



# Physicochemical, Structural, and Performance Characterization of Topical Semisolid Products

Florida Chapter Society of Cosmetic Chemists

**Sunscreen Symposium 2021**

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Office of Generic Drugs | CDER | U.S. FDA

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- This presentation reflects the views of the author and should not be construed to represent FDA's views or policies.
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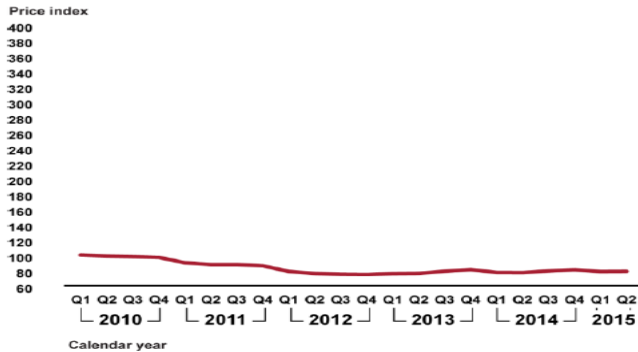
# The GAO Report (GAO-16-706)



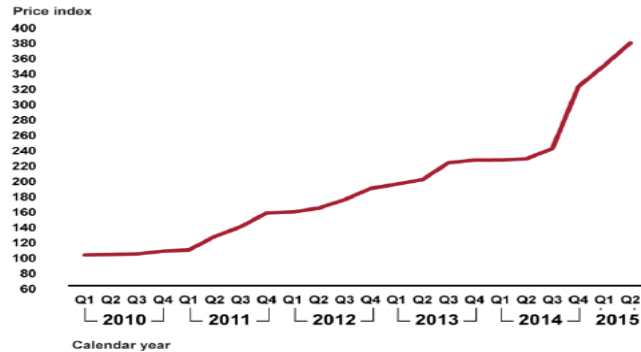
- The U.S. Government Accountability Office (GAO) Report in Aug 2016 analyzed a period spanning Quarter 1 of 2010 through Quarter 2 of 2015
- **57%** of the topical drug products experienced an extraordinary price increase in that period
- The average price of topical generic drugs was **276% higher** by the end of the period analyzed
- Manufacturers and other stakeholders reported that market **competition**, influenced by various factors, drives generic drug prices

# The GAO Report (GAO-16-706)

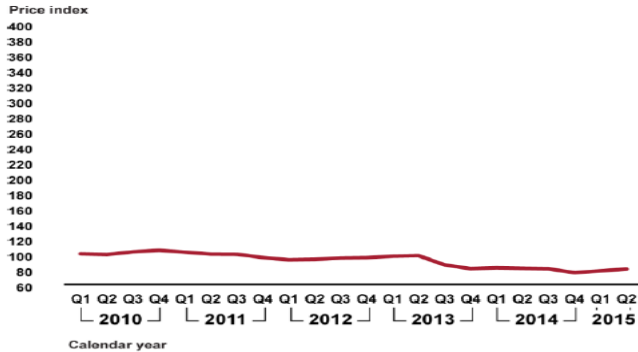
## ORAL



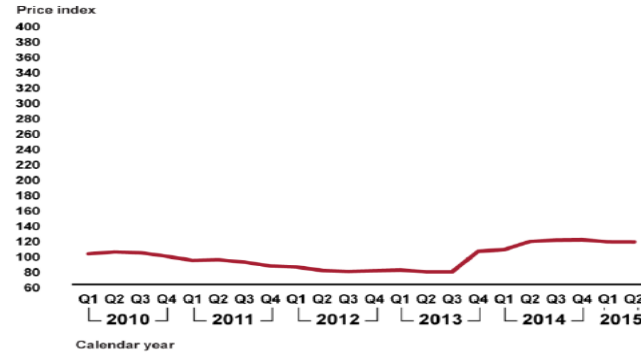
## TOPICAL



## INJECTION



## OPHTHALMIC



Source: GAO analysis of Medicare Part D prescription drug event data. | GAO-16-706

