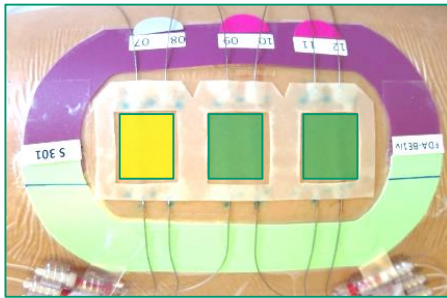


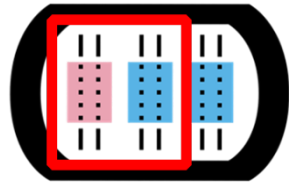
Ultrasound
GE-Healthcare

Clinical Bioavailability *Clinical BE Study*

27

✓ All procedures are standardized by using templates and SOPs.



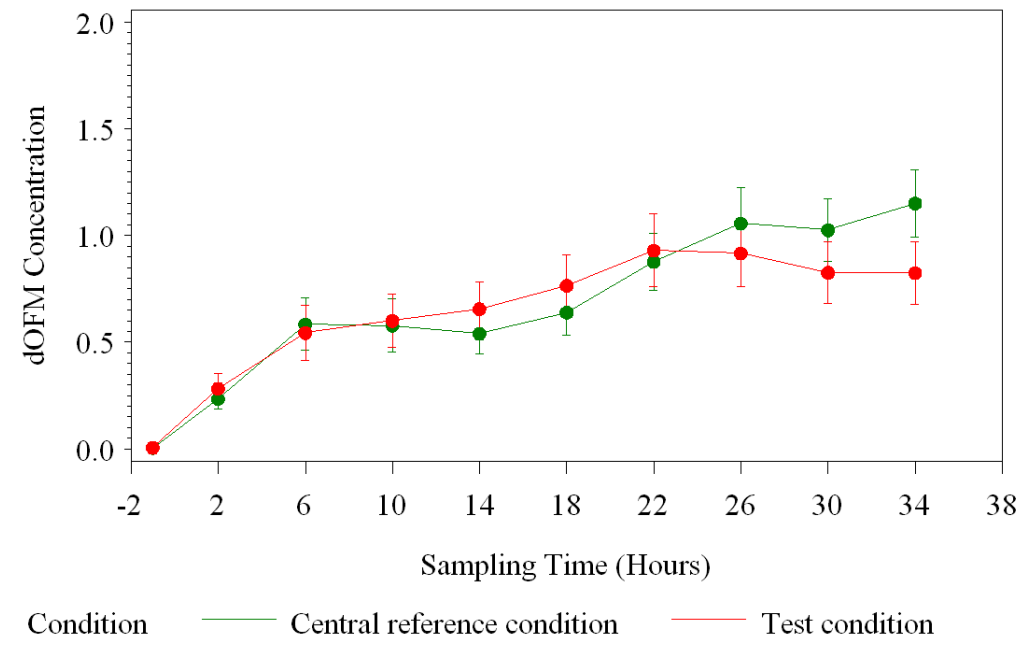


28

Clinical Bioavailability *Test versus Reference*

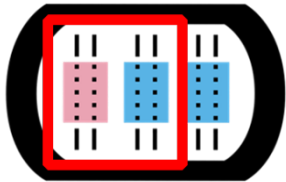
✓ **Bioavailability: AUC and T_{max} of Aciclovir A1 are highly reproducible**
AUC and T_{max} of Zovirax US are highly reproducible

dOFM acyclovir concentrations as a function of time
Mean +/- SE (across all limbs)



20 healthy subjects





29

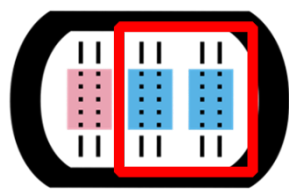
Clinical Bioavailability *Test versus Reference*

- ✓ BA is different for Aciclovir 1A vs Zovirax US based on AUC
- ✓ BA is different for Aciclovir 1A vs Zovirax US based on C_{max}

