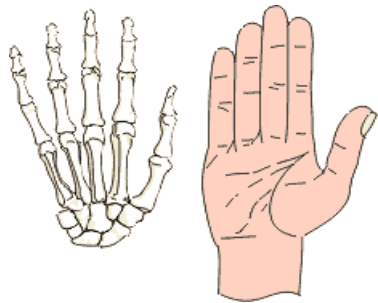


# Topical management of inflammation & pain with diclofenac



The Institute

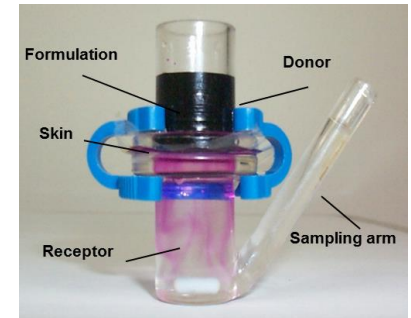
basil hetzel institute for medical research



UniSA

Michael Roberts

University of Queensland  
&  
University of South  
Australia



# Topical products - long history



9. GALEN — Experimenter in Compounding  
(131-201 A.D.) Thom RA 1951



In *The Canon of Medicine*, **Ibn Sina** (Avicenne, 980-1037AD), a Persian physician:

- Topical drugs cross skin
- Have two spirits or states - Soft which penetrates skin and hard part which does not!



He also suggested topical products could have targeted delivery:

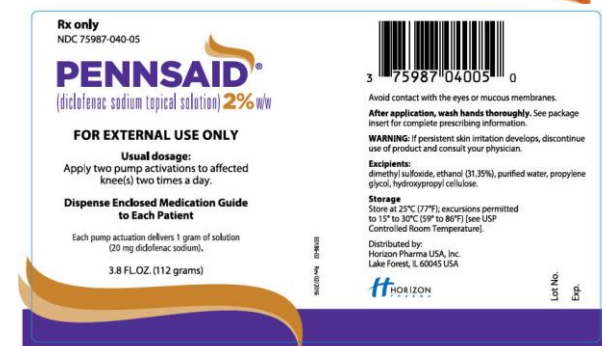
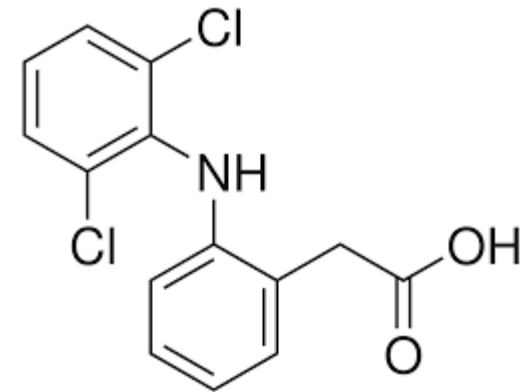
- act locally, immediately beneath the skin including joints (regional effects) & in remote areas (systemic effects).

# Overview – as put to me by Mila

- Historical perspective on diclofenac
  - Knowns/unknowns
  - Our work
- IVIVC: what can a chemist/formulator do with Franz cell data
  - Knowns/unknowns in IVIVC for diclofenac
  - What else: potentially OFM?
  - Formulating for efficient diclofenac delivery to muscle, joint
- Our formulation work with FDA
  - Does it work? under which conditions? informs on what?
- Our PBPK modelling work with FDA

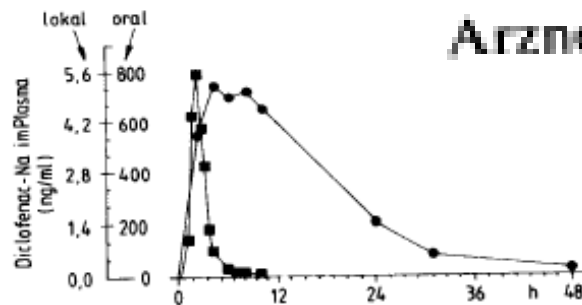
# Historical perspective on diclofenac

- Diclofenac 2-(2,6-**dichloranilino**) **phenylacetic acid**
  - Most widely prescribed NSAID worldwide
  - Synthesized by Alfred Sallmann and Rudolf Pfister
  - Introduced as **Voltaren** by Ciba-Geigy (now [Novartis](#)) in 1973
- Topical diclofenac sodium preparations were developed with the aim of treating local pain and inflammation while limiting diclofenac systemic exposure and potentially minimizing the risk of AEs associated with treatment with systemic NSAIDs.
- FDA approval of topicals
  - Diclofenac sodium; SOLARAZE® topical gel 3 % on 16 Oct 2000 = 15 mg diclofenac bid (0.5 g gel per 5 cm<sup>2</sup> skin) for actinic keratosis
  - Diclofenac epolamine; FLECTOR® topical patch 1.3 % on 31 Jan 2007 = 1 patch (180 mg) bid for acute pain due to minor strains, sprains, and contusions
  - Diclofenac sodium; Voltaren® Topical gel 1 % on 17 Oct 2007 = Maximum 32 g per day for OA pain of joints, such as the knees and hands
- Diclofenac sodium is the only NSAID approved by the FDA for topical use in the treatment of pain associated with osteoarthritis
  - Diclofenac sodium topical gel 1 % (Voltaren Gel, Novartis Consumer Health, Inc)
  - Diclofenac sodium topical solution 1.5 and 2 % (PENNSAID Mallinckrodt Brand)



# Die perkutane Resorption von Diclofenac\*)

Arzneim.-Forsch./ Drug Res. 36 (II), Nr. 7 (1986)  
Riess et al. – Diclofenac



**Abb. 6:** Mittlere Konzentrationen von Diclofenac-Na im Plasma bei Versuchspersonen nach lokaler Applikation (●—●) von 7,5 g einer Cremezubereitung (entsprechend 75 mg Diclofenac-Na auf 750 cm<sup>2</sup> Rücken- haut ohne Okklusionsverband (n = 5) und nach einmaliger oraler Gabe (■—■) von magensaftresistenten Dragees in einer Dosis von 1 × 50 mg (n > 10).

**Tab. 1:** Konzentrationen von Diclofenac in Plasma, Synovialflüssigkeit und Synovialgewebe von 8 Patienten, bei denen Operationen an den Händen durchgeführt wurden. Den Operationen war eine lokale 3tägige Behandlung mit 2,5 g Emulgatzubereitung 4mal täglich sowie eine lokale Applikation von 2,5 g am Tag der Operation vorausgegangen. Durch Reiben der Hände wurde das Emulgel jeweils in beide Hände einmassiert.

Zeitpunkt der Operation (h nach letzter Applikation)	Diclofenac-Konzentration (μmol/l oder μmol/kg)		
	Plasma	Synovial- flüssigkeit	Synovial- gewebe
2,17	0,16	> 0,7	5,50
1,17	—	> 2	1,01
3,17	0,07	> 2	2,64
2,25	0,12	> 10	8,62
1,25	2,20	0,4	5,15
2,17	0,02	0,37	0,41
3,00	0,13	1,18	2,34
3,34	0,06	4,21	3,13

# Skin Permeability and Local Tissue Concentrations of Nonsteroidal Anti-Inflammatory Drugs after Topical Application<sup>1</sup>

P. SINGH<sup>2</sup> and M. S. ROBERTS

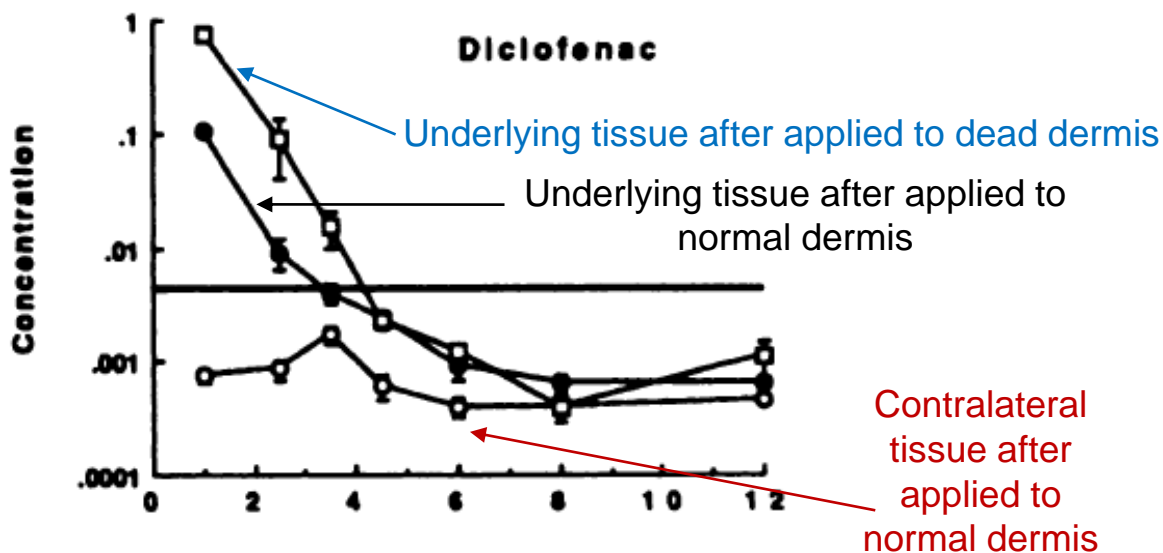
Departments of Pharmacy (P.S.) and Medicine (M.S.R.), The University of Queensland, Queensland, Australia

Accepted for publication August 23, 1993

- Two key models:
  - Dead versus alive rat for diffusion and blood clearance
  - Treated for contralateral tissue for direct penetration

Clearance of NSAIDs applied to exposed rat dermis *in vivo*

Compound	Dermal Clearance		
	Anesthetized	Sacrificed	Blood Supply
Salicylic acid	0.58 ± 0.08	0.10 ± 0.02	0.48
Diethylamine salicylate	0.62 ± 0.11	0.15 ± 0.04	0.37
Indomethacin	0.32 ± 0.08	0.13 ± 0.02	0.19
Naproxen	0.65 ± 0.09	0.20 ± 0.04	0.45
Piroxicam	0.48 ± 0.07	0.26 ± 0.04	0.22
Diclofenac sodium	0.66 ± 0.10	0.30 ± 0.04	0.36



We suggested that

- Direct penetration of NSAIDs is evident to a depth of 3 to 4 mm,
- With the systemic blood supply being the main means for compounds reaching deeper underlying tissues.

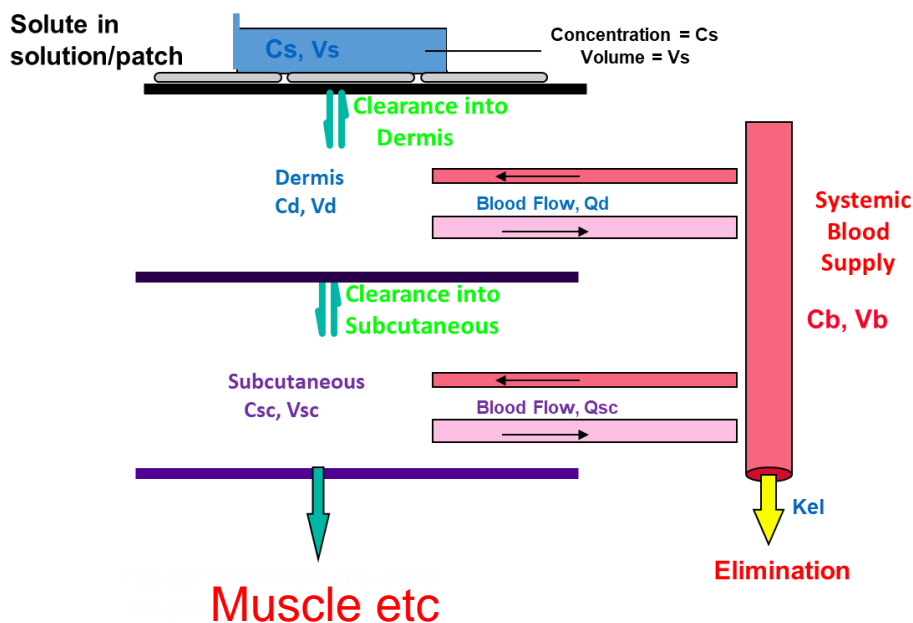
# Skin Permeability and Local Tissue Concentrations of Nonsteroidal Anti-Inflammatory Drugs after Topical Application<sup>1</sup>

P. SINGH<sup>2</sup> and M. S. ROBERTS

Departments of Pharmacy (P.S.) and Medicine (M.S.R.), The University of Queensland, Queensland, Australia

Accepted for publication August 23, 1993

## Pharmacokinetic modelling



For the underlying (*i*th) tissue:

$$\begin{aligned}
 V_{uT,i} \frac{dC_{uT,i}}{dt} &= V_{T,i} \frac{dC_{T,i}}{dt} \\
 &= CL_{T,i+1 \rightarrow i} (f_{uT,i+1} C_{T,i+1} - f_{uT,i} C_{T,i}) \\
 &\quad + Q_{T,i} (C_b RM_{T,i} - f_{uT,i} C_{T,i}) \\
 &\quad + CL_{T,i \rightarrow i-1} (f_{uT,i-1} C_{T,i-1} - f_{uT,i} C_{T,i})
 \end{aligned}$$

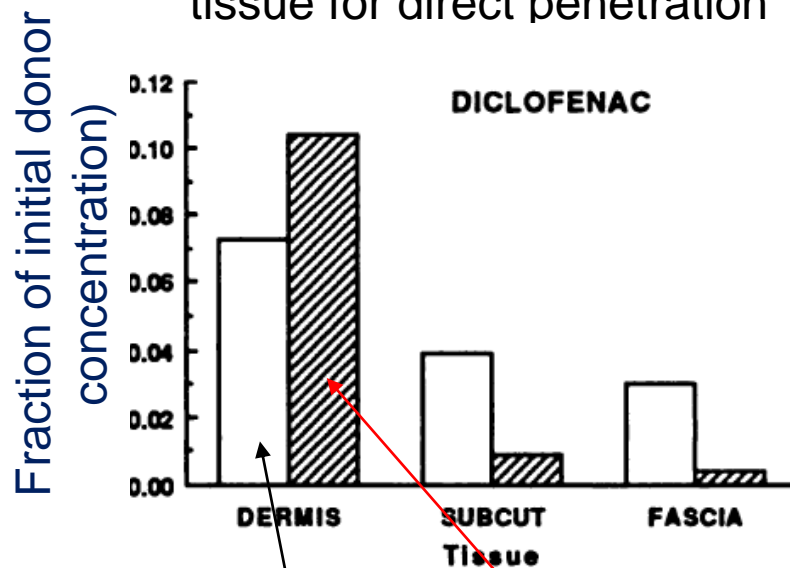
**Change in tissue concentration**

Input from tissue above

Removal by blood to systemic circulation

Clearance to tissue below

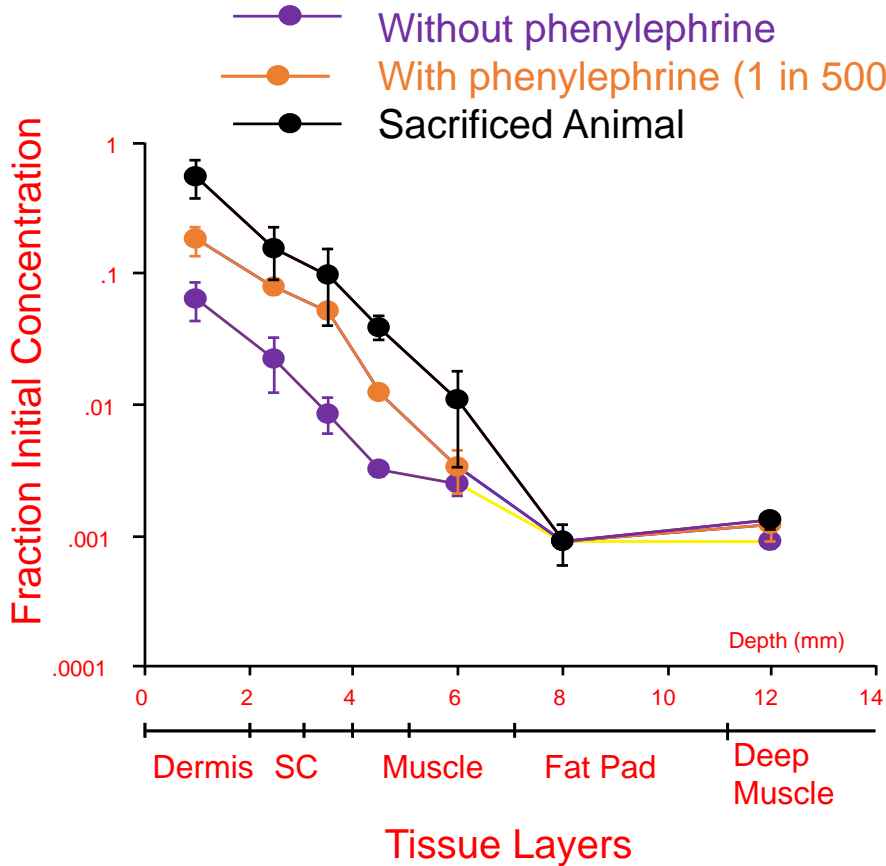
- Two key models:
  - Dead versus alive rat for diffusion and blood clearance
  - Treated for contralateral tissue for direct penetration