# Retail Prices for Dermatologic Drugs

Drug	Type	Price, US \$				Absolute Change, 2009-2015	% Change, 2009-2015
		2009	2011	2014	2015		
Altanax, 15 g	I	92.50	106.18	168.75	196.86	104.36	112.82
Benzaclin, 50 g	A	166.79	205.80	451.29	503.85	337.06	202.08
Carac cream, 30 g	N	159.40	227.16	2939.68	2864.70	2705.30	1697.18
Clobex spray, 4 oz	S	389.57	500.29	827.11	958.01	568.44	145.91
Cloderm cream, 30 g	S	96.47	132.92	220.75	360.02	263.55	273.19
Cutivate lotion 120 mL	S	305.00	493.92	918.63	1067.25	762.25	249.91
Derma-Smoother FS oil, 4 oz	S	45.70	47.23	247.84	322.67	276.97	606.06
Finacea, 50 g	A	124.42	185.42	288.92	284.30	159.88	128.51
Olux-E foam, 100 g	S	307.58	382.79	750.79	841.76	534.18	173.67
Oracea, 40 mg (30 tablets)	A	439.01	416.09	632.80	702.46	263.45	60.01
Oxistat cream, 30 g	I	76.50	119.25	399.00	544.66	468.16	611.97
Oxsoresalen-Ultra, 10 mg (50 capsules)	P	1227.32	2150.49	4568.54	5204.31	3976.99	324.04
Retin-A Micro, 0.1%, 50 g	A	178.05	335.73	791.47	914.52	736.47	413.64
Solaraze gel, 100 g	N	442.89	618.56	1738.91	1883.98	1441.09	325.38
Soriatane, 25 mg (30 capsules)	P	757.75	958.50	1452.50	1595.27	837.52	110.53
Taclonex, 60 g	P	465.99	522.58	848.21	962.90	496.91	106.64
Targretin gel, one 60-g tube	N	1686.78	1787.97	15 708.40	30 320.12	28 633.34	1697.51
Tazorac cream, 0.1%, 60 g	A	266.18	464.96	656.20	722.27	456.09	171.34
Xolegel, 30 g	I	212.50	278.00	389.25	641.96	429.46	202.10

Abbreviations: A, acne and rosacea; I, anti-infective; N, antineoplastic; P, psoriasis; S, corticosteroid.

Source: Miranda E. Rosenberg, BA and Steven P. Rosenberg, MD (2016) *Changes in Retail Prices of Prescription Dermatologic Drugs From 2009 to 2015*. JAMA Dermatology. 152(2):158-163. doi:10.1001/jamadermatol.2015.3897

# Patient Access to Topical Products



- The majority of topical dermatological drug products have fewer than three generic competitors; for many products no generics are available at all.
- This may have been attributable to the historical barriers to the development of topical dermatological drug products, possibly including
  - Difficulty/issues with comparative clinical endpoint bioequivalence (BE) studies
  - The complex nature of topical formulations

# Formulations Can Alter Bioavailability



- It is widely understood that the formulation of a topical semisolid dosage form can influence its performance
- It is now increasingly clear how excipients may exert their influence, by modulating the physicochemical and microstructural arrangement of matter in the dosage form
- The resulting physical and structural characteristics of topical dosage forms, and their metamorphic properties on the skin, can directly influence topical bioavailability

# Topical Dermatological Formulations



- Components, composition, physicochemical, and structural properties of a topical product can influence:
  - The drug state(s) and phase(s) of the dosage form
  - The distribution of the drug in the dosage form
  - Drug diffusion within the dosage form
  - Drug partitioning from the dosage form into the skin barrier
  - The structure and chemistry of the skin barrier
  - Drug diffusion within the skin itself
  - Drug delivery and bioavailability at the target site
  - Skin (de)hydration, irritation, or damage
  - The metamorphosis of the dosage form on the skin



# The Concepts of Q1, Q2, Q3

- Q1: Components in a product
  - Q1 characterization of a reference product provides a profile of the qualitative components (ingredients) in that reference product
- Q2: Composition of a product
  - Q2 characterization of a reference product provides a profile of the quantitative formulation composition of that reference product
- Q3: Arrangement of matter in a product
  - Q3 characterization of a reference product provides a profile of physicochemical and structural attributes that is quintessentially characteristic of that reference product