Outcome variable	$CI_{90\%}$	BE-limits	$CI_{90\%}$ within BE-limits
log(AUC _{0-36h})	[-0.369 ; 0.050]		x Failed
	or [69.1 % ; 105.2 %]	[-0.223 ; 0.223]	
log(C_{max})	[-0.498 ; 0.022]	or [80% ; 125%]	x Failed
	or [60.8 % ; 102.2%]		

BA is tested for the difference of the log-transformed outcome variables (AUC, C_{max}) between test and reference condition

BA is established if $CI_{90\%}$ falls within the limits of $\log(0.8)=-0.223$ and $\log(1.25)=0.223$ (cf. FDA Guidance For Industry)

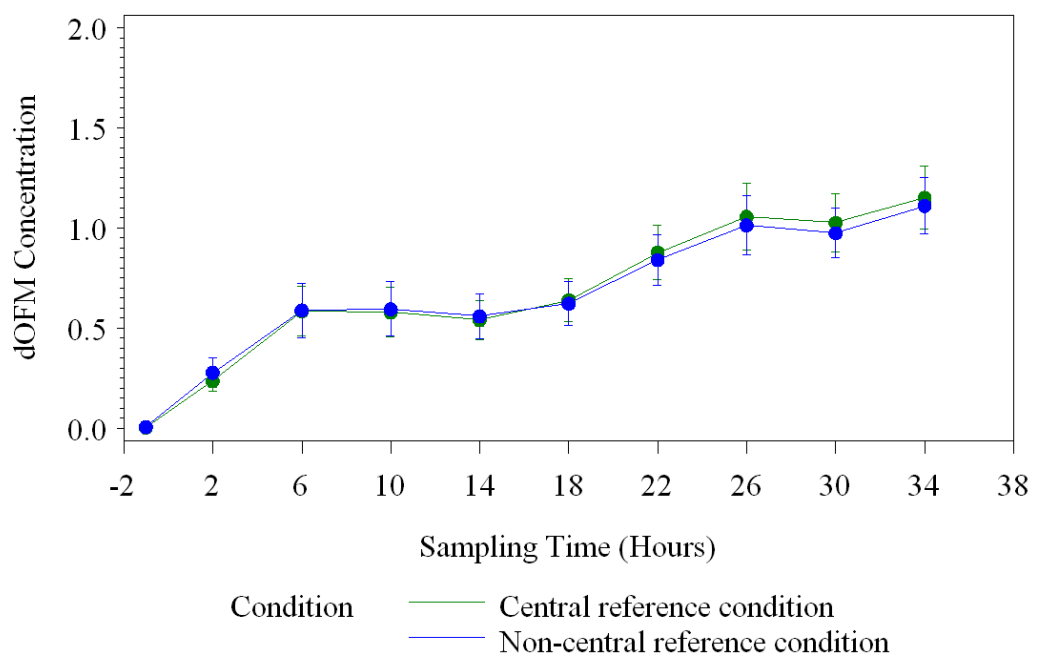


30

Clinical Bioavailability *Reference versus Reference*

✓ **Bioavailability: AUC and C_{max} of Zovirax US are highly reproducible.**

dOFM acyclovir concentrations as a function of time
Mean +/- SE (across all limbs)



20 healthy subjects



“Open Flow Microperfusion as a Dermal Pharmacokinetic Approach to Evaluate Topical Bioequivalence”
Clin. Pharmacokinet. 8/2016 – OPEN ACCESS

Clinical Bioavailability *Reference versus Reference*

- ✓ Same BA for Zovirax US vs Zovirax US based on AUC
- ✓ Same BA for Zovirax US vs Zovirax US based on C_{max}

Outcome variable	CI _{90%}	BE-limits	CI _{90%} within BE-limits
log(AUC _{0-36h})	[-0.148 ; 0.162] or [86.2 % ; 117.5 %]	[-0.223 ; 0.223]	passed
log(C _{max})	[-0.155 ; 0.190] or [85.7 % ; 120.9%]	[80% ; 125%]	passed