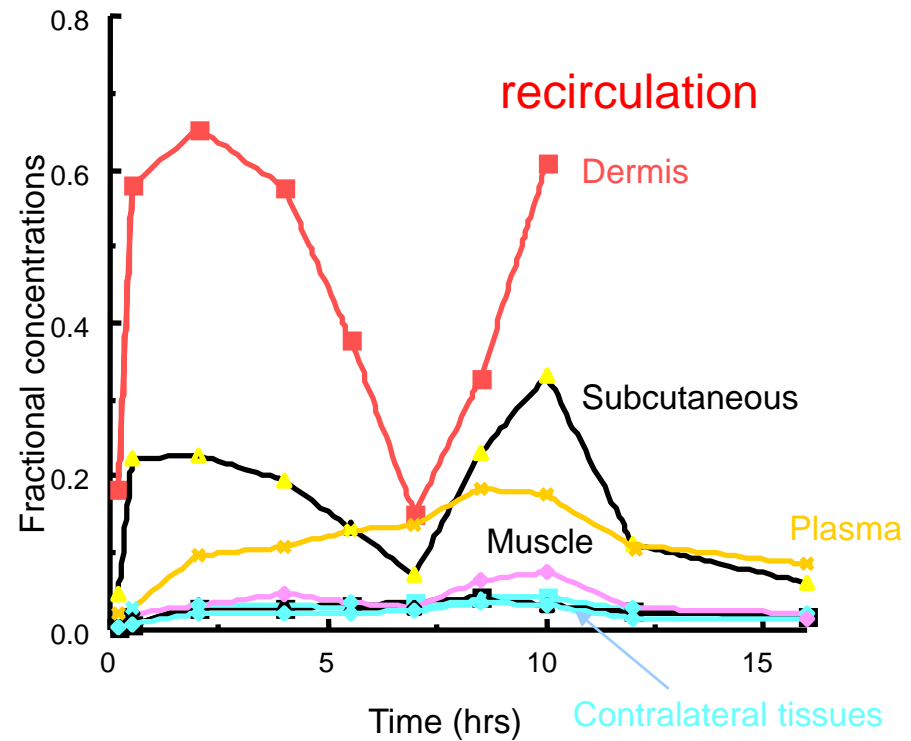
Observed and Predicted from PBPK model

# Vasoconstriction and recirculation also can affect dermal and deeper tissue levels

Salicylic acid 2 hr after dermal application



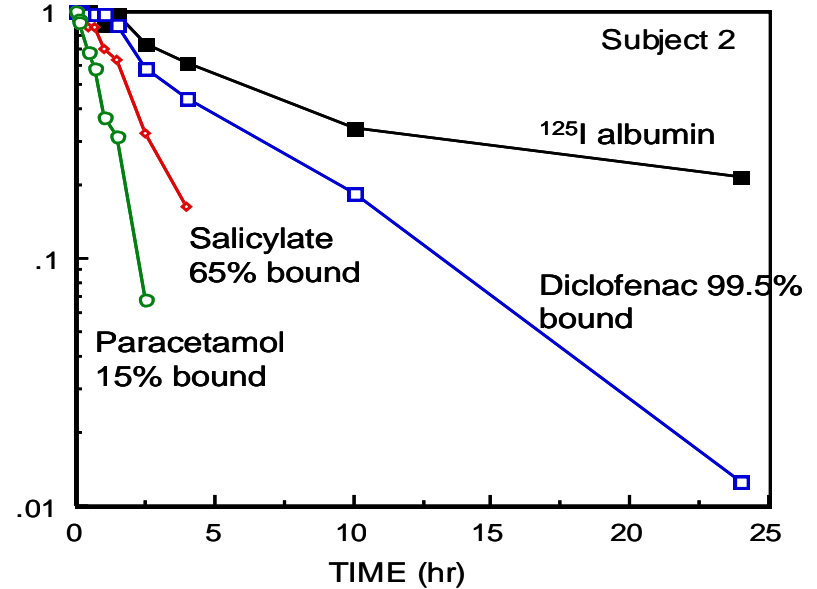
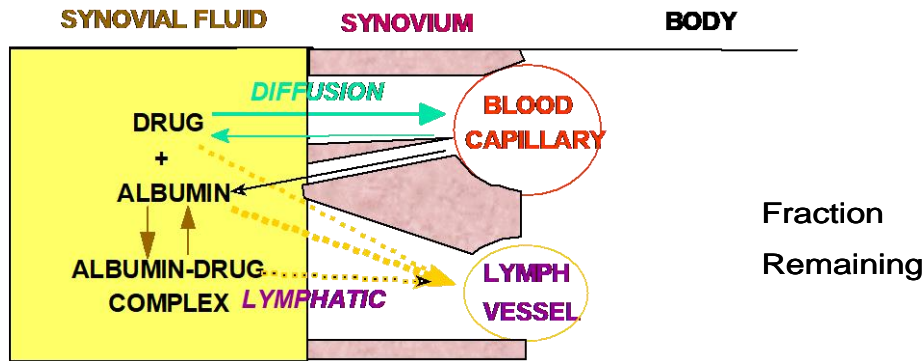
Salicylic acid at various times after dermal application



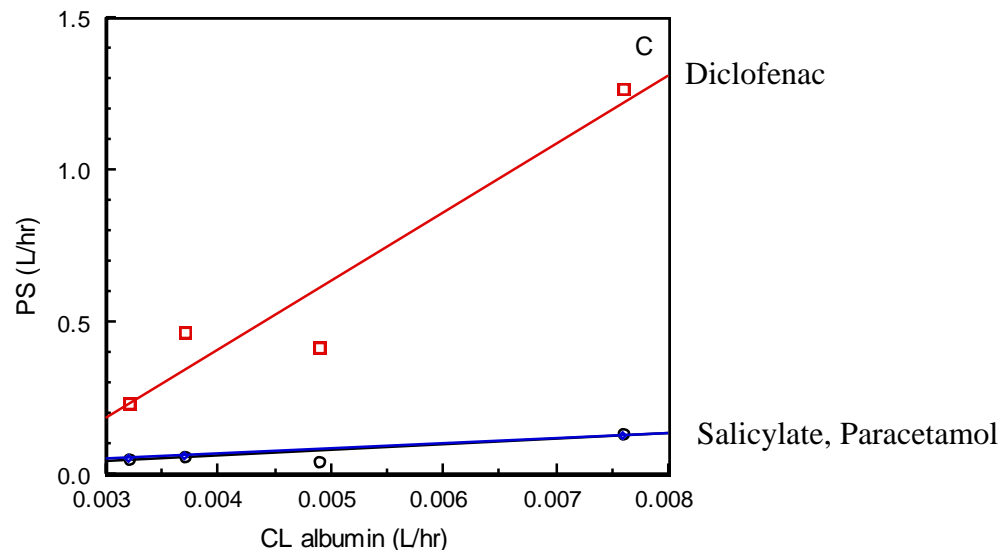


# Synovial fluid kinetics - role of albumin efflux on kinetics

## - studies in osteoarthritic effusions

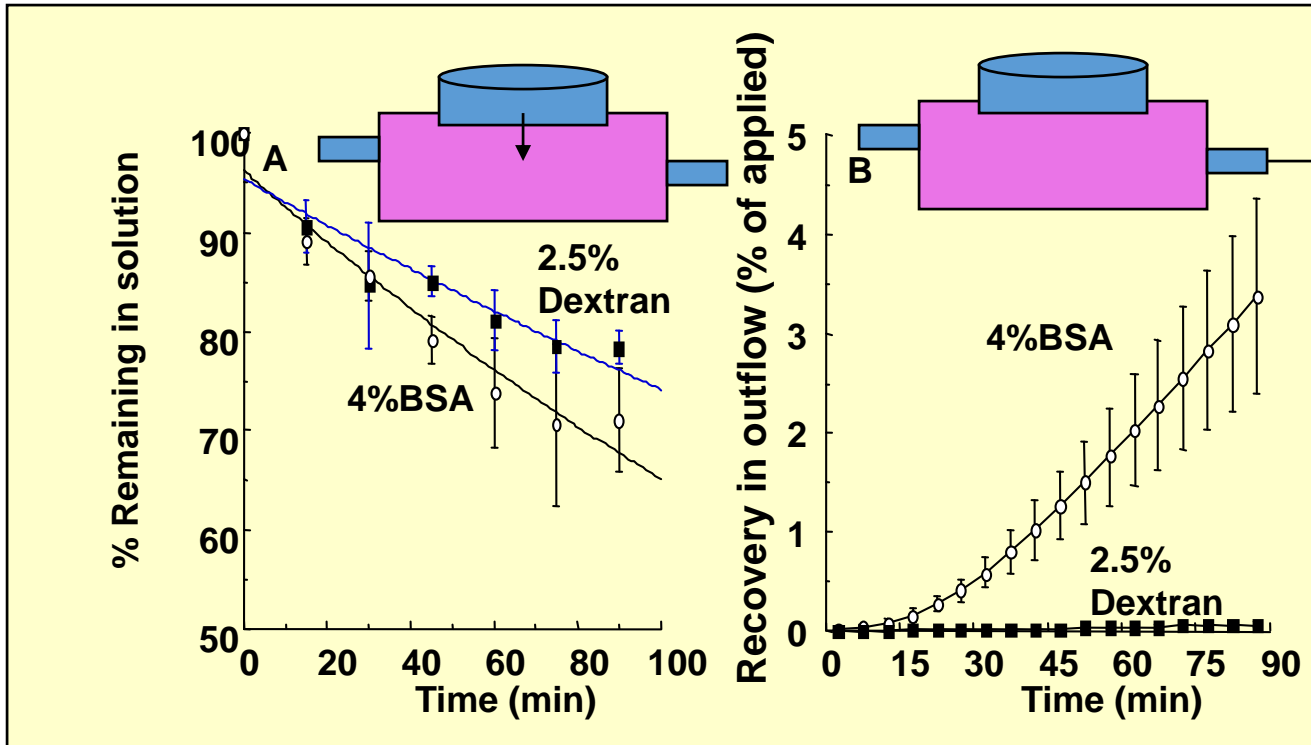


# Synovial fluid kinetics -role of albumin efflux on kinetics



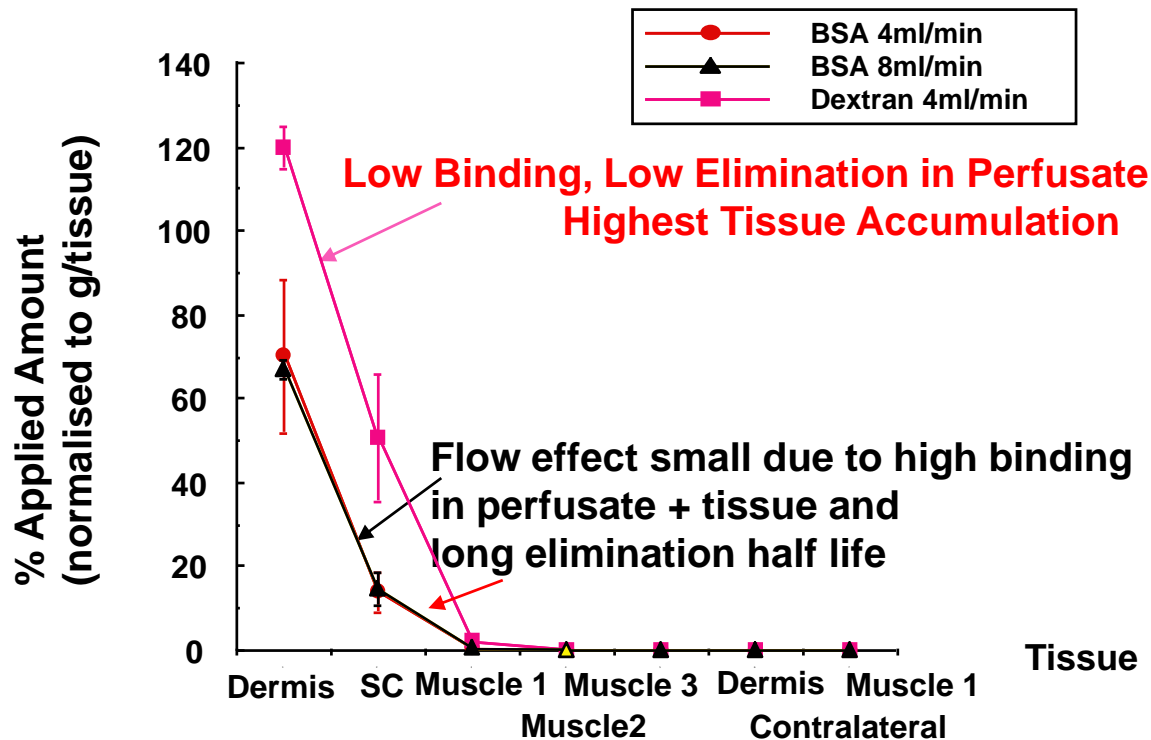
- Conclude albumin efflux involved in drug clearance from synovial joint
- 50% of diclofenac (99.5% bound),
  - 10% of salicylate (65% bound) and
  - 1% of paracetamol (15% bound)

# Diclofenac kinetics after dermal application in a single pass perfused limb



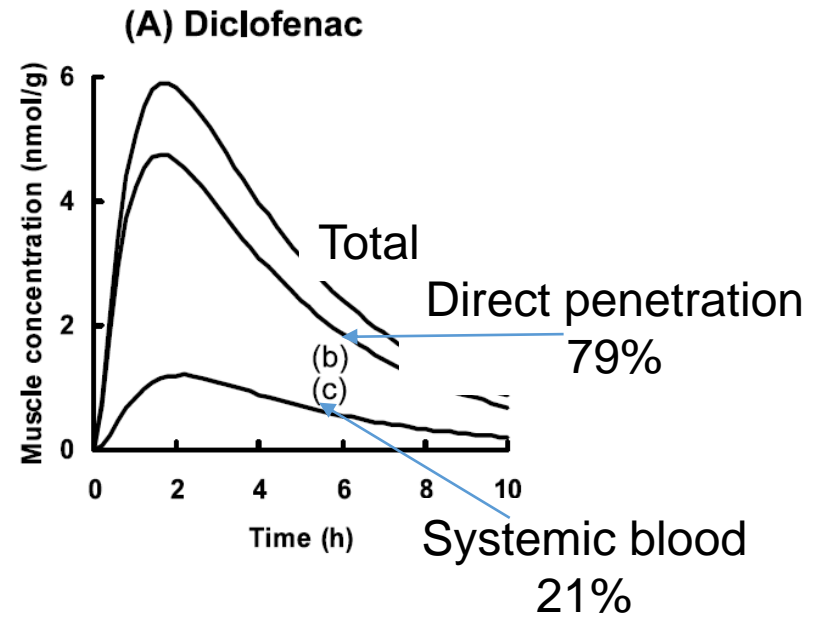
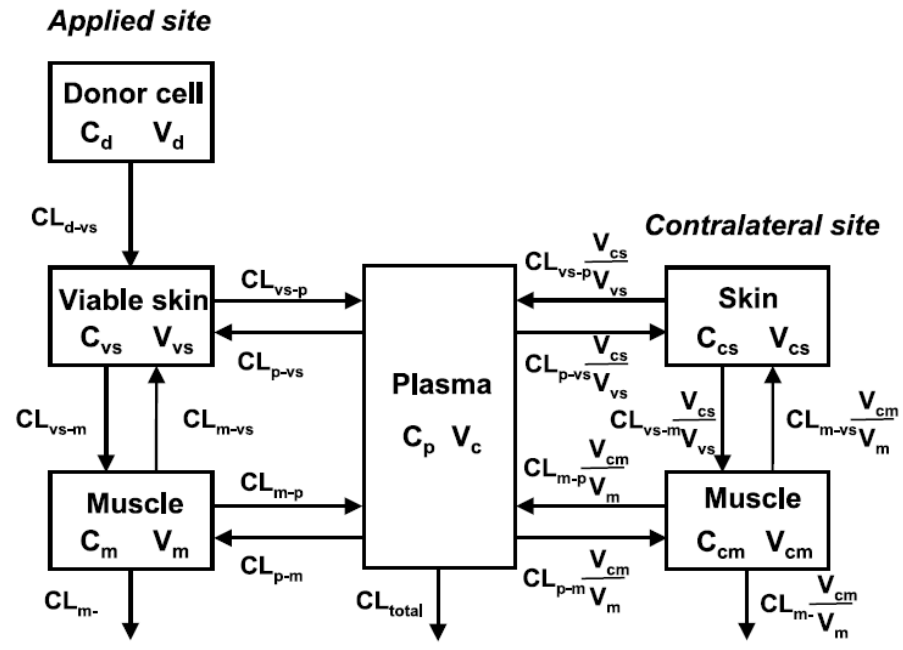
# Effect of Flow and Protein Binding on Topical Diclofenac Tissue Concentrations

## Hindlimb Perfusion - Tissue Diclofenac Content



# Validation of factors determining penetration of drugs from viable skin to muscle

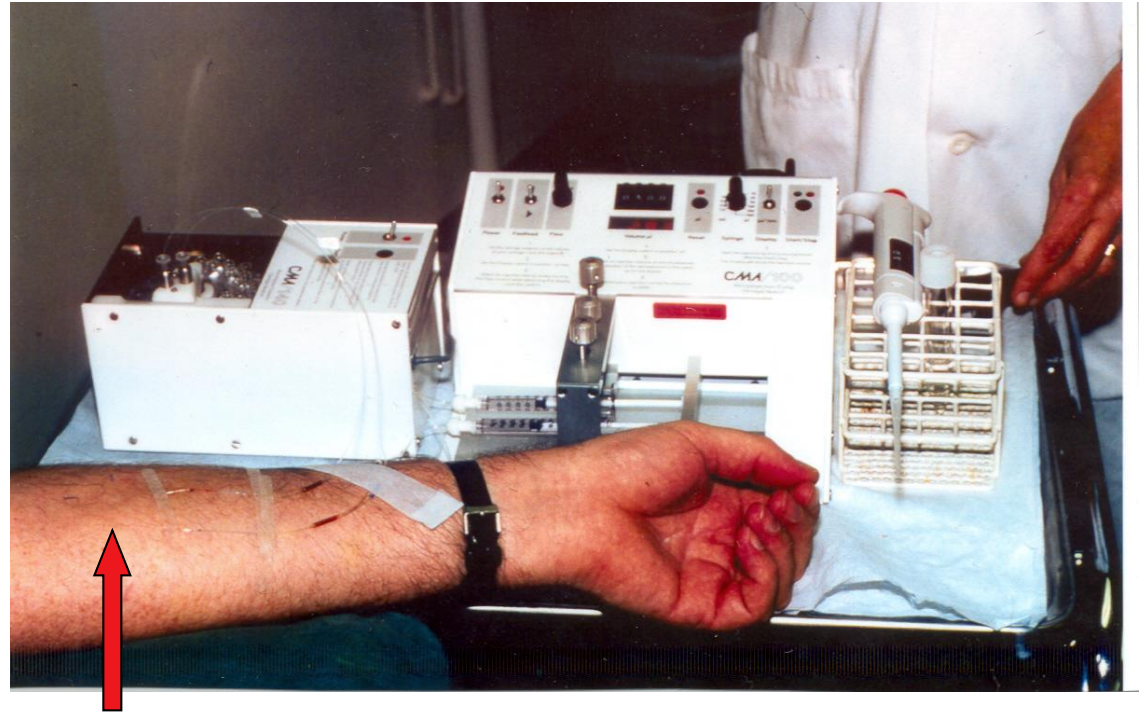
Higaki International Journal of Pharmaceutics 239 (2002) 129–141