# Q3 Characterization

1. Characterization of appearance and texture
2. Characterization of phase states
3. Characterization of structural organization of matter
4. Characterization of polymorphic form of the active ingredient
5. Characterization of rheological behavior
6. Characterization of water activity and/or drying rate
7. Characterization of pH and buffering
8. Characterization of oleaginous components
9. Characterization of specific gravity
10. Characterization of metamorphosis-related changes

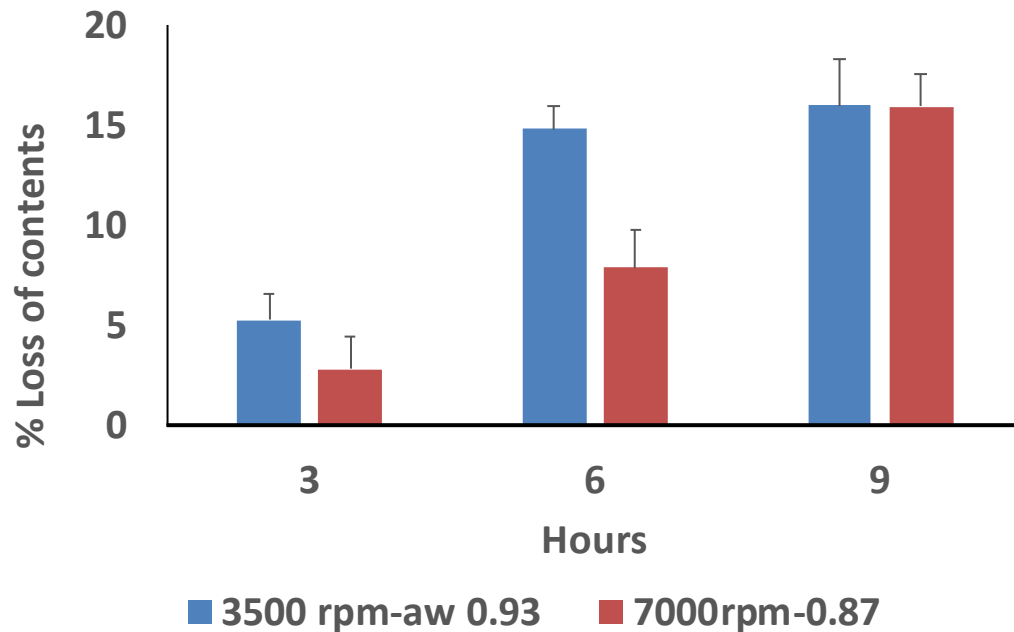
# Dosage Form Metamorphosis

- Solvent Activity of Q1/Q2 Identical Creams

Ingredients	Quantity (%w/w)
Cetostearyl Alcohol	12.5
White Wax	12
Mineral Oil	56
Sodium Borate	0.5
Water	19
Total	100

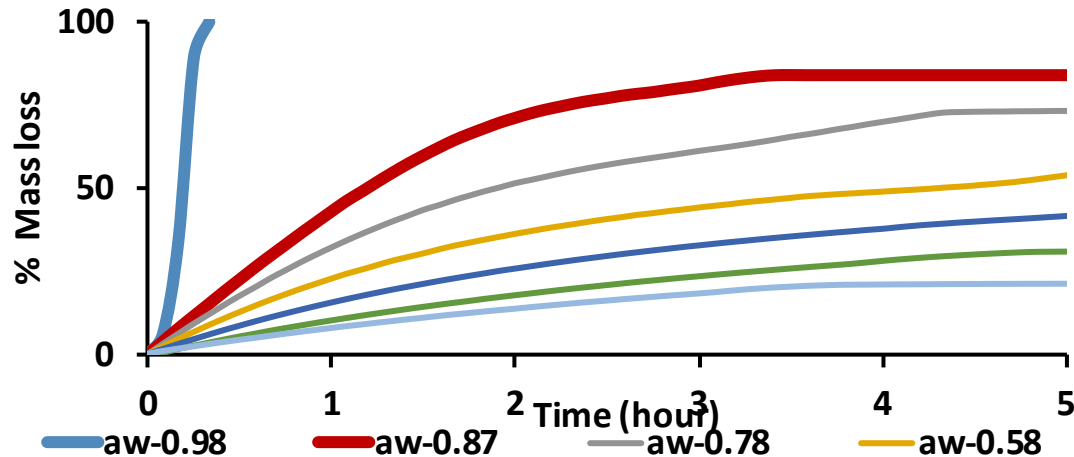
  

Manufacturing Conditions	Solvent Activity ( $a_w$ )
3500 RPM (15 min)	$0.931 \pm 0.002$
7000 RPM (45 min)	$0.875 \pm 0.006$