BA is tested for the difference of the log-transformed outcome variables (AUC, C_{max}) between the two reference conditions

BA is established if CI_{90%} falls within the limits of log(0.8) = -0.223 and log(1.25) = 0.223 (cf. FDA Guidance For Industry)

Skin penetration insights

Total variability

32

✓ **BE study set-up shows low intra-subject variability.**

Total CV_{logAUC_{cacyc}} was **39% - 44%**

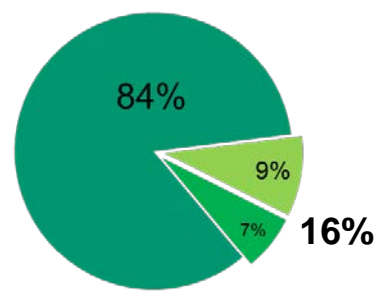
(41% Microdialysis Benfeldt et al.)

Components of total CV (ANOVA):

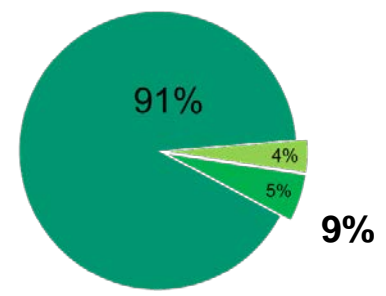
- Inter-subject variability: 84-91% OFM
- Intra-subject variability: 9-16% OFM

(61% Microdialysis Benfeldt et al.)

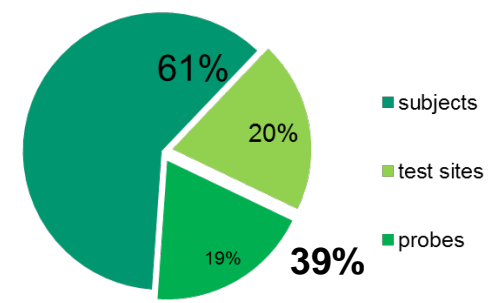
(39% Microdialysis Benfeldt et al.)



logAUC Zovirax®



logAUC Aciclovir 1A Pharma



logAUC lidocaine MD (Benfeldt et al.)

Skin penetration insights

Inter- and intra-subject variability

33

✓ **Skin impedance is a potential screening parameter.**

Inter-subject variability has

- a strong correlation with skin impedance (Joanneum[®]) ($p=0.69-0.75$, $p<0.001$)
- a weak correlation with TEWL ($p=0.29-0.37$, n.s)
- **no influence on BE in head-to-head design**