# Introduction to human microdialysis

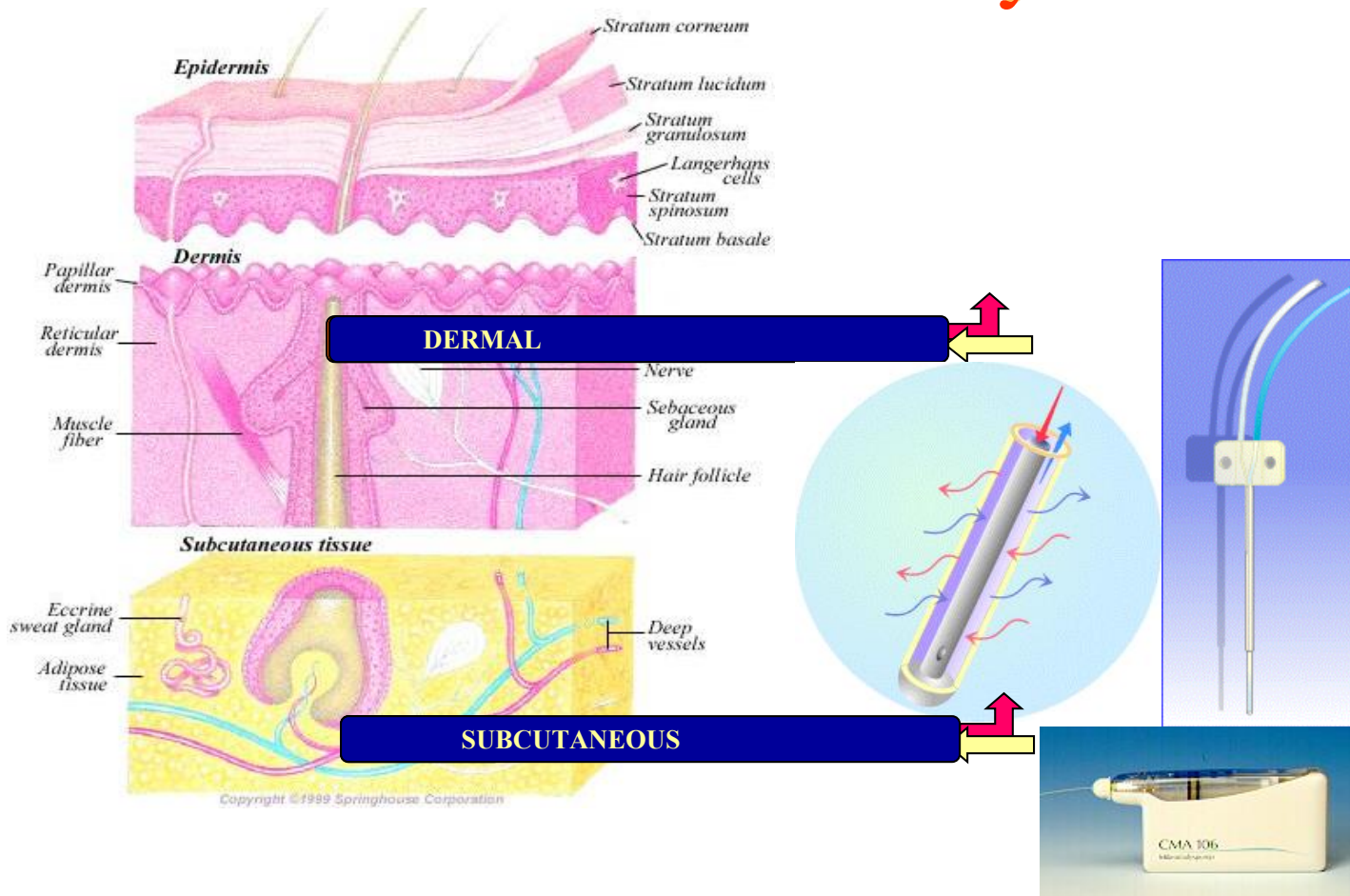


Chris Anderson,  
Linköping, Sweden  
- An expat  
Australian

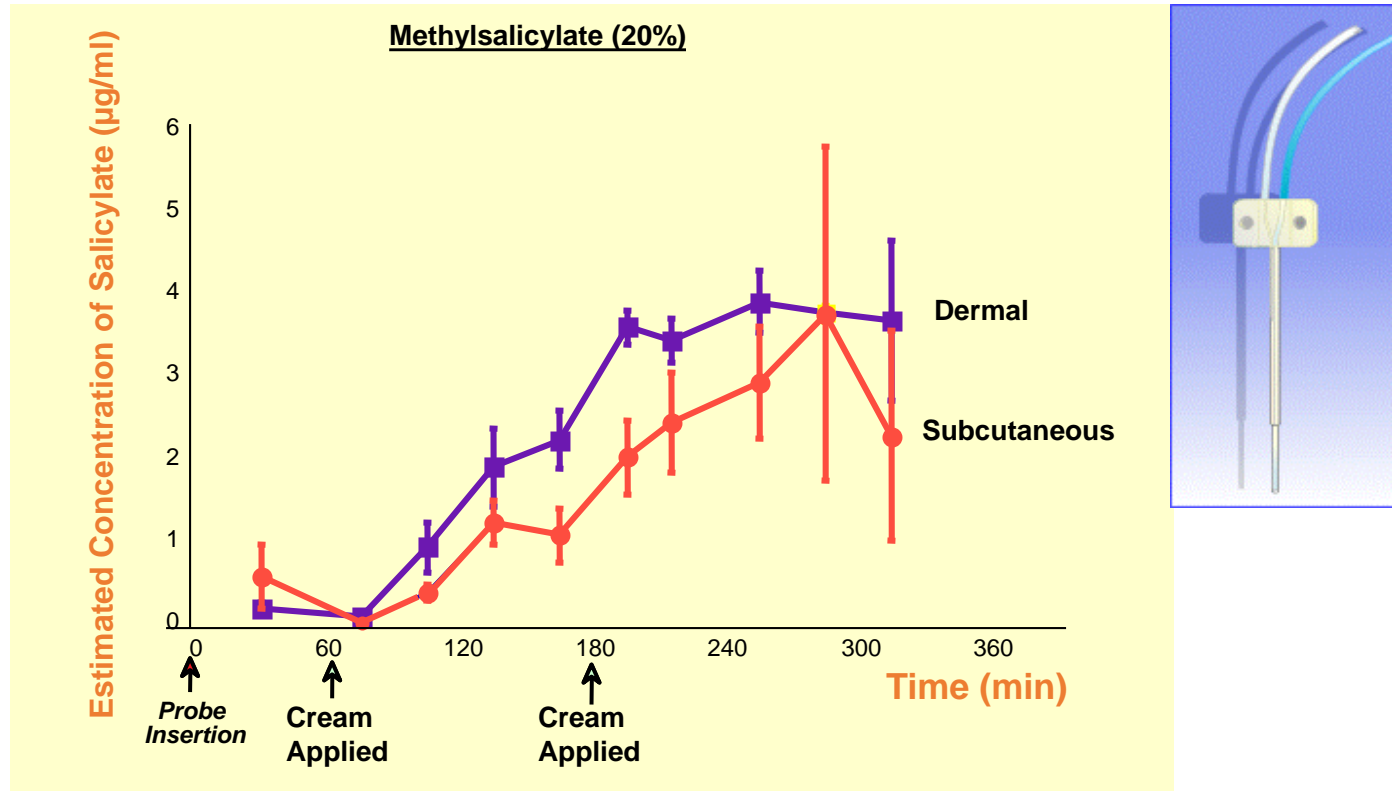


**Microdialysis probes in skin**

# Cutaneous Microdialysis



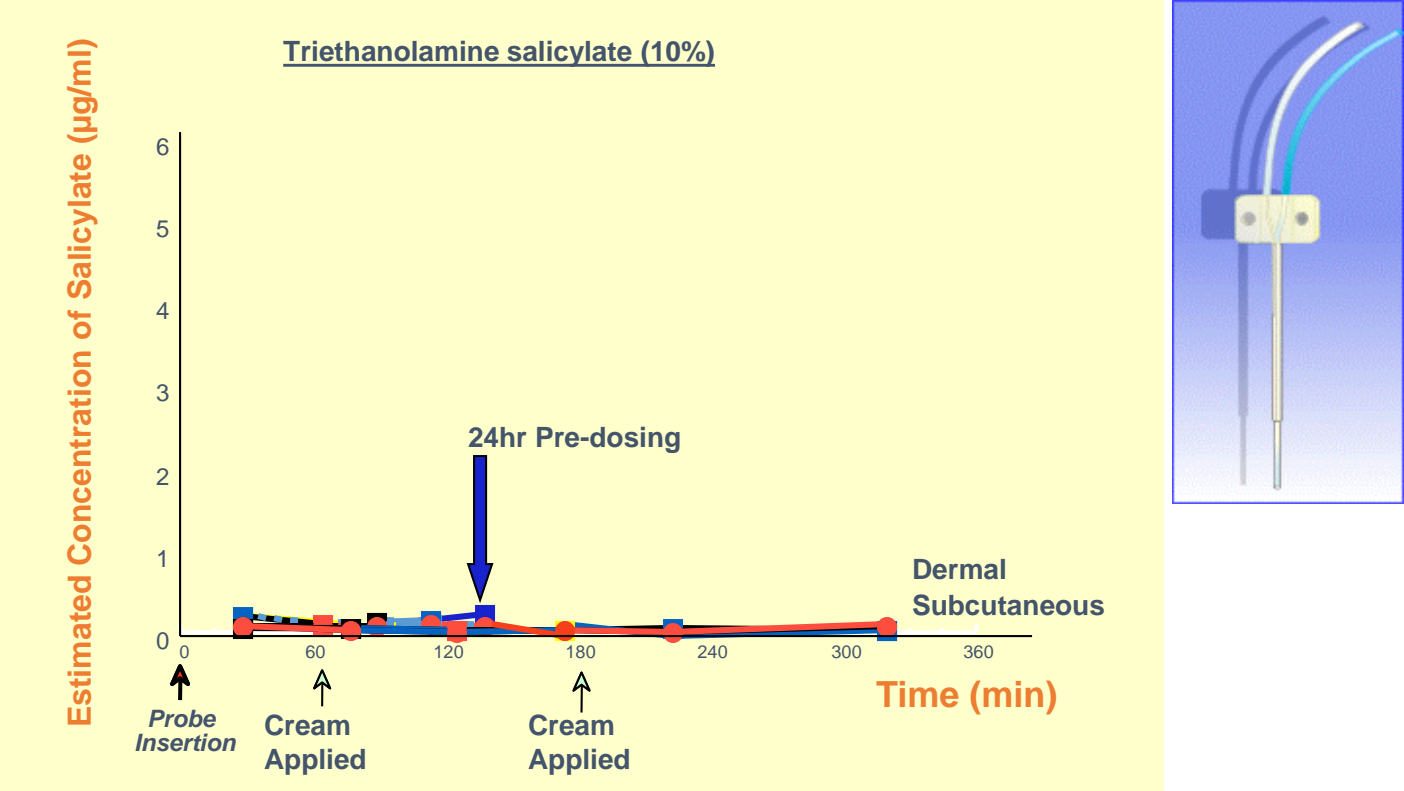
# Proving tissue penetration after topical application of Dencorub ?



Cross et al, Lancet 1997

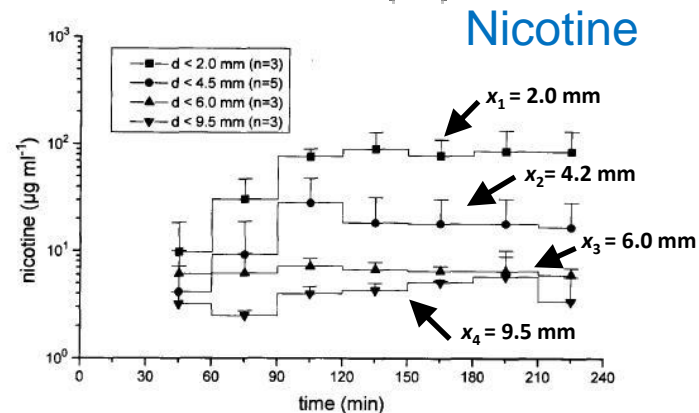
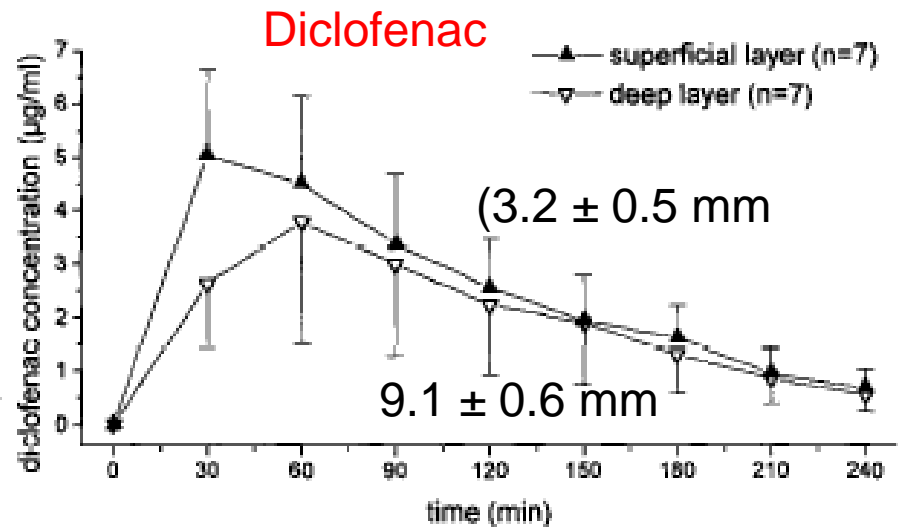
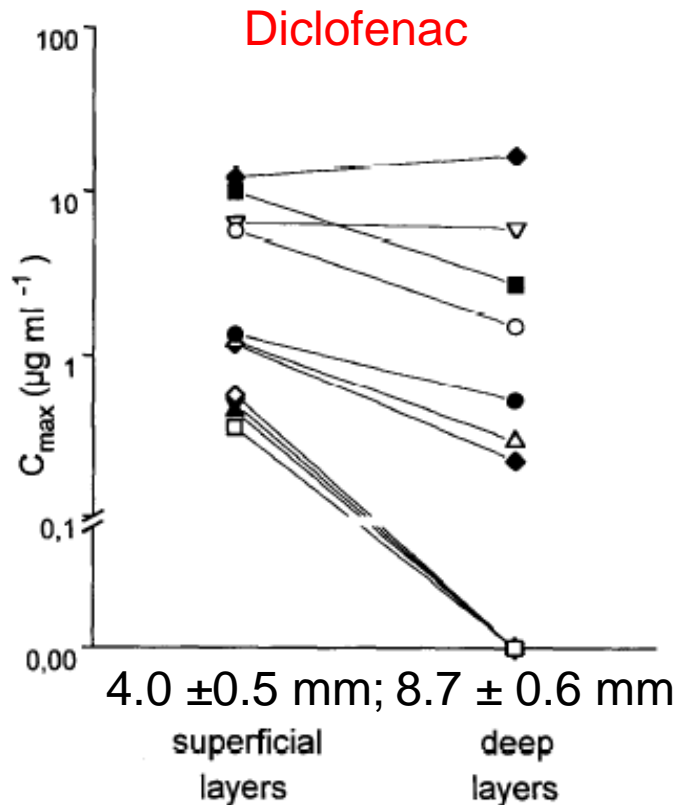


# How effective is the non-irritating product?

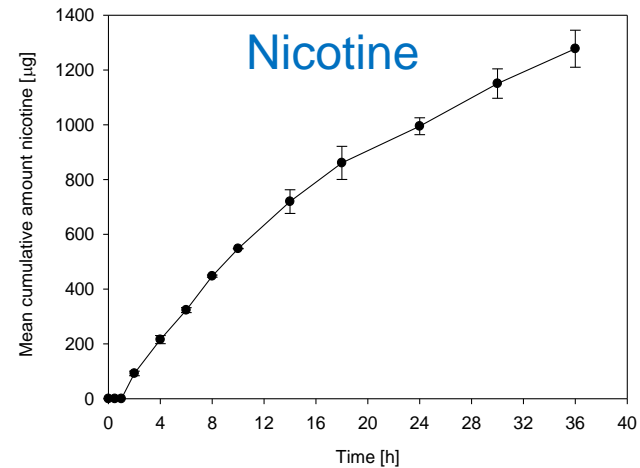
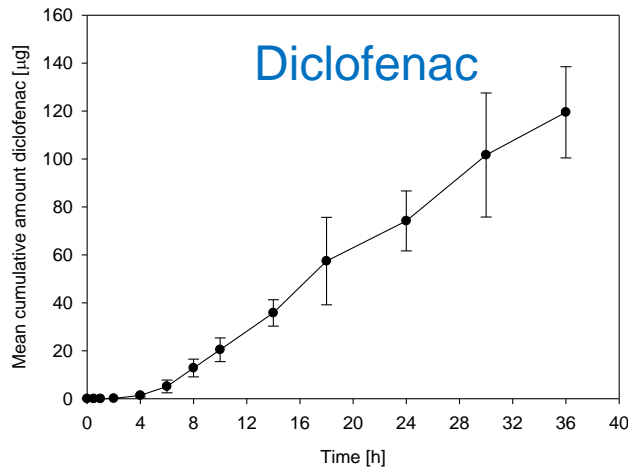


Cross et al, Lancet 1997

# Concentrations in defined human tissue layers after topical administration with microdialysis



# Diclofenac and nicotine *in vitro* human epidermal skin penetration (IVPT) experiments



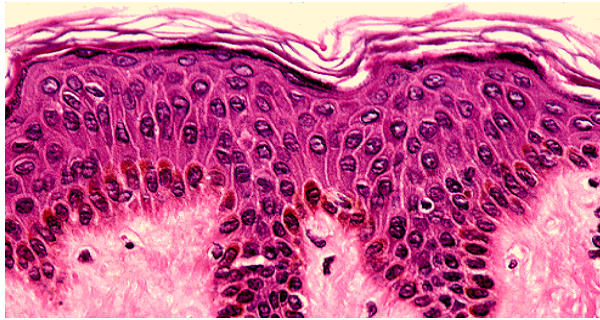
	$f_{u_{de}}$	$t_{lag}$ [h]	$D_{diff}$ [ $\text{cm}^2/\text{s}$ ]
Diclofenac	0.01	4.20	$5.9 \cdot 10^{-7}$
Nicotine	0.95	0.06	$3.2 \cdot 10^{-6}$

Note with dermis, may have longer lag time!