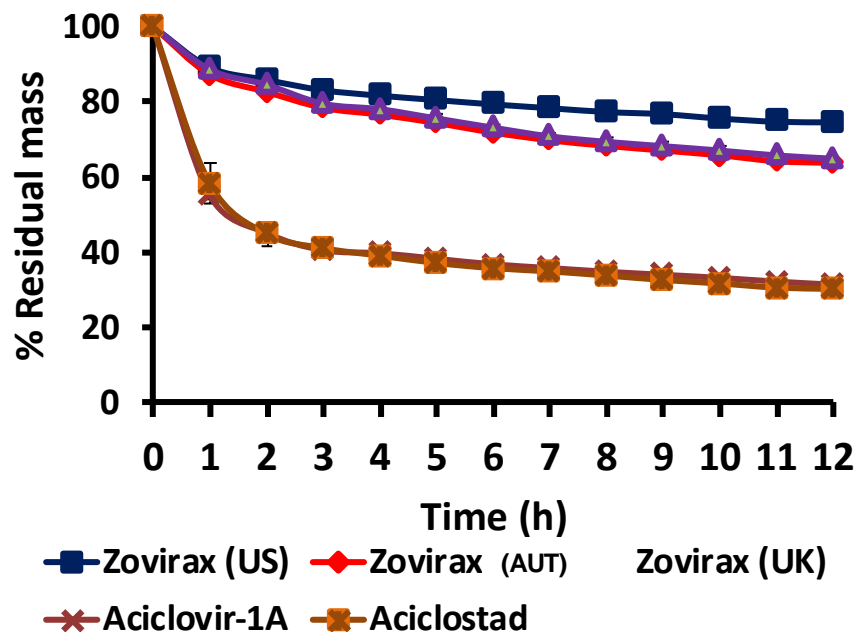
# Dosage Form Metamorphosis

- Solvent Activity ( $a_s$ ) =  $\rho/\rho_0$ 
  - $\rho$  = partial vapor pressure of Solvents in the product
  - $\rho_0$  = vapor pressure of pure Solvent system



# Dosage Form Metamorphosis

- Solvent Activity and Drying Rate

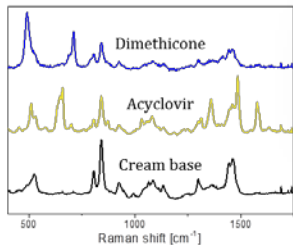


Product	Solvent Activity ( $a_w$ )
Zovirax (US)	$0.753 \pm 0.002$
Zovirax (AUT)	$0.735 \pm 0.000$
Zovirax (UK)	$0.732 \pm 0.002$
Aciclovir 1A	$0.948 \pm 0.001$
Aciclostad	$0.948 \pm 0.003$

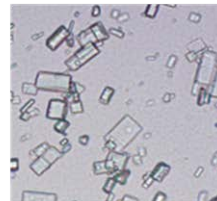
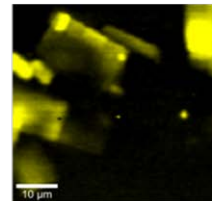
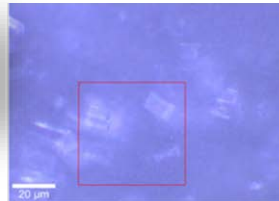
# Influence of Dispensing Stress on Q3



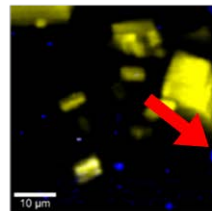
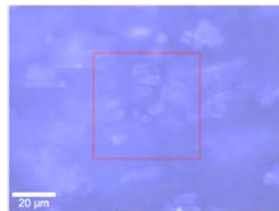
- Influence of Dose Dispensing on Product Quality