Intra-subject variability has

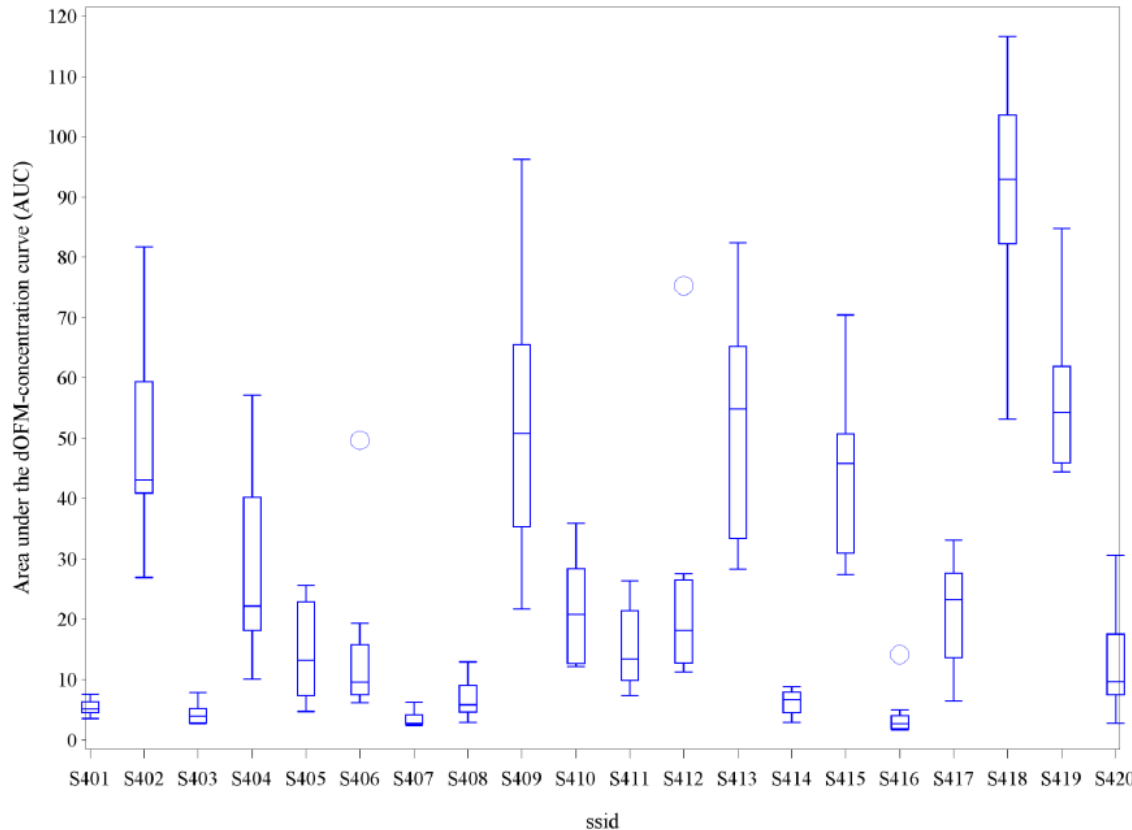
- a weak correlation with skin temperature (correlation analysis: $r=0.25$, $p<0.05$)
- influence on BE in head-to-head design
- **deviations of 100-500% between probes within sites** - also published for MD

Skin penetration insights

Intra-subject distribution

34

✓ Is intra-subject variability really due to dOFM?



Hypothesis:

Local skin shunts (follicles, glands) rather than OFM cause majority of intra-subject variability

OFM errors $\leq 10\%$ (also for MD, see Kreilgaard et al. 2001)

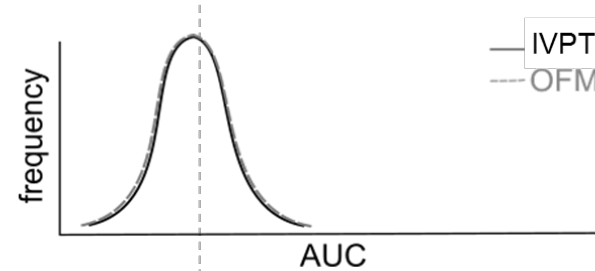
Skin penetration insights

Skewed skin penetration pattern

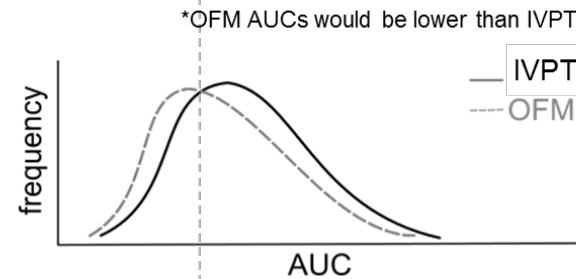
35

✓ Skin shunts may lead to skewed distribution

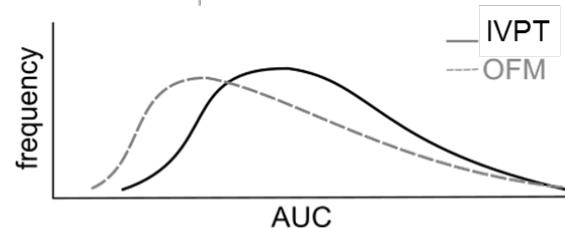
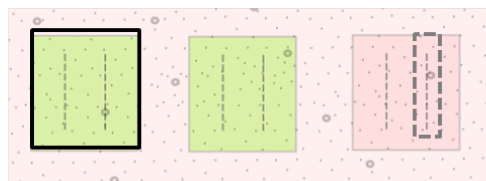
■ Ideal homogenous intact skin



■ Small skin impaires



■ Large skin impaires



(Particularly) relevant for drug which are bad penetrators.