## How do we explain different deep tissue lag times for *in vitro* and *in vivo*?

Chemical	$f_{u_{BSA}}$	<i>In vitro</i> $t_{lag}$ [min] Dermis	<i>In vivo</i> $t_{lag}$ [min] Microdialysis
Diclofenac	0.05	537	< 30; < 60
Ibuprofen	0.11	216	41 (subcutis) 104 (muscle)
Propranolol	0.57	56	9.6-10.5
Fluconazole	0.85	26	30
Lidocaine	0.90	44	110
Nicotine	0.91	44	35; 180

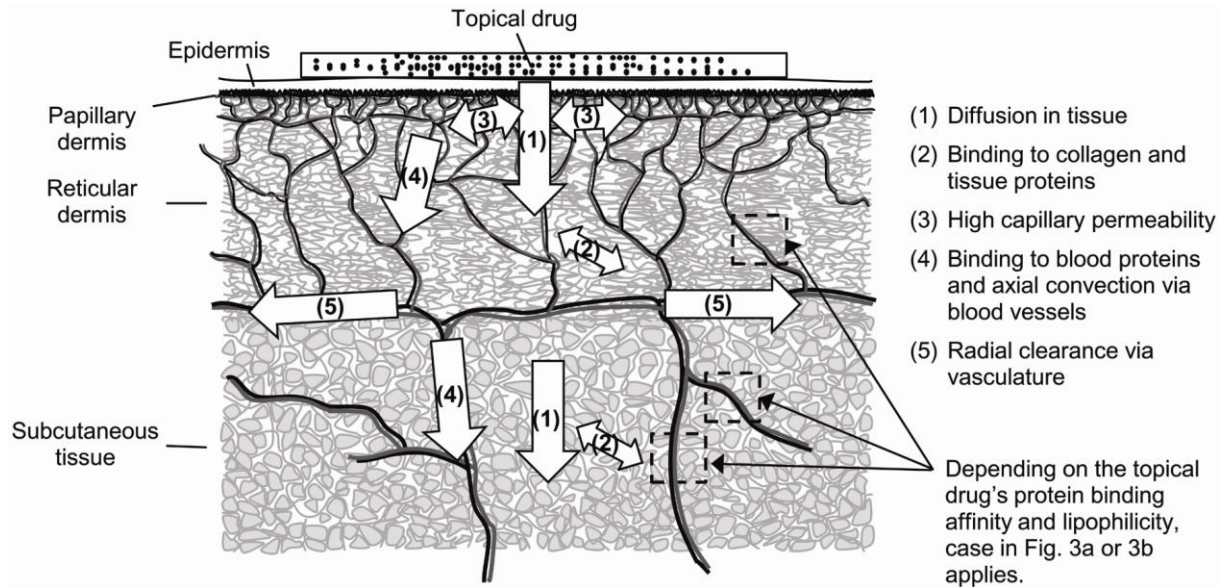
Another consideration – deep location. How do we get there and do show we have?



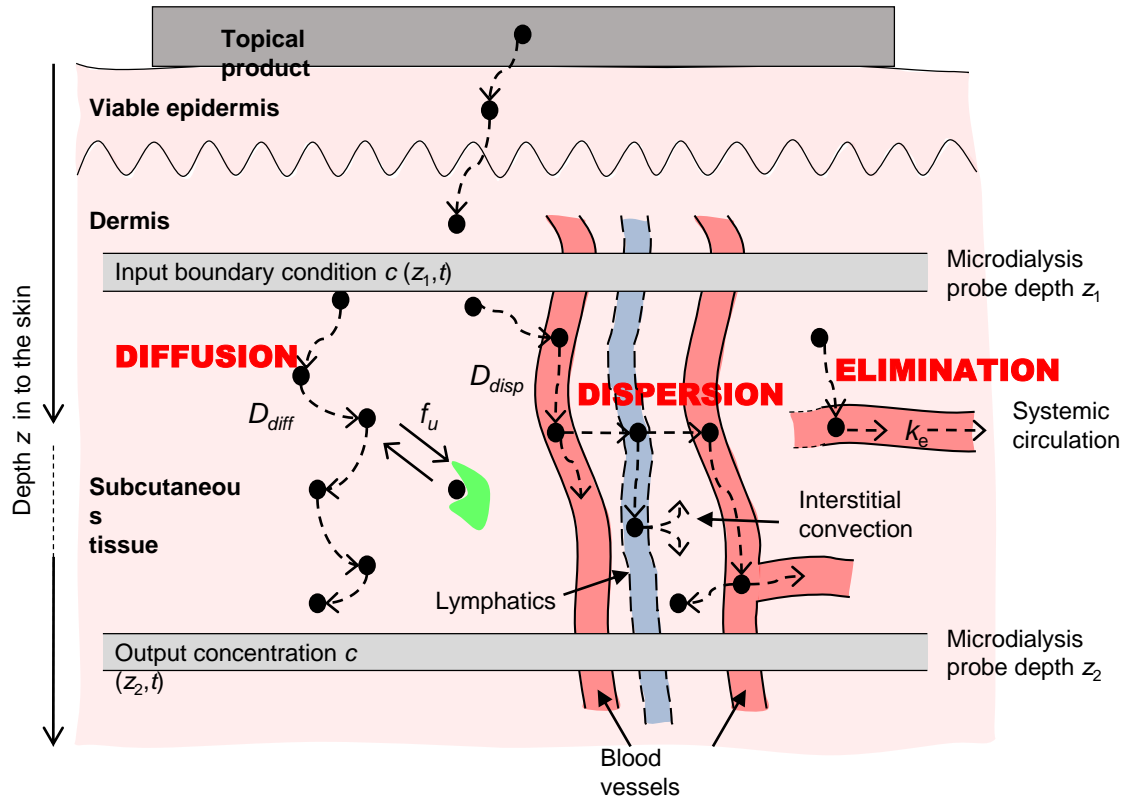
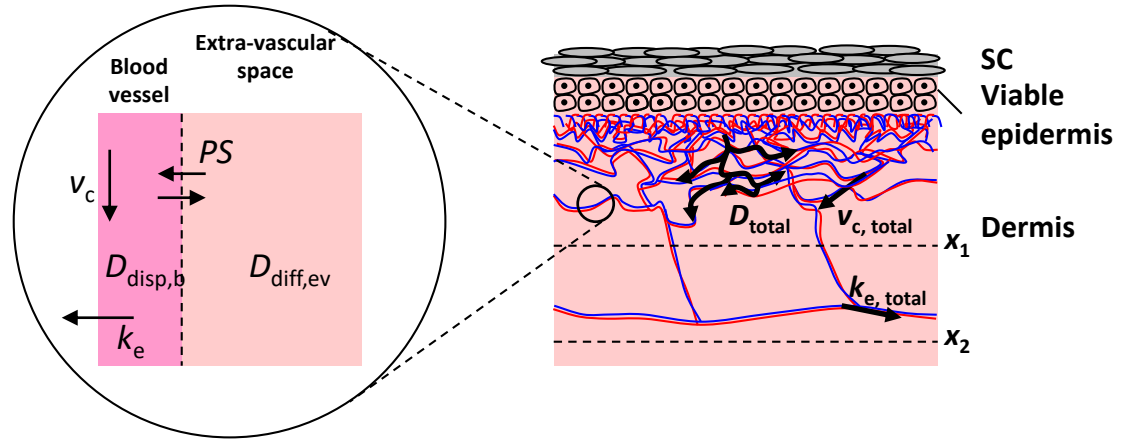


## Physiological pharmacokinetic model of drug transport in deep skin tissue

Yuri Dancik, Yuri G. Anissimov, Owen G. Jepps, Michael S. Roberts  
Therapeutics Research Centre, University of Queensland School of Medicine  
Brisbane, Australia



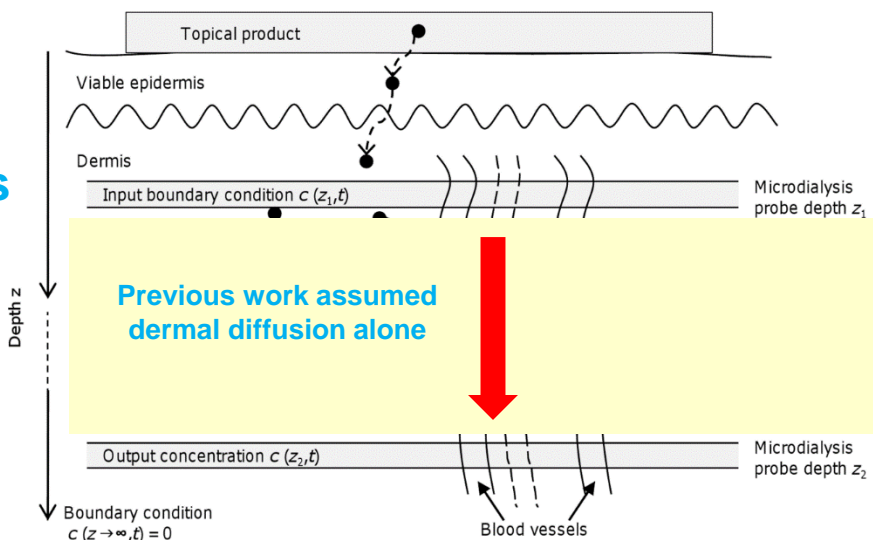
# PBPK model



# Mechanism of dermal transport in man *in vivo*

**Found much shorter lag times & higher dermal levels than predicted**

**– especially if drug highly plasma protein bound**



Apply convection – dispersion – elimination model (as described earlier for liver)

$$\frac{\partial c(z, t)}{\partial t} = (D_{\text{disp}} + f_u D_{\text{diff}}) \left( \frac{\partial^2 c(z, t)}{\partial z^2} \right) - k_e c(z, t)$$

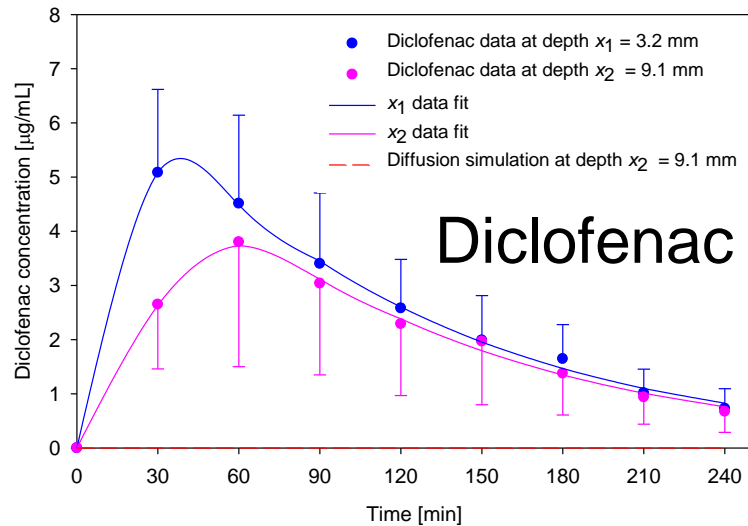
With input function  $c(z_1, t) = c_1 = A(e^{-b_1 t} - e^{-b_2 t})$

And numerical inversion and regression in the Laplace domain

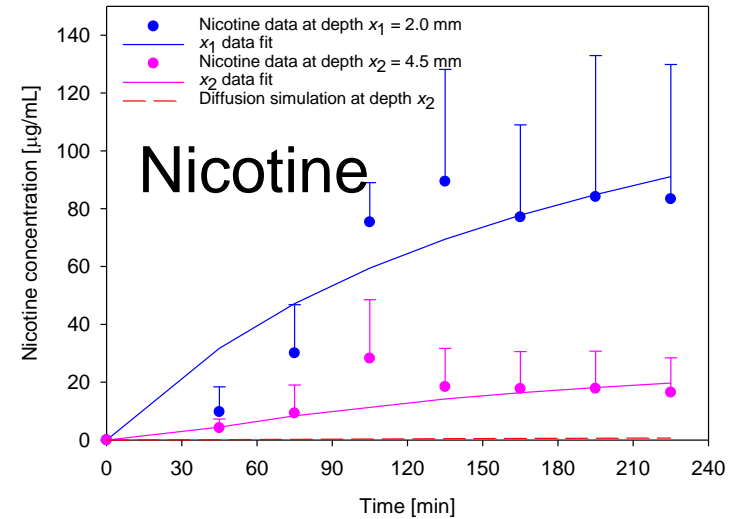
$$c_2(s) = [A/(s + b_1)(s + b_2)] \cdot \exp \left[ \left( -\sqrt{(s + k_e)/(D_{\text{disp}} + f_u D_{\text{diff}})} \right) (z_2 - z_1) \right] \quad \text{Dancik BJCP 2011}$$



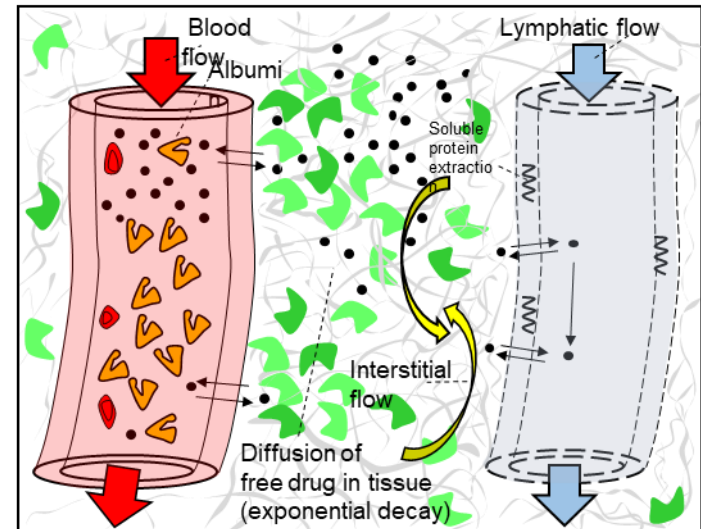
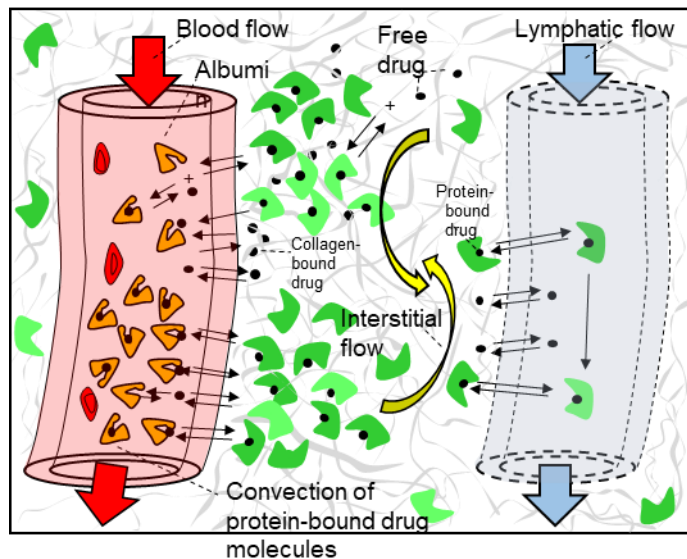
# Contrasting penetration mechanisms



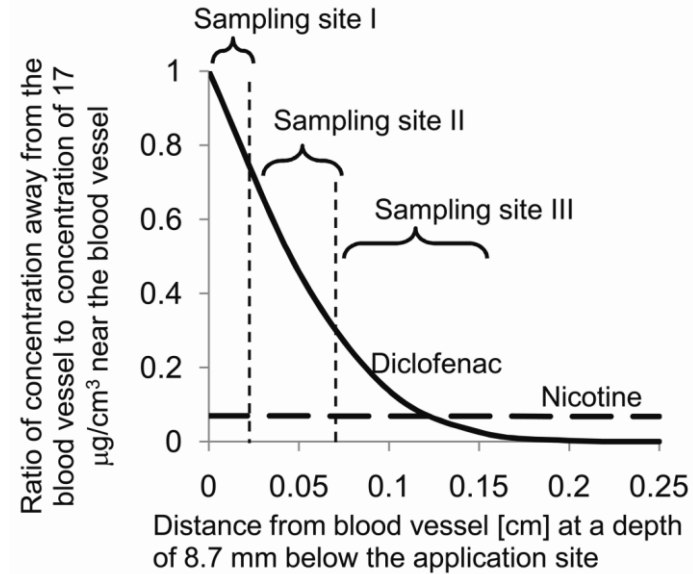
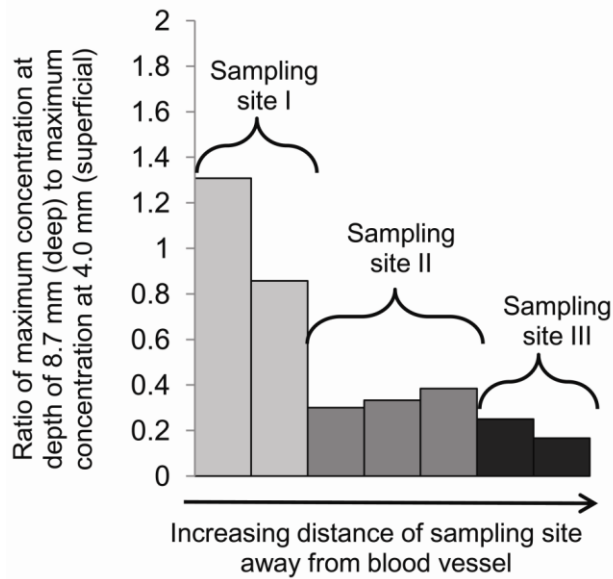
Moderate  $K_{O/W}$ , **high** protein binding



Moderate  $K_{O/W}$ , **low** protein binding



# Importance of blood vessel availability below site of topical application for diclofenac-like drugs



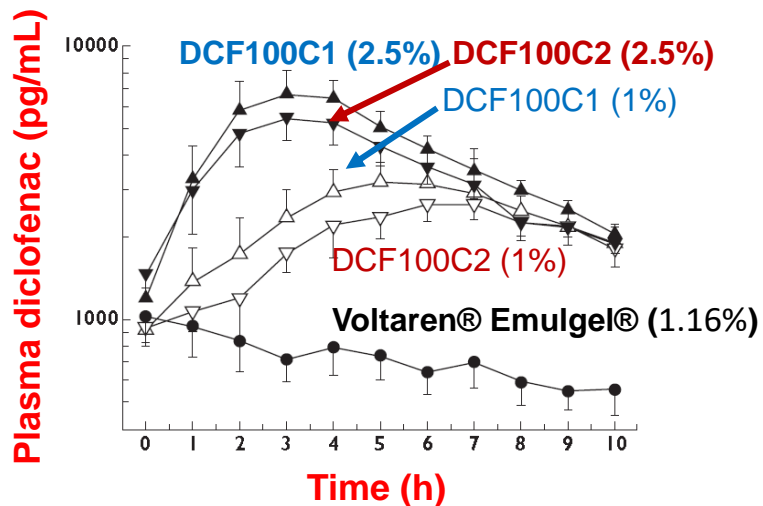
Max. diclofenac concentration from Müller *et al.*, Clin Pharmacol Ther, 1997

# A new topical formulation enhances relative diclofenac bioavailability in healthy male subjects

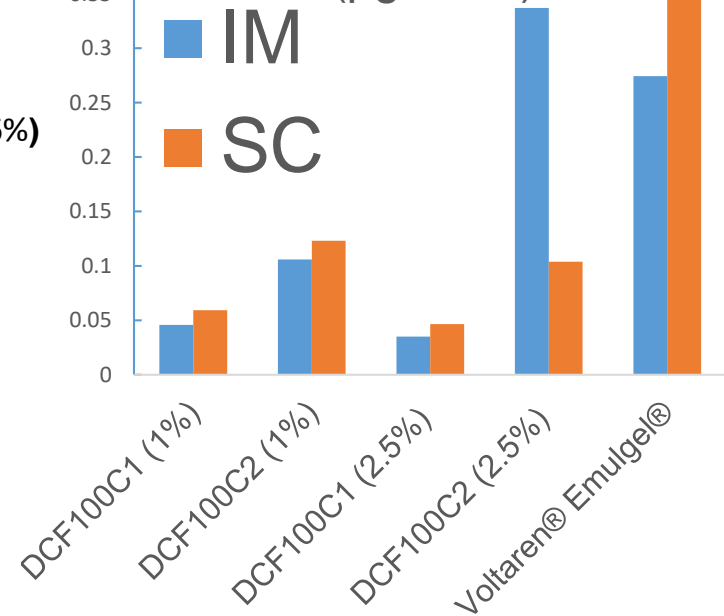
➤ Single-centre, open-label, three-period, crossover clinical trial of five discrete diclofenac formulations.