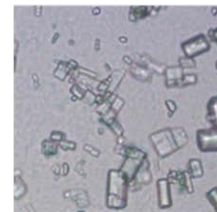
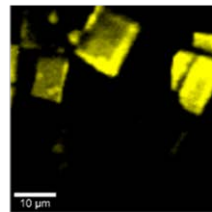
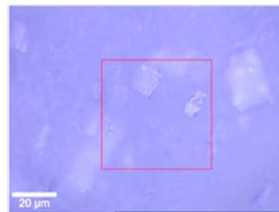
Zovirax<sup>®</sup> UK  
**Tube**



Zovirax<sup>®</sup> UK  
**Pump**



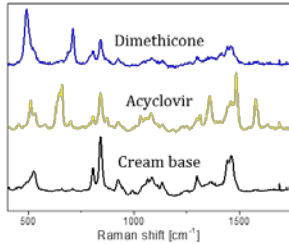
Zovirax<sup>®</sup> UK  
**Pump**  
(from inside container)



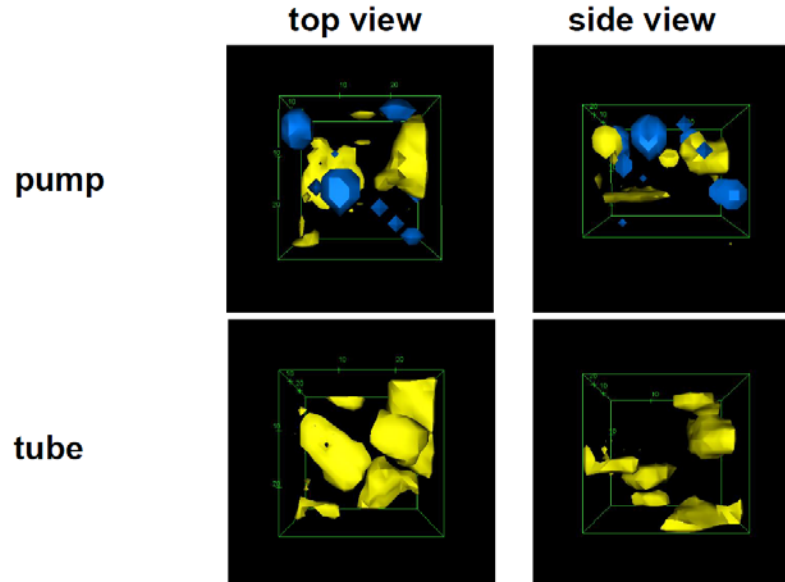
# Influence of Dispensing Stress on Q3



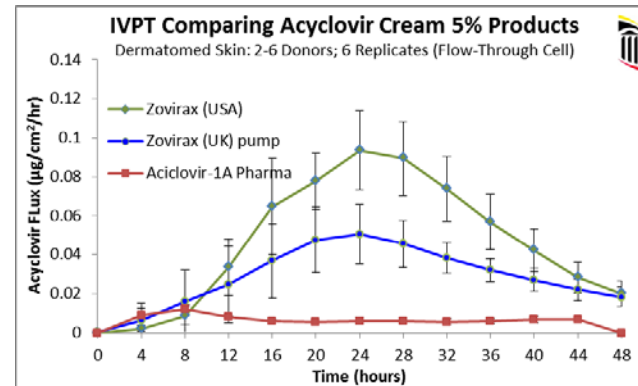
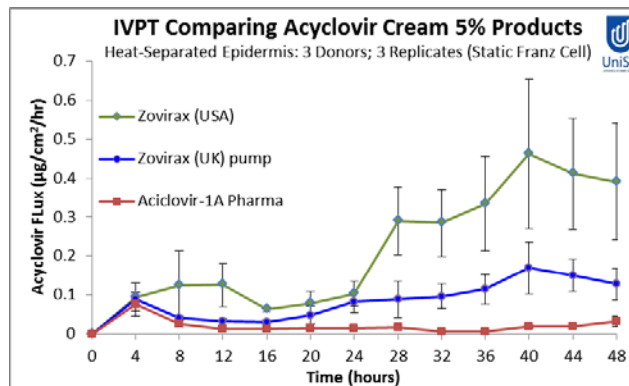
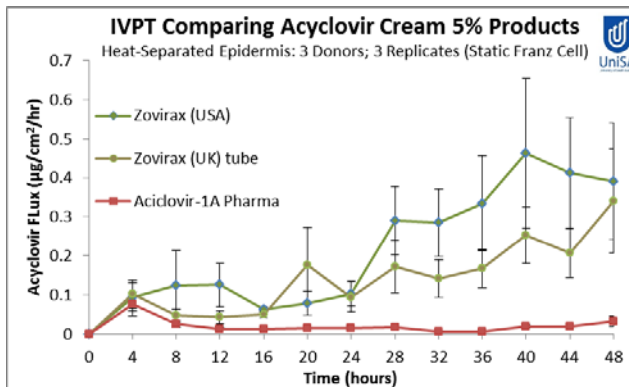
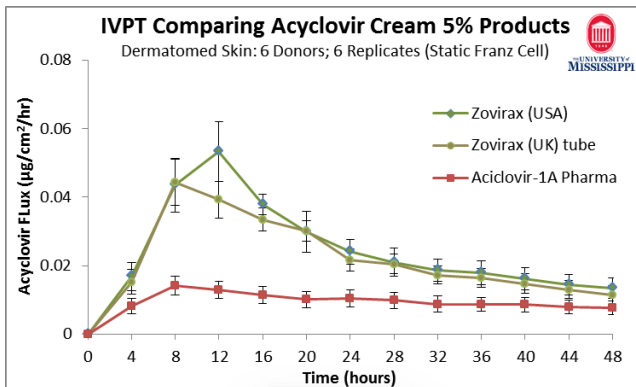
- Influence of Dose Dispensing on Product Quality