Skin penetration insights

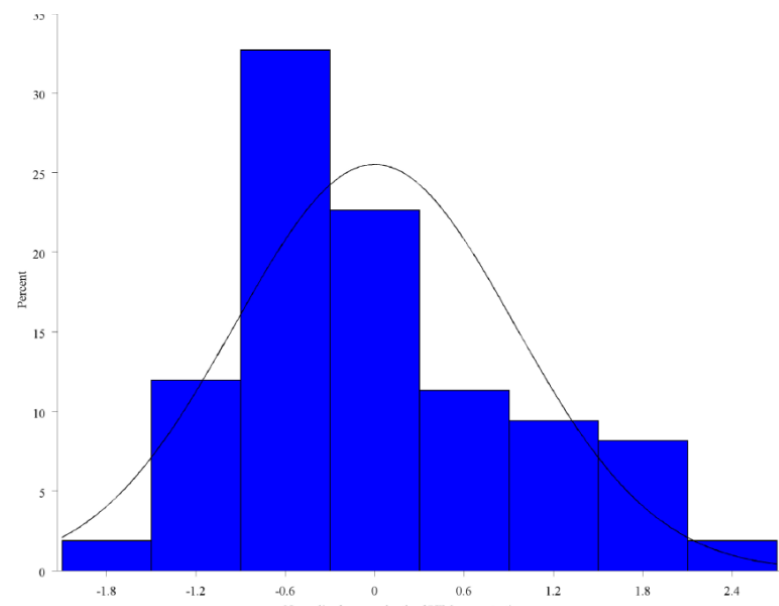
Skewed intra-subject data

36

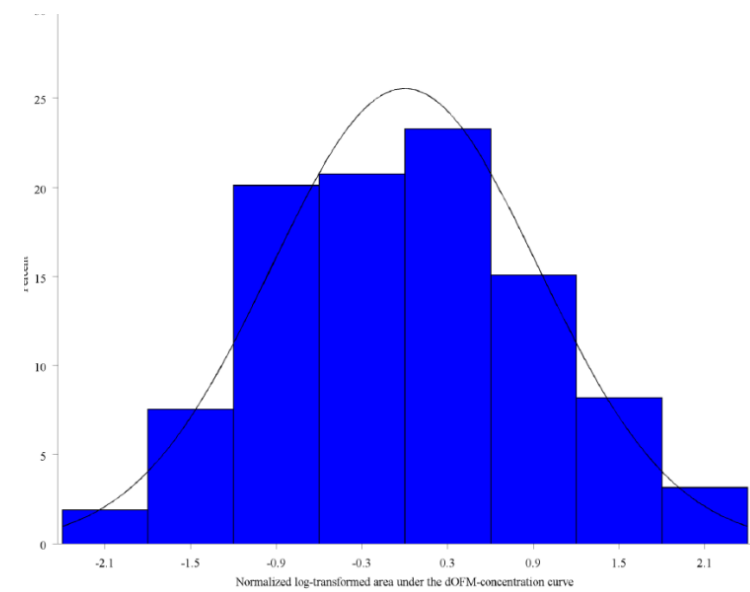
✓ **Acyclovir dOFM AUCs within subjects are log-normal distributed.**