- Two concentrations (1.0% and 2.5%) of DCF100C, with and without menthol and eucalyptus oil (total daily doses of 5mg and 12.5 mg).

- Voltaren® Emulgel® gel (1.0%) as reference (total daily dose of 40 mg).



Ratio of microdialysis (“free”) tissue to “total” plasma AUC 0-10 h (pg/mL.h)



# Diclofenac in soft tissues, plasma & synovium after topical and oral applications

- Diclofenac sodium applied to 14 subjects (four male and 10 female prior to knee arthroplasty for osteoarthritis:
  - ✓ Oral capsule of 37.5 mg diclofenac sodium (Voltaren SR)
  - ✓ Topical - two 70-cm<sup>2</sup> Voltaren Tapes<sup>®</sup> (total 30 mg diclofenac sodium dissolved in 3 g of adhesive for 2)
- At 12 h diclofenac concentration in the fat, muscle and synovial tissues by LCMS.

Found diclofenac concentrations for topical versus oral

- **Muscle** - Higher 9.29 ng/ mL vs 0.66 ng/mL (p=0.02)
- **Plasma** - No significant difference 4.70 vs 6.63 ng/ mL
- **Synovial** - Lower 4.99 vs 15.07 ng/mL (p=0.02)

S.Miyatake et al. BJCP 2008

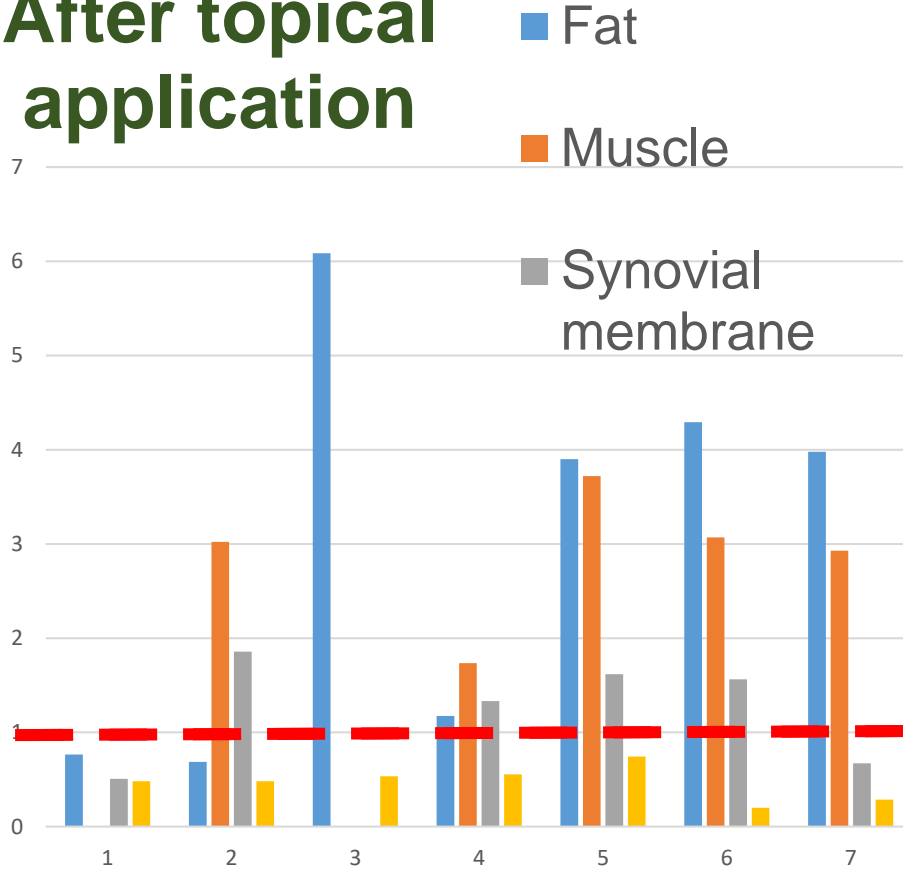
Patient	Fat	Muscle	Synovial membrane	Plasma	Synovial fluid
1	4.757	<LOQ	3.15	6.209	2.994
2	2.45	10.776	6.626	3.566	1.718
3	20.47	<LOQ	<LOQ	3.364	1.8
4	6.03	8.911	6.844	5.133	2.851
5	6.953	6.632	2.886	1.783	1.325
6	32.655	23.359	11.899	7.608	1.524
7	20.894	15.381	3.535	5.252	1.504
Mean	13.46	9.29	4.99	4.70	1.96
SD	11.11	1.11	3.84	1.95	0.68
5% CI	3.35	1.84	1.55	2.96	1.35
95% CI	16.81	11.14	6.55	7.66	3.31
8	4.236	<LOQ	6.695	4.242	8.301
9	2.946	<LOQ	11.242	5.069	15.747
10	6.494	3.167	16.166	5.255	7.858
11	1.857	<LOQ	12.413	3.415	17.233
12	<LOQ	<LOQ	4.787	2.37	2.655
13	5.907	<LOQ	24.232	11.728	32.485
14	5.276	<LOQ	29.965	14.335	33.064
Mean	3.85	0.66	15.07	6.63	16.76
SD	2.37	1.11	9.17	4.54	12
5% CI	1.72	-0.62	6.87	2.57	6.04
95% CI	5.54	-0.17	21.95	9.20	22.80
P-value	0.0476	0.0196	0.0181	0.6547	0.004

Topical application

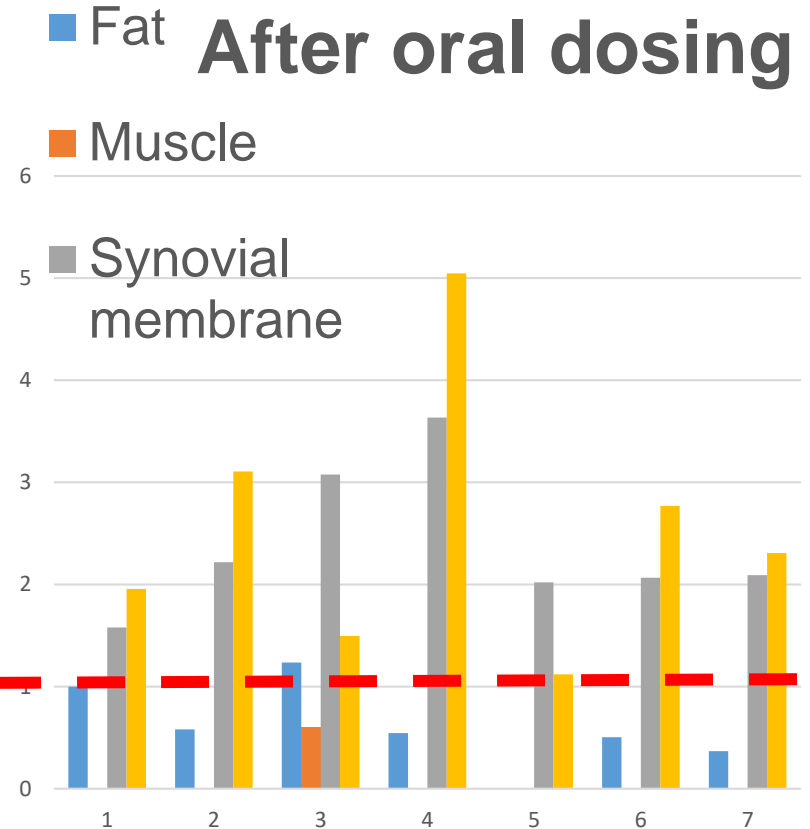
Oral dosing

# Ratio of tissue to plasma diclofenac concentrations

## After topical application



## After oral dosing



**Note Ratios:** Fat & Muscle ratio >1 for 5 subjects

Synovial membrane >1 for 4; Synovial fluid <1 for all

**Note Ratios:** Fat & Muscle ratio <1 for all;

Synovial membrane & fluid  $\geq 1$  for all.

# Topical diclofenac – general principles

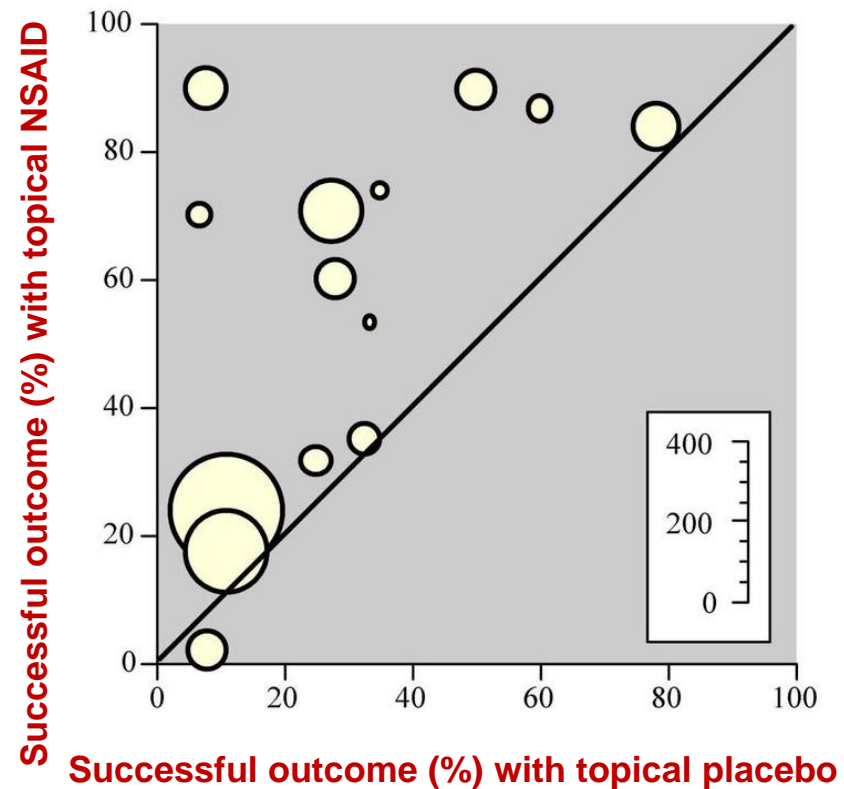
- ❖ Systemic exposure to diclofenac is limited after topical application.
- ❖ Diclofenac plasma concentrations were low or diclofenac was not detected irrespective the site of application of Emulgel on the body:
  - Back or forearm in healthy volunteers
  - Hand or kneel in patients
- ❖ Diclofenac has much higher concentrations in dermis and muscle than in plasma.
- ❖ Equivocal results for synovial fluid and tissue versus plasma

SIOUFI et al. Percutaneous absorption of diclofenac in healthy volunteers after single and repeated topical application of diclofenac emulgel. *Biopharmaceutics & Drug Disposition*, Vol. 15, 441-449 (1994)

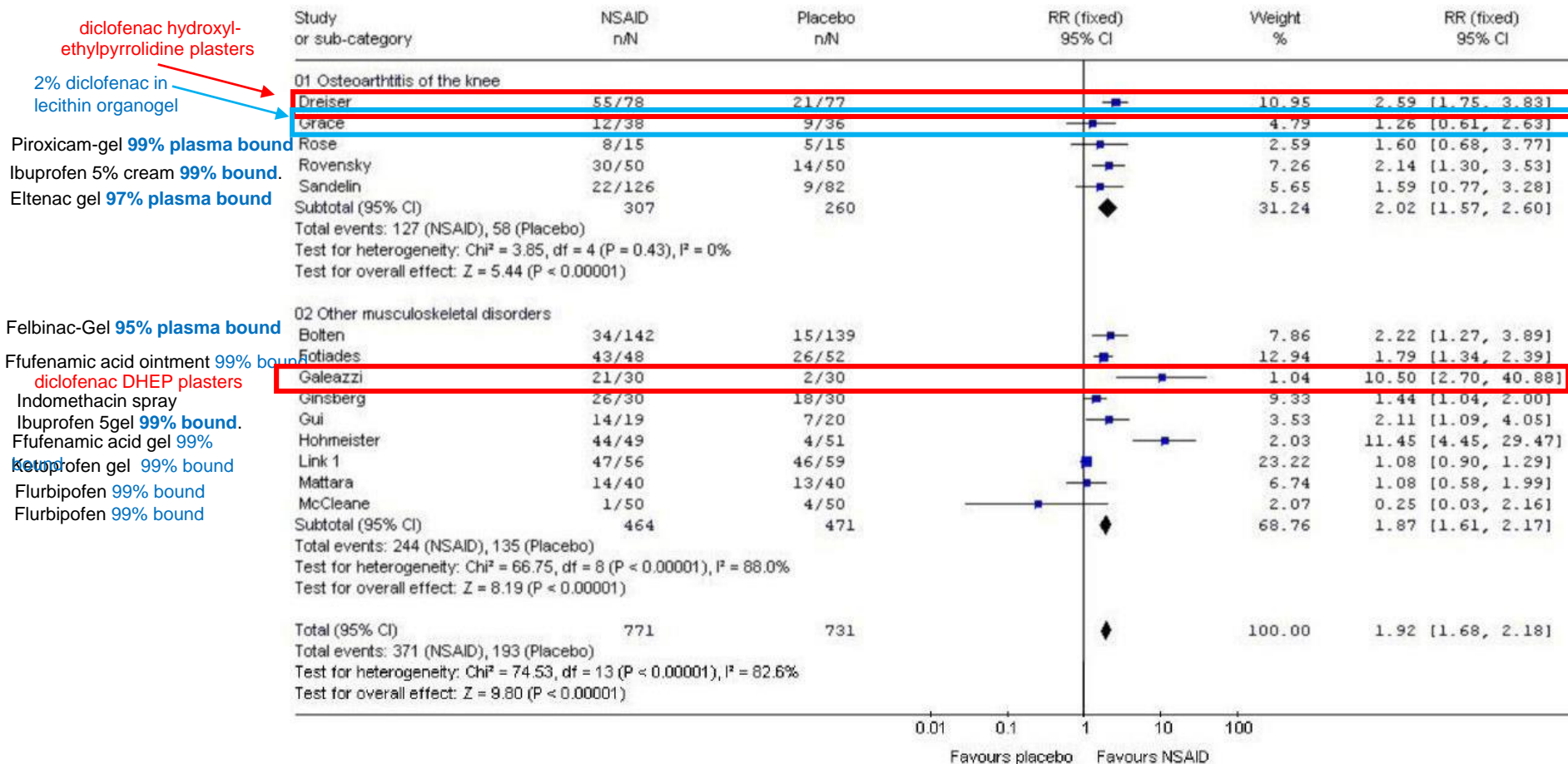
# Topical NSAIDs for chronic musculoskeletal pain: systematic review and meta-analysis

- Topical NSAIDs in chronic musculoskeletal pain  
Randomised double-blind studies of topical NSAID compared to topical placebo for two-week outcome of successful treatment. Inset scale shows size of individual trials.

*Mason et al BMC Musculoskeletal Disorders 2004, 5:28*



# Topical NSAIDs versus placebo for chronic pain



diclofenac hydroxyl-ethylpyrrolidine plasters

2% diclofenac in lecithin organogel

Piroxicam-gel 99% plasma bound

Ibuprofen 5% cream 99% bound.

Eltencac gel 97% plasma bound

Felbinac-Gel 95% plasma bound

Fufenamic acid ointment 99% bound

diclofenac DHEP plasters

Indomethacin spray

Ibuprofen 5gel 99% bound.

Fufenamic acid gel 99%

ketoprofen gel 99% bound

Flurbipofen 99% bound

Flurbipofen 99% bound



# Forest plot: diclofenac vs carrier for chronic musculoskeletal pain

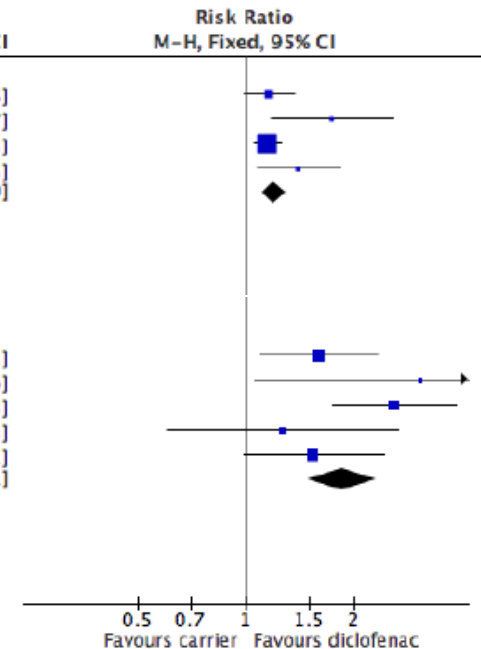
Clinical success' is either: A. >50% reduction in pain intensity or B. Osteoarthritis Research Society International Index (OARSI) response that includes response to pain, pain, function, and patient's global assessment

- Six studies (four publications; 2343 participants) of **6 to 12 weeks' duration**
  - 4 gel formulation
  - 2 solutions
  - knee arthritis in 5, hand arthritis in 1
- **Risk ratio (RR)** of treatment compared with carrier was **1.2** (95% confidence interval (CI) 1.1 to 1.3), and the NNT was 9.8 (7.1 to 16).

Study or Subgroup	Diclofenac		Carrier		Weight	Risk Ratio	
	Events	Total	Events	Total		M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
<b>1.1.1 Duration 6 to 12 weeks</b>							
Altman 2009	130	198	106	187	18.5%	1.16	[0.99, 1.36]
Baer 2005	46	105	27	107	4.5%	1.74	[1.17, 2.57]
Baraf 2011	461	719	394	705	67.5%	1.15	[1.05, 1.25]
Roth 2004	79	163	55	159	9.4%	1.40	[1.07, 1.83]
Subtotal (95% CI)		1185		1158	100.0%	1.20	[1.12, 1.29]
Total events	716		582				
Heterogeneity: Chi <sup>2</sup> = 5.97, df = 3 (P = 0.11); I <sup>2</sup> = 50%							
Test for overall effect: Z = 4.94 (P < 0.00001)							

<b>1.1.2 2 to ≤ 6 weeks</b>							
Bookman 2004	44	84	26	79	31.6%	1.59	[1.09, 2.32]
Bruhlmann 2003	12	51	4	52	4.7%	3.06	[1.06, 8.86]
Dreiser 1993	55	78	21	77	24.9%	2.59	[1.75, 3.83]
Grace 1999	12	38	9	36	10.9%	1.26	[0.61, 2.63]
Niethard 2005	36	117	24	120	27.9%	1.54	[0.98, 2.41]
Subtotal (95% CI)		368		364	100.0%	1.86	[1.50, 2.31]
Total events	159		84				
Heterogeneity: Chi <sup>2</sup> = 5.97, df = 4 (P = 0.20); I <sup>2</sup> = 33%							
Test for overall effect: Z = 5.61 (P < 0.00001)							

Test for subgroup differences: Chi<sup>2</sup> = 14.09, df = 1 (P = 0.0002), I<sup>2</sup> = 92.9%

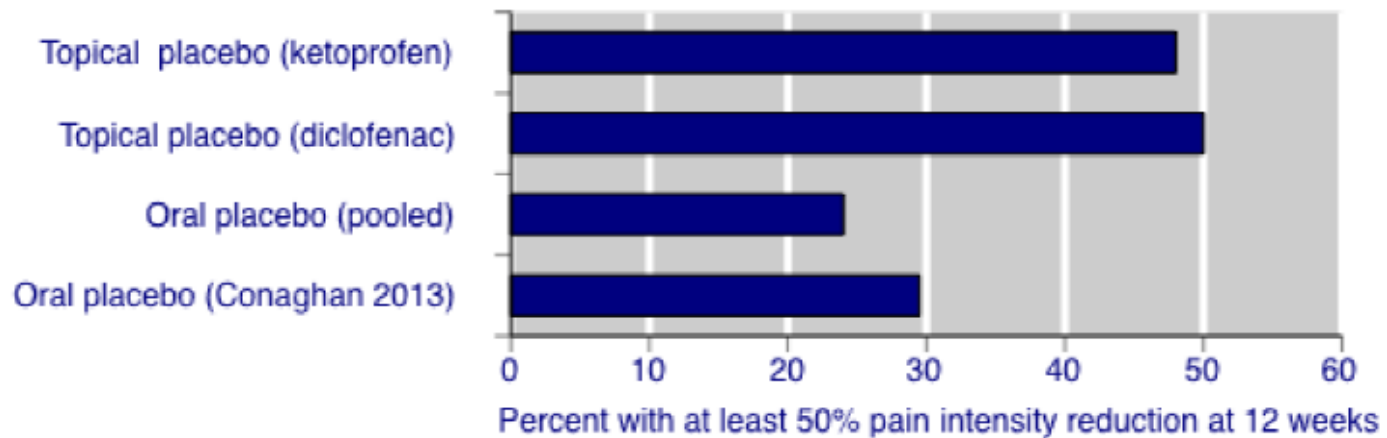


- Five studies (732 participants) of **2 to < 6 weeks' duration in knee arthritis**
  - 2 plaster formulation
  - 2 gels
  - 1 solution
- The **RR** of treatment compared with carrier was **1.9** (1.5 to 2.3), and the NNT was 5.0 (3.7 to 7.4)
  - Plaster alone (258 participants) the RR was 2.7 (1.8 to 3.9) and the NNT was 3.1 (2.3 to 4.6).
  - Gel and solution (474 participants), the RR was 1.5 (1.2 to 2.0) and the NNT was 7.5 (4.6 to 20).

**Derry S, et al Topical NSAIDs for chronic musculoskeletal pain in adults. Cochrane Database Syst Rev. Update April 2016**

# Topical placebo response can be quite profound

Figure 6. Placebo responses in topical NSAID studies for at least 50% pain intensity reduction after 12 weeks, compared with oral placebo from a pooled analysis and a single study with direct comparison with topical placebo.



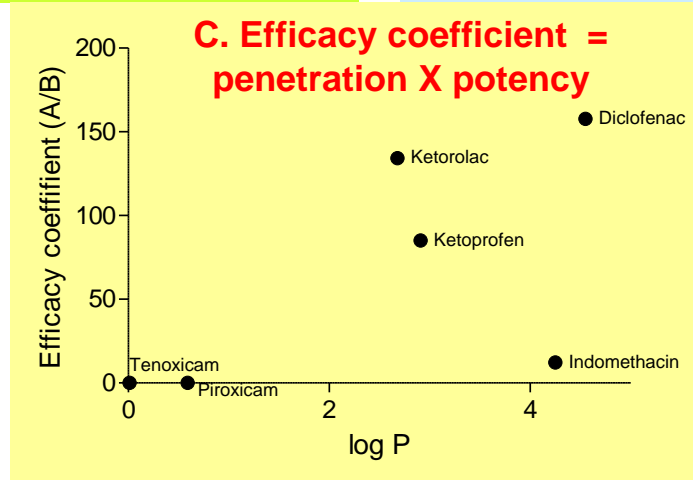
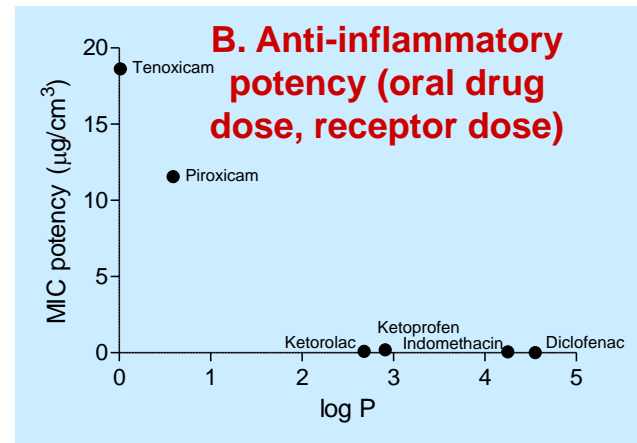
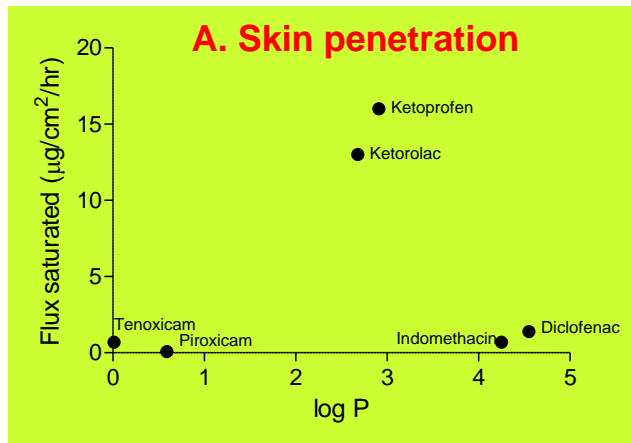
## Implications for practice for people with chronic musculoskeletal pain

Topical diclofenac and topical ketoprofen can provide good levels of pain relief in knee osteoarthritis in people aged over 40 years, but only in about 10% more people than with carrier. Adverse events are minimal with topical nonsteroidal anti-inflammatory drugs (NSAIDs).

## Topical analgesics for acute and chronic pain in adults – an overview of Cochrane Reviews (Review)

- The major implication for clinicians is the knowledge that there is a body of reliable evidence about a number of topical analgesics in acute and chronic pain. Drug and formulation matter, so choice of therapy should usually be driven by the evidence:
- Topical diclofenac and ketoprofen gel for strains and sprains, and to an extent in knee and hand osteoarthritis.
- Topical capsaicin high-concentration may be of limited use in some people with postherpetic neuralgia.
- Topical salicylate, low-concentration capsaicin, clonidine, and lidocaine are not well supported by evidence, or much evidence of effect.
- The issue is not which topical analgesic product works best, but achieving success for individual people with pain.

# Product Efficacy – Topical NSAIDs



Jepps et al  
ADDR

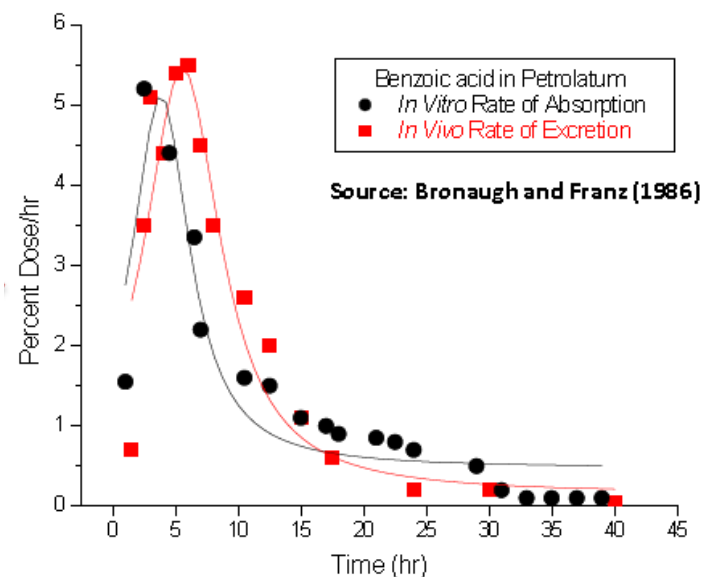
# FDA Bioequivalence evaluation

- **A Modular Framework for In Vitro BE Evaluation Q1/Q2**  
sameness of inactive ingredient components and quantitative composition
- **Q3 (Physical & Structural Characterization)** as relevant to the nature of the product
- **IVRT** (In Vitro Release Test) for moderately complex products
- **IVPT** (In Vitro Permeation Test) or another bio-relevant assay for more complex drug products
  
- **A Scalable Framework for BE Evaluation In Vivo**  
systemic PK studies may be appropriate
- **In Silico** computational modeling may be useful

# FDA continued

- **Q1/Q2 Sameness**  
(components and composition of excipients)
- Mitigates the risk of known failure modes related to:
  - Irritation and sensitization
  - Formulation interaction with diseased skin
  - Stability, solubility, etc. of the drug
  - Vehicle contribution to efficacy

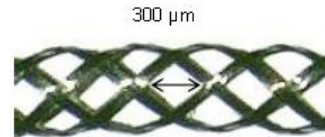
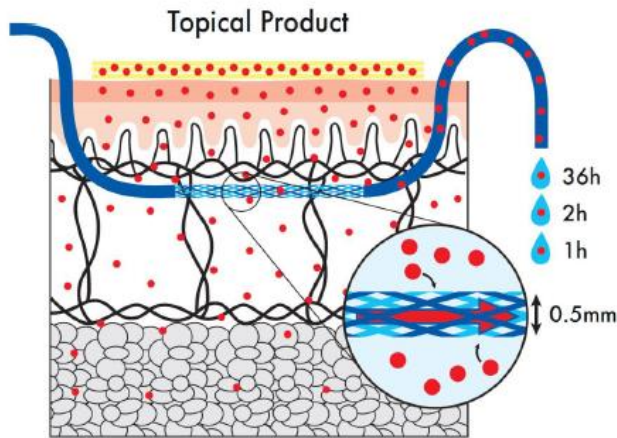
## IVIVR



# Frank Sinner's OFM work

## Open Flow Microperfusion an introduction

✓ OFM samples represent diluted but unfiltered interstitial fluid



CE-certified for clinical use

Variations may result from differences in

**Trauma formation**

Application site

Dosage application

Probe depth

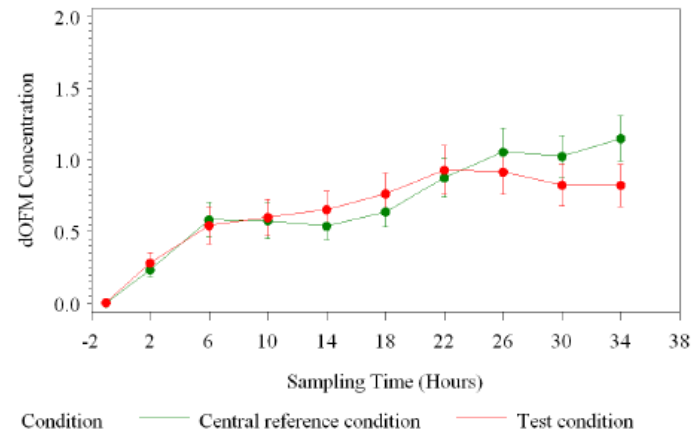
Flow rate

Local blood flow

Lateral diffusion and cross-talk

Systemic absorption and cross-talk

dOFM acyclovir concentrations as a function of time  
Mean +/- SE (across all limbs)



From Frank Sinner AAPS 2017



# FDA - Let us look at formulation testing in terms of the skin morphology & sites of action

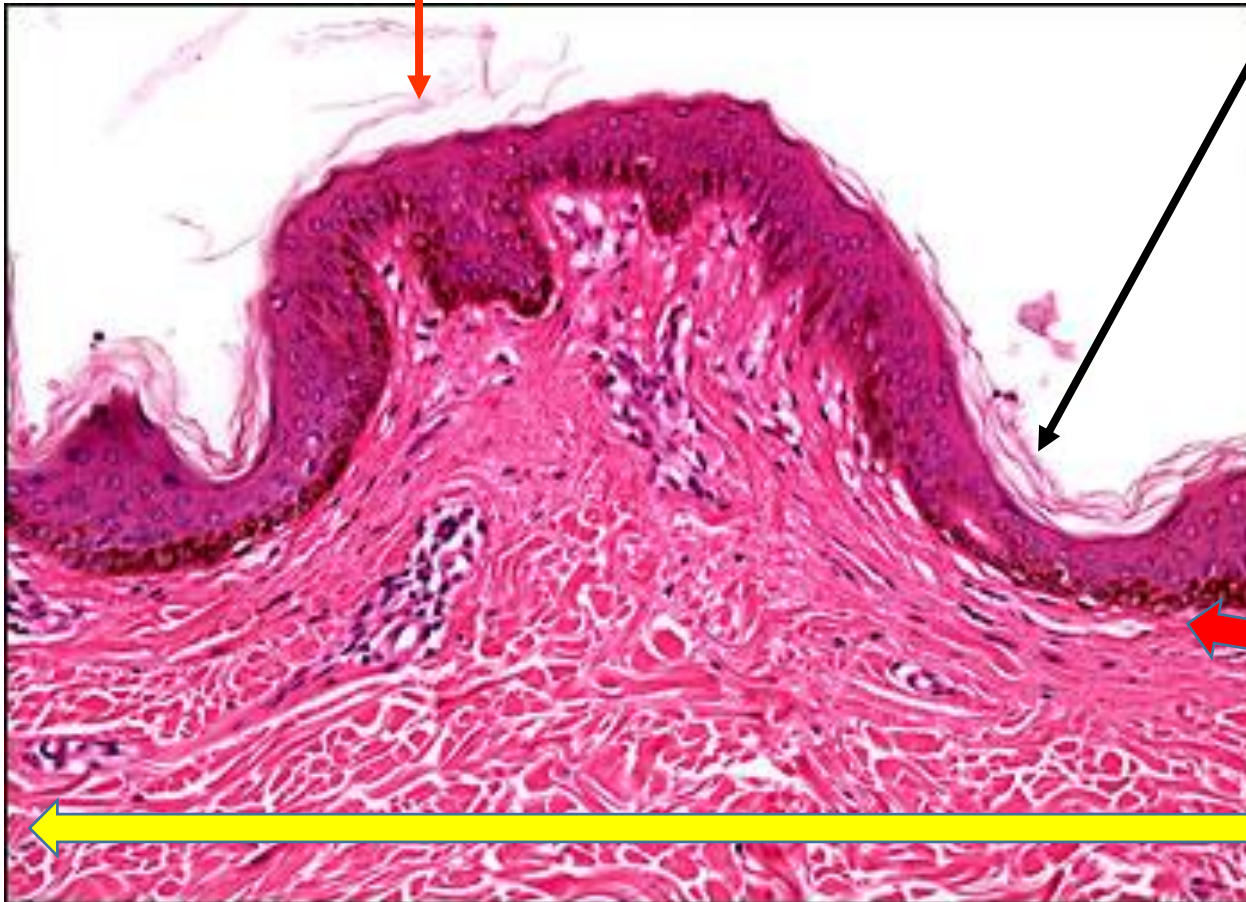
Sampling - stratum corneum stripping is potential method to assess skin permeation

Stratum corneum – main barrier – also potential target site

Various regions in viable epidermis & upper dermis = key target site

Epidermal membrane sampling site

Dermal sampling site for microdialysis and dermal microperfusion (*in vivo*) & *in vitro* dermatomed skin