AUCs standardized in each subject by indiv. mean - **non-normal!**

logAUCs standardized by indiv. mean in each subject - **normal!**



Goodness-of-Fit Tests for Normal Distribution				
Test		Statistic	p Value	
Kolmogorov-Smirnov	D	0.10521650	Pr > D	<0.010
Cramer-von Mises	W-Sq	0.51013639	Pr > W-Sq	<0.005
Anderson-Darling	A-Sq	2.92818998	Pr > A-Sq	<0.005



Goodness-of-Fit Tests for Normal Distribution				
Test		Statistic	p Value	
Kolmogorov-Smirnov	D	0.04327362	Pr > D	>0.150
Cramer-von Mises	W-Sq	0.04927888	Pr > W-Sq	>0.250
Anderson-Darling	A-Sq	0.32976282	Pr > A-Sq	>0.250

Skin penetration insights

Impact of skewed distribution on BE calculation

37

✓ **Geometric mean is best for skewed distributed acyclovir data**

Arithm. Mean curve, thereof AUC (published):
BE ✓ - good

Label	Estimate	Standard Error	Df	t-Value	Pr> t	alpha	Lower limit	Upper limit
R ₂ vs. R ₁	100.7% Δ 0.7%	109.6%	39	0.07	0.9428	0.1	86.2% 90% CI width: 31.3%	117.5%

Geom. Mean curve, thereof AUC
BE ✓ - better!

Label	Estimate	Standard Error	Df	t-Value	Pr> t	alpha	Lower limit	Upper limit
R ₂ vs. R ₁	99.7% Δ 0.3%	108.8%	39	-0.03	0.9741	0.1	86.5% 90% CI width: 28.5%	115.0%

Pharmacokinetics-Based dOFM *Summary*

38

dOFM in-vivo

- is a reproducible, accurate and sensitive method.
- shows very low method-variability.
- reflects in-vivo skin penetration in dermis.
- gives advanced skin penetration insights.

dOFM in-vivo

- can be used to investigate BE on a pharmacokinetic basis.
- could be a useful tool to conduct clinical bioequivalence studies in a low number of healthy subjects.
- is a potential tool to reduce time and costs of clinical bioequivalence studies.

Clinical Bioavailability *Outlook*

39

Clinical OFM study A: In-Depth Identification of Influencing Factors of Skin Penetration - Moderate Lipophilic/Protein Bound Drugs

- Pilot (n=6): systemic adsorption and cross-talk; lateral diffusion and cross-talk, sample time for C_{\max} and $\frac{3}{4}$ of AUC
- Main study (n=38): investigate BE of (a) RLD to itself, (b) approved generic product to RLD, (c) non-BE product to RLD, (d) BE identify influencing factors

→ Optimization of screening and OFM BE study design

Clinical OFM study B: Standardized BE Study - Highly Protein Bound Drug

- Pilot (n=6): systemic adsorption and cross-talk; lateral diffusion and cross-talk, sample time for C_{\max} and $\frac{3}{4}$ of AUC
- Main study (n=20): investigate BE of (a) RLD to itself, (b) approved generic product to RLD, (c) non-BE product to RLD

→ Validate OFM as an universal tool for BE studies for topical drugs

A big Thanks to...

40



Katrin Tiffner
IVRT and dOFM ex-vivo



Manfred Bodenlenz
Clinical dOFM BE Study



Reingard Raml
Analytics



Thomas Pieber
Clinical PI



Isadore Kanfer
BE Consultant Expert



Sam G. Raney
FDA Project Officer



Bernd Tschapeller
Data Mangement



Thomas Augsutin
Statistics



More than 20 other persons



Thomas Birngruber
OFM Group Leader



Priyanka Ghosh
Bryan Newman
Elena Rantou
Youngsook Lee
Lisa Ko
Jill Coker
and other....

Thank you for your attention

Dr. Frank Sinner
JOANNEUM RESEARCH
Forschungsgesellschaft mbH
HEALTH – Institute for Biomedicine
and Health Sciences
Neue Stiftingtalstrasse 2, 8010 Graz
+43 316 876-4000
frank.sinner@joanneum.at
www.joanneum.at/health