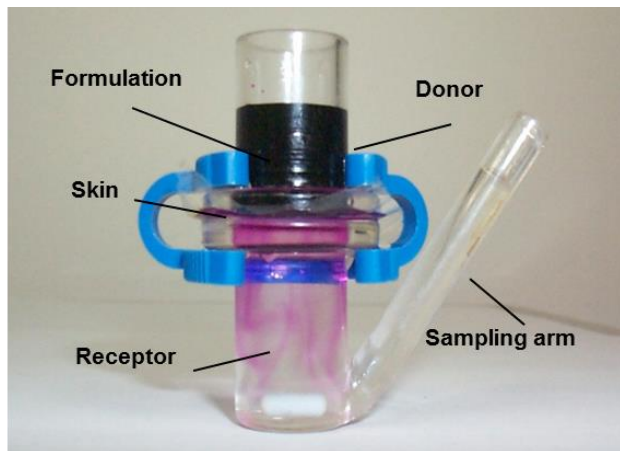




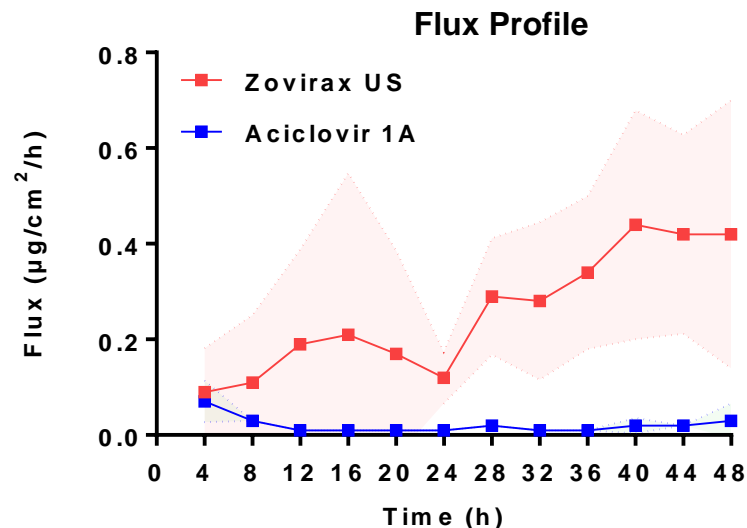
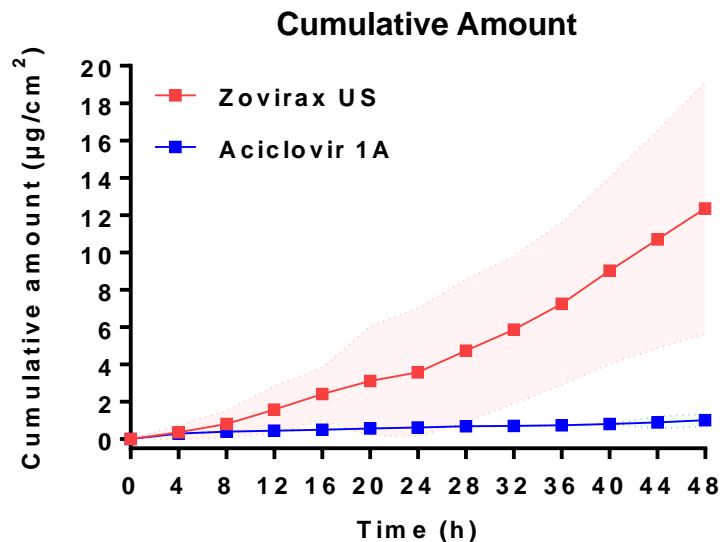
# One focus is *In Vitro* Permeation Test (IVPT)

Sandwich stratum corneum, epidermis, dermatomed skin & full thickness skin in a static or flow through Franz diffusion cell

- Long history
- Robust
- Simple
- Precise
- Reproducible



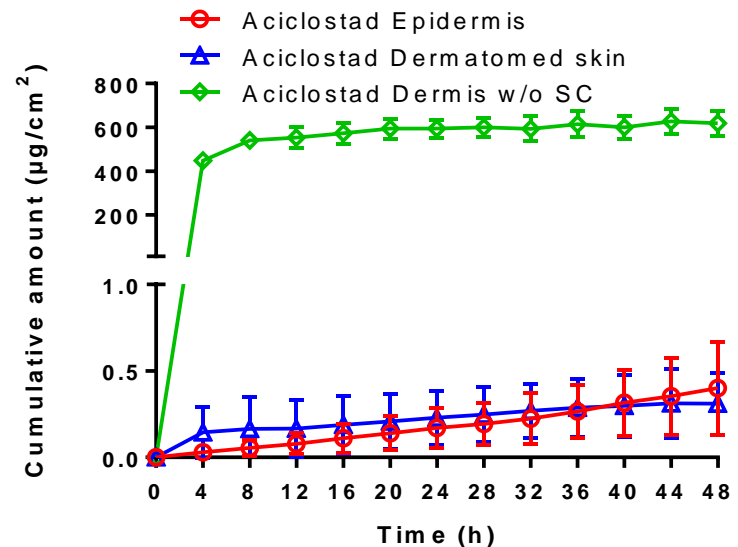
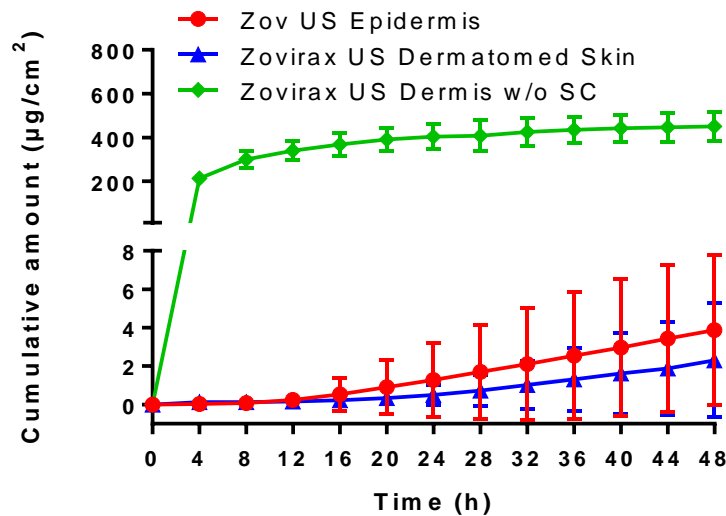
Here, epidermal membranes used for 2 acyclovir products



Data shown as mean  $\pm$  95% Confidence Interval (CI)  
Each point is the mean of 9\* (3 donors & 3 replicates per skin)

# In Vitro Permeation Test (IVPT) Studies

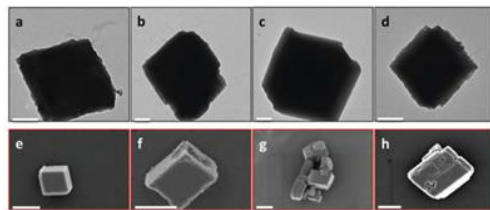
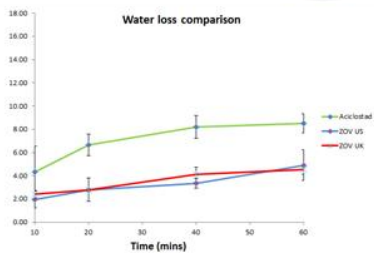
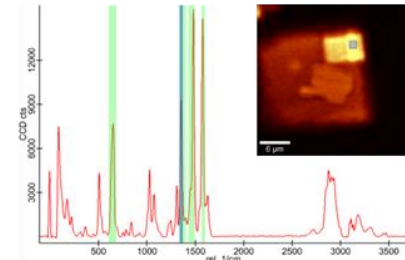
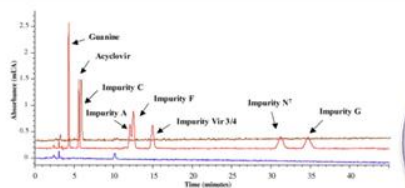
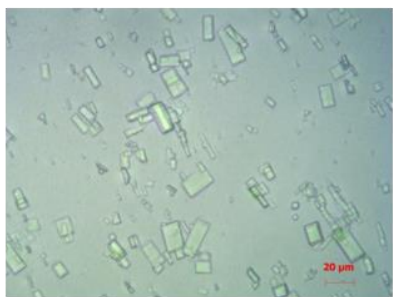
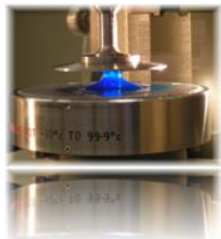
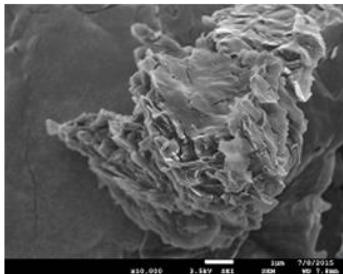
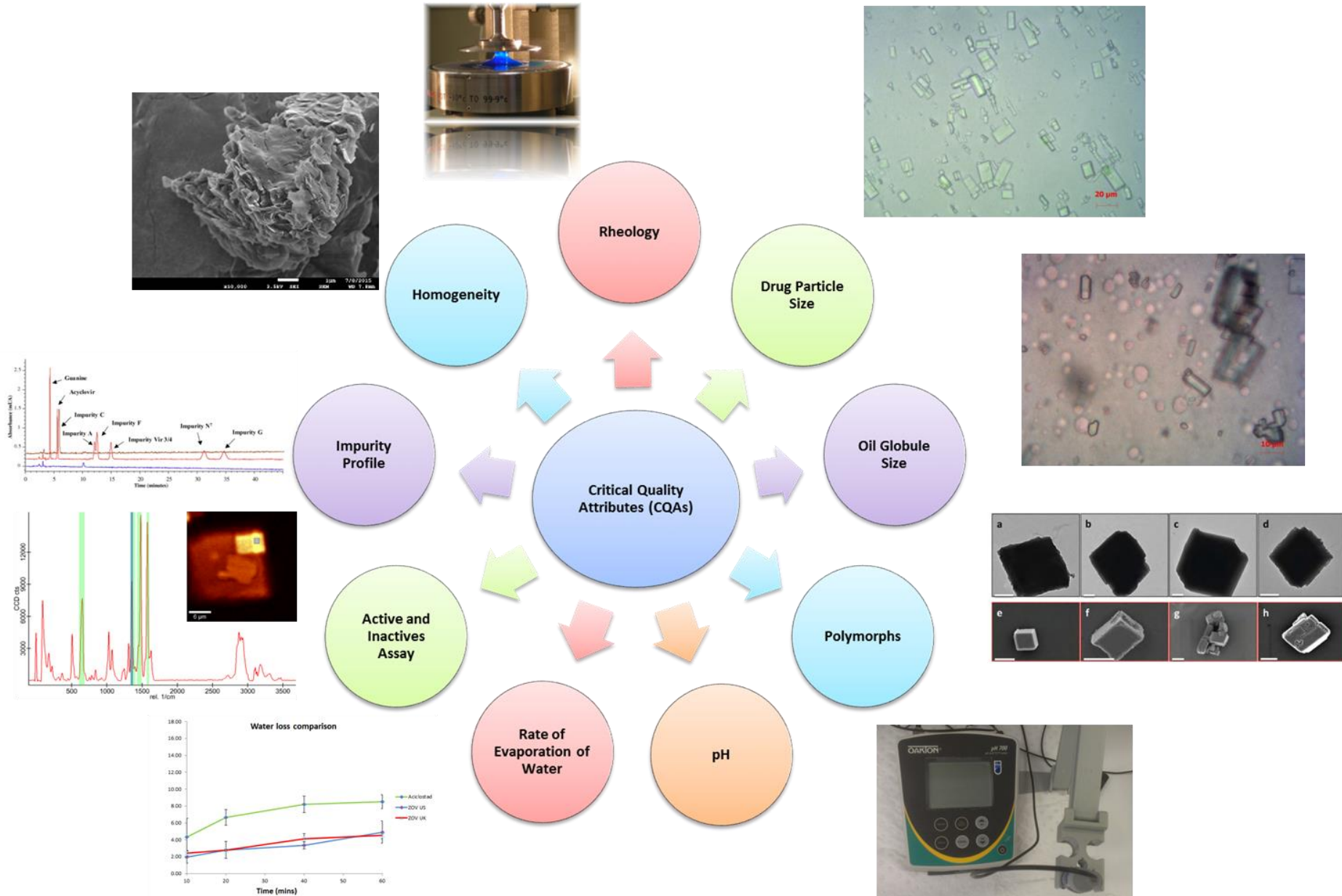
We found similar permeation profiles for 2 acyclovir products using human epidermal membranes & dermatomed skin; dermal membranes are very permeable!



Data shown as mean  $\pm$  95% Confidence Interval (CI)  
Each point is the mean of 9\* (3 donors & 3 replicates per skin)

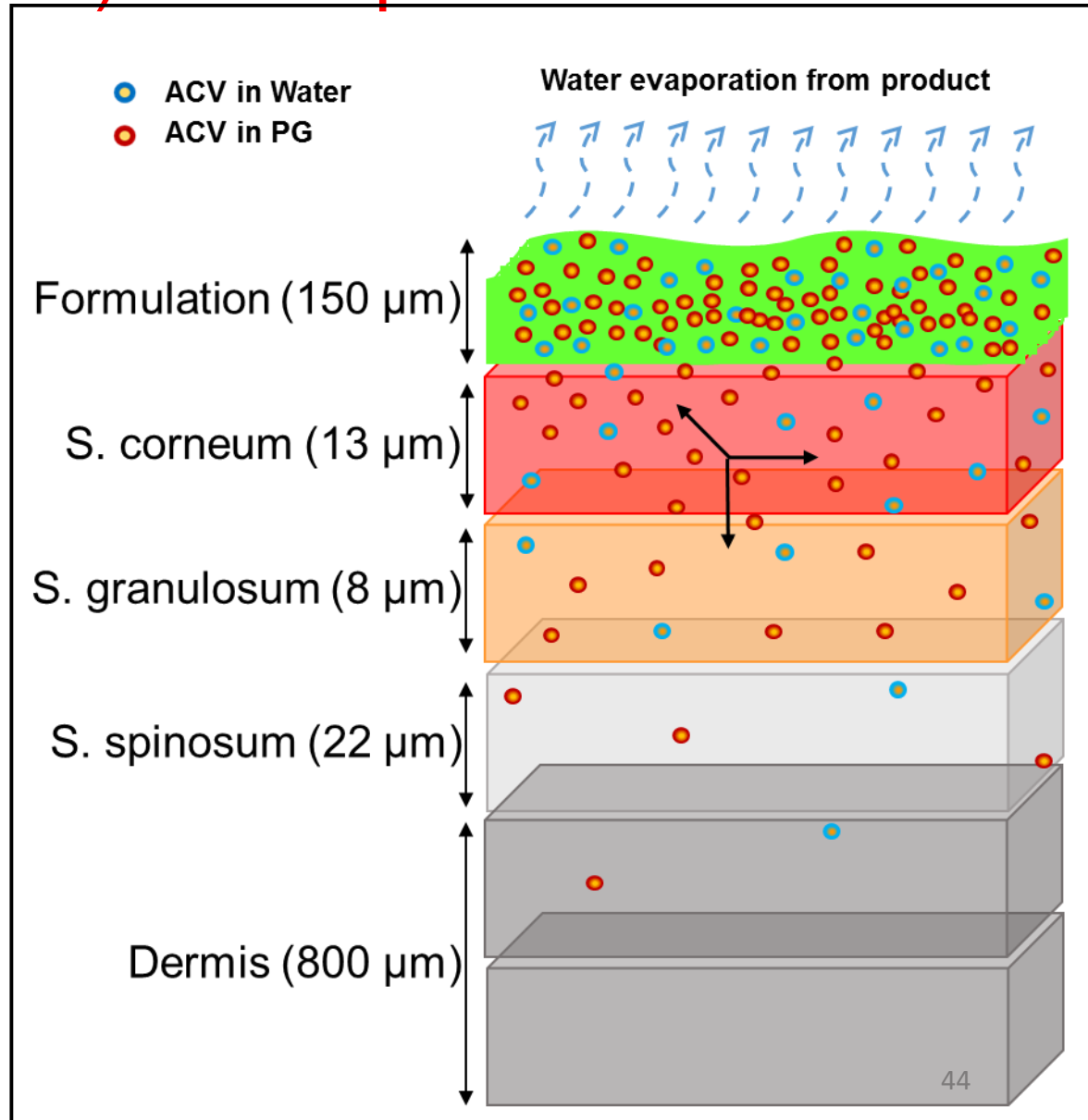
- Supports SC being main underlying barrier
- Suggests that either epidermal membranes or dermatomed skin could be used in acyclovir IVPT studies
- Skin barrier integrity is an important control component to get right.

# In vitro testing for product quality by an articulated battery of physicochemical tests - potential critical quality attributes, i.e. Q3



# Excipients interact directly with the stratum corneum (SC) can impact on IVPT

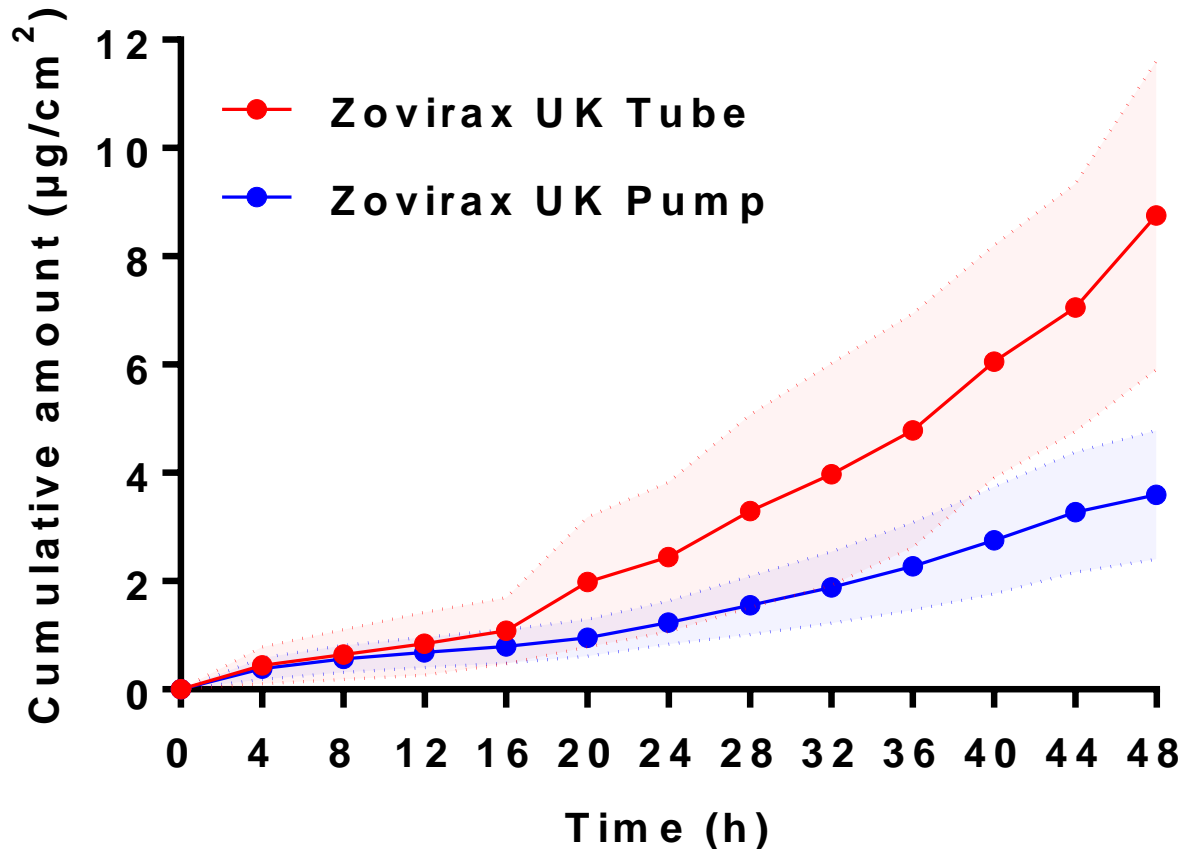
- Propylene glycol (PG) and water, known penetration enhancers, are two excipients present in all products
- Our work has also shown that PG and water can carry solutes into the SC & promote their permeation
- Both are likely to promote direct acyclovir uptake into the stratum corneum
- Potentially, product microstructure (Q3) can impact on acyclovir & enhancer bioavailability to the stratum corneum



## Q1, Q2 is important. What about Q3?

Need to consider specific case when Q1 and Q2 are the same

- The Q1 and Q2 of acyclovir packaged in a tube and a pump dispenser are the same;
- But their IVPT profiles differ – Why?



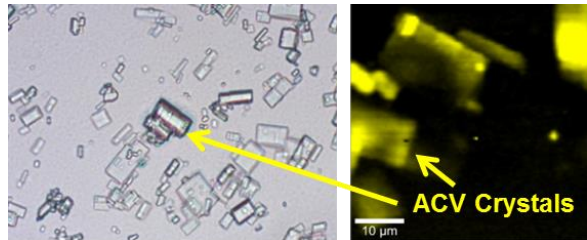
# Using confocal Raman & rheology to assess impact of dispensing on Q3 metamorphosis & IVPT

- Confocal Raman suggests that pumping affects the crystal habit for acyclovir and leads to the formation of dimethicone globules
- Rheology suggests that the packaged tube and pump have a similar yield stress but that the product after pumping is higher – due to dimethicone agglomeration?

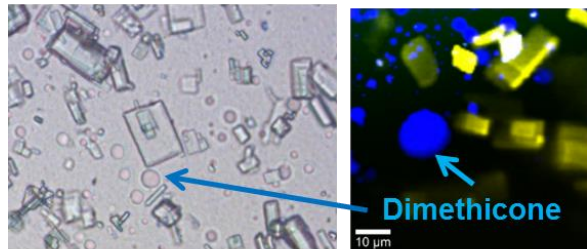
Yield stress  
from strain  
sweep (Pa)

$78 \pm 1.3$

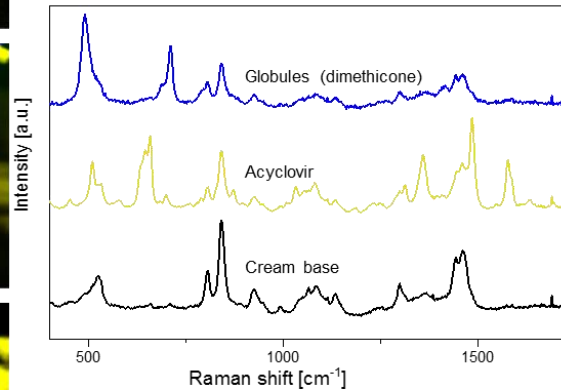
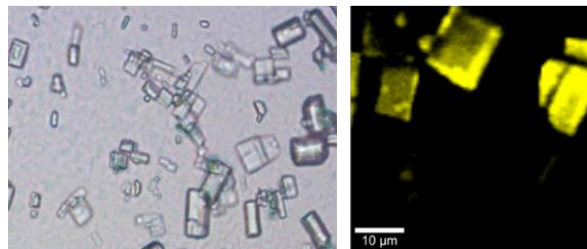
Zovirax UK Tube



Zovirax UK Pump



Zovirax UK Pump  
(container opened)

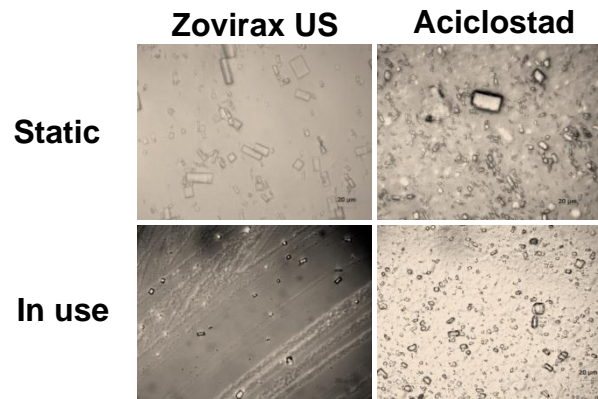


$182 \pm 0.6$

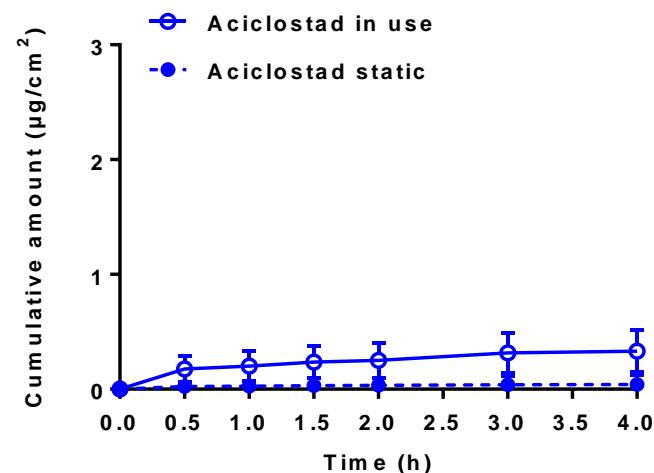
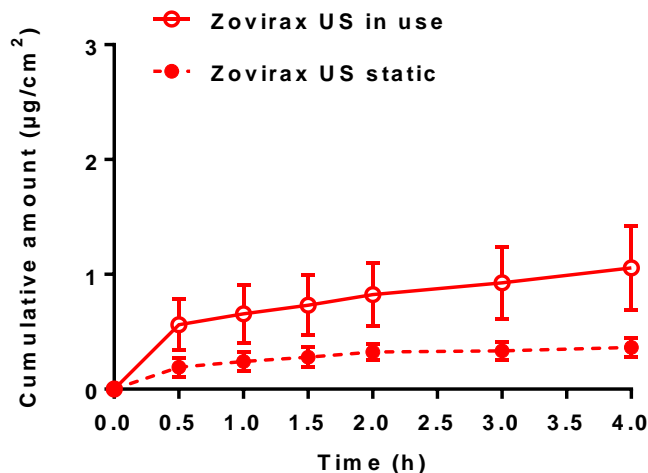
$70 \pm 10$

# Does how a product is applied to the skin also change the product microstructure (Q3) and resulting IVPT?

- In use (rubbing onto the skin for 30sec) led to a reduction in acyclovir particle size and redistribution of acyclovir in the various phases

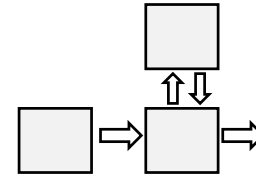


The IVPT for both Zovirax and Aciclostad suggests that rubbing enhances permeation and that this effect is more pronounced for the Zovirax product – indeed the ratio for rubbing/static amount permeated for Zovirax is 8-10 times higher than Aciclostad.

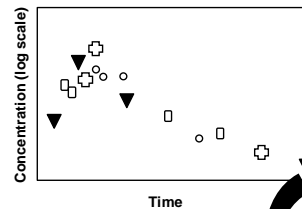


# Pharmacometric approach

Often need to assume or use a simple model

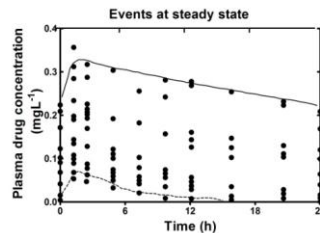


Phase 1,2 studies



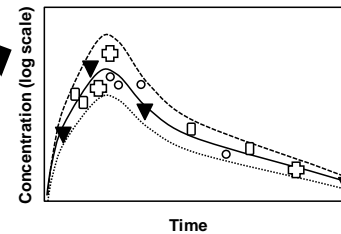
**Top down  
population  
pharmacokinetic  
approach**

Phase 3 studies



Co-variates

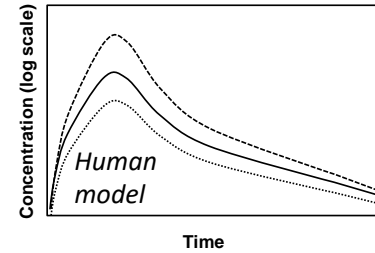
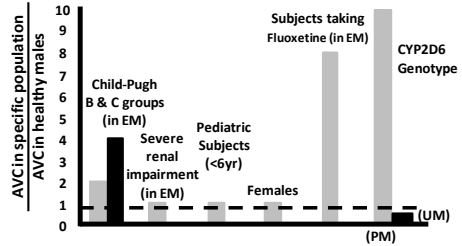
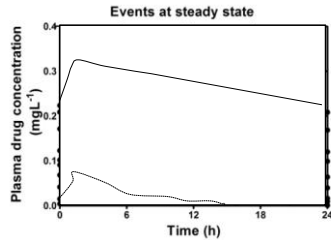
Age, Disease, Drugs, Genetics, Gender, Food,  
Formulation, Environment



Roberts 2010



# Physiological pharmacokinetics



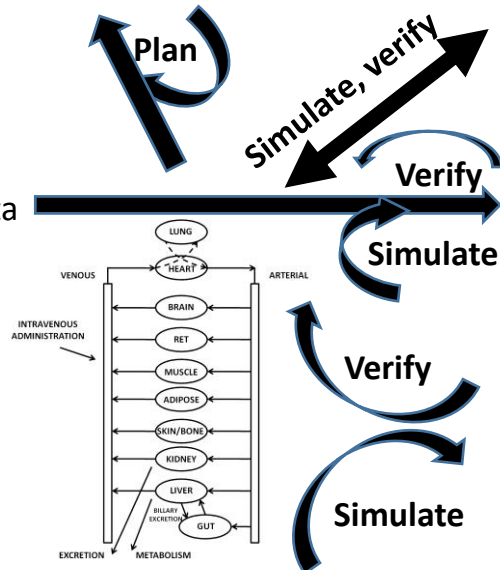
Phase 3 studies in broader population 1000 - 3000

Phase 2 studies targeted condition (100 - 300)

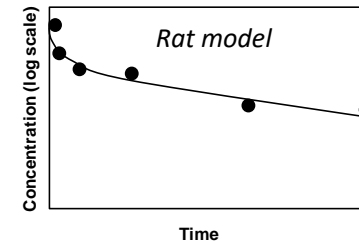
Phase I volunteer pk studies (20 - 100)

Compound properties

Human data

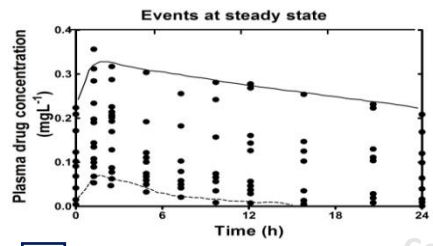


Microdosing in man



Preclinical

**Bottom up physiological pharmacokinetic approach**



Phase 3 studies

Phase 1,2 studies

Co-variates

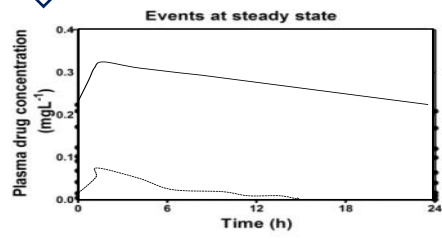
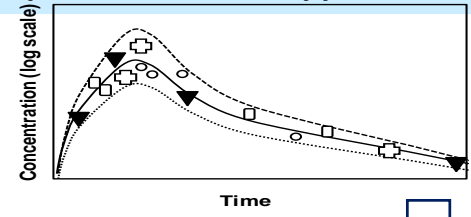
Age, Disease, Drugs, Genetics, Gender, Food, Formulation, Environment

Confirm Phase 3 data within expectations

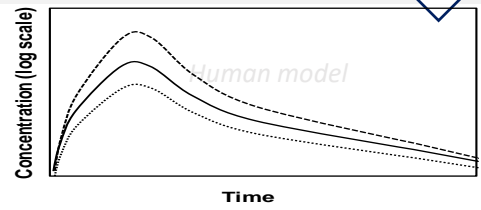
Middle out pharmacokinetic approach

Refine preclinical PK with early experimental human (Phase 0, I & II) data.

Top down population pharmacokinetic approach



Future is combination of approaches

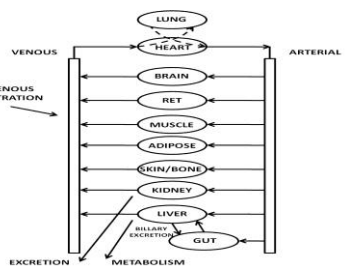


Phase 3 studies in broader population 1000 - 3000

Human data

Compound properties

Bottom up physiological pharmacokinetic approach



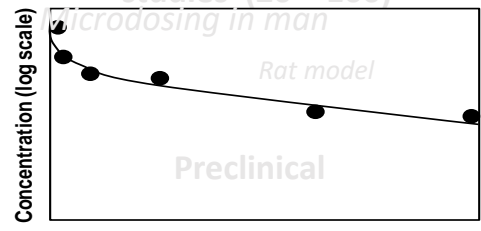
Verify

Simulate

In silico, In vitro animal data

Phase 2 studies targeted condition (100 - 300)

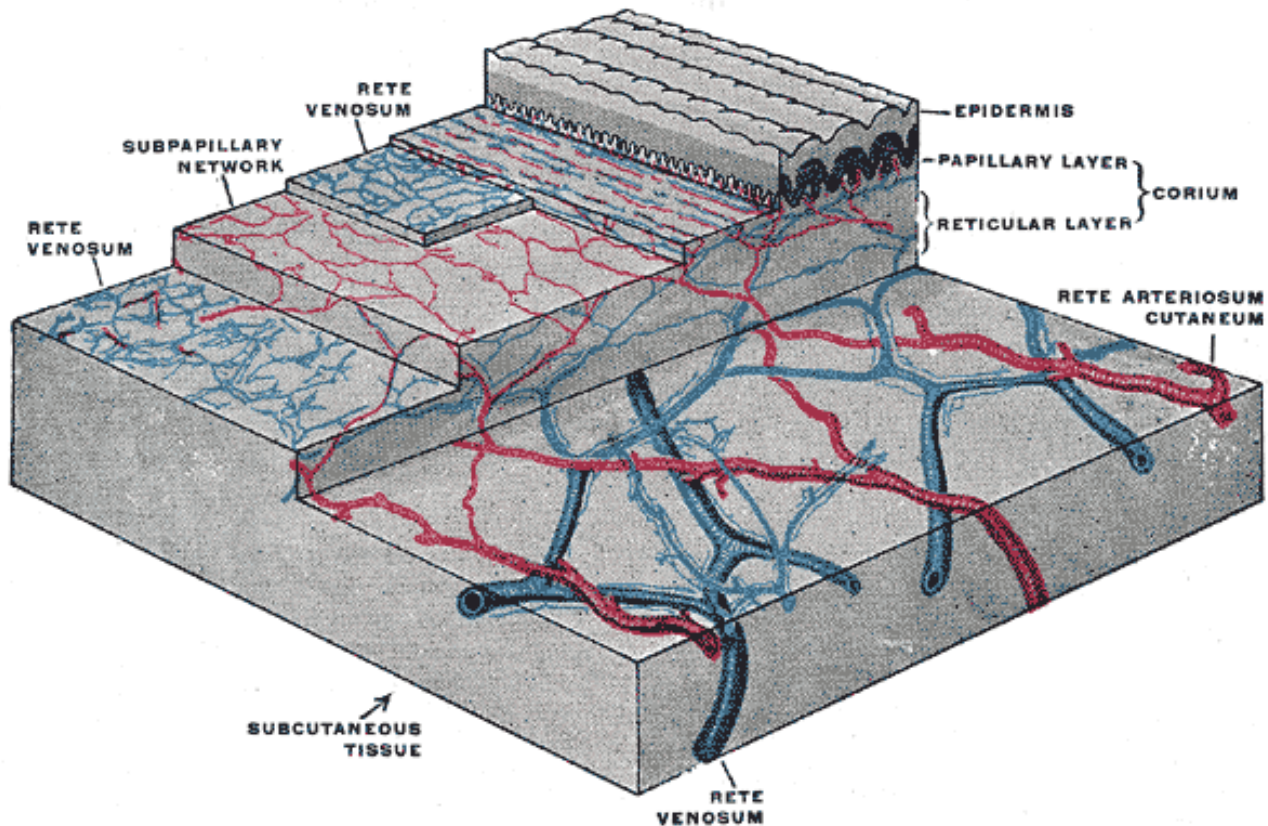
Phase I volunteer pk studies (20 - 100)



Preclinical

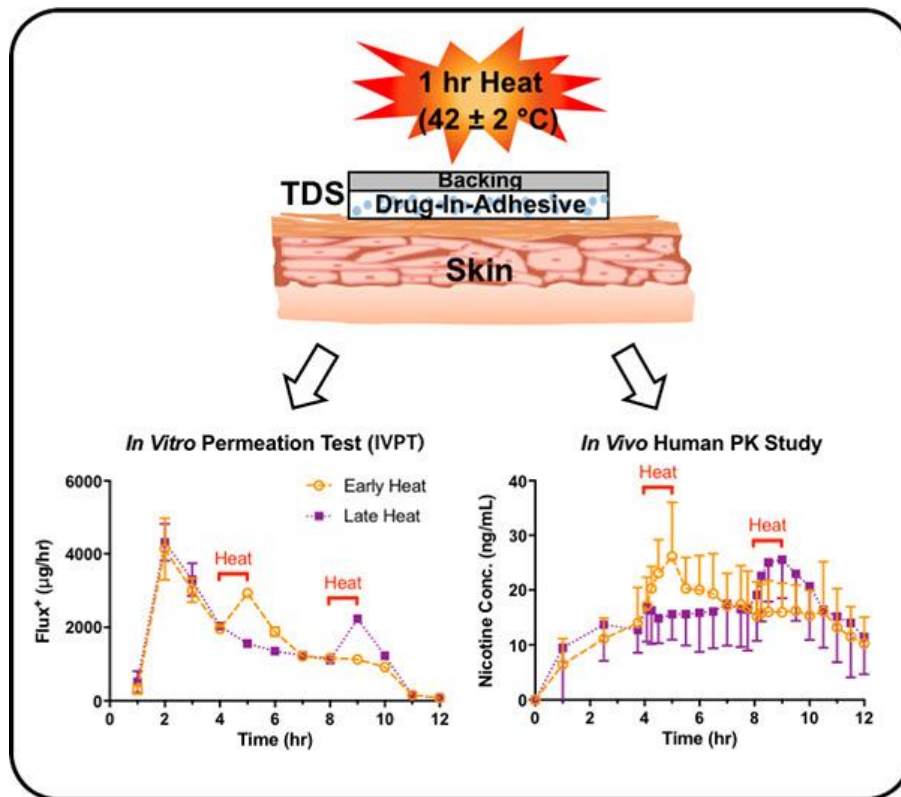
Work with FDA involves 4 D modelling (space and time)

## Blood vessels in skin (foot)



# *In vitro*–*in vivo* correlations for nicotine transdermal delivery systems for transient heat application

Shin et al *Journal of Controlled Release* 270 (2018) 76–88



IVIVC