## Comparison Zovirax UK pump and tube



# Influence of Dispensing Stress on IVPT



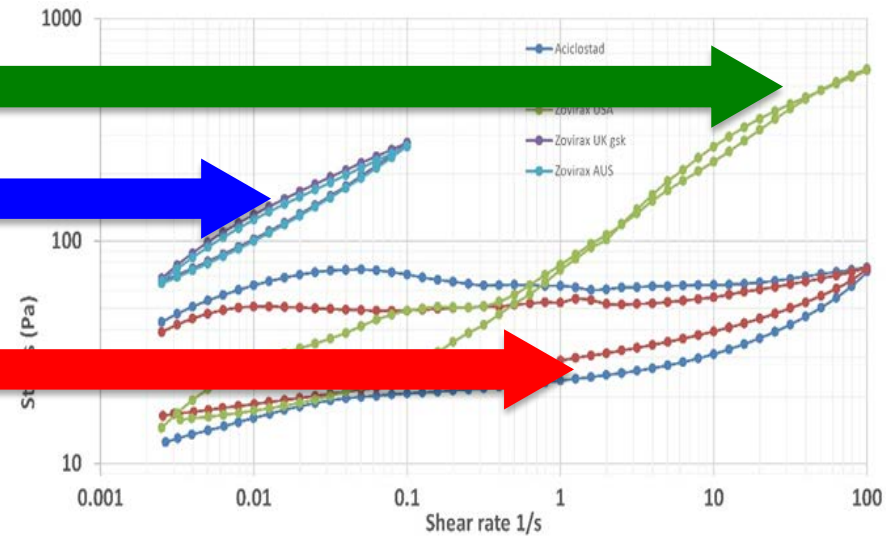


# In Vitro Characterization (Acyclovir)



	Zovirax (USA)	Zovirax (UK)	Zovirax (Austria)	Aciclovir (Austria)	Aciclovir-1A (Austria)
	Water	Purified water	Purified water	Water	Water
	Propylene glycol	Propylene glycol	Propylene glycol	Propylene glycol	Propylene glycol
	Mineral oil	Liquid Paraffin	Liquid Paraffin	Liquid Paraffin	Viscous Paraffin
	White petrolatum				
	Cetostearyl alcohol	Cetostearyl alcohol	Cetostearyl alcohol	Cetyl alcohol	Cetyl alcohol
	SLS	SLS	SLS		
	Poloxamer 407	Poloxamer 407	Poloxamer 407		
		Dimethicone 20	Dimethicone 20		
		Myristyl alcohol	Glycerol Mono Stearate	Glycerol Mono Stearate	Glycerol Mono Stearate
		Myristyl alcohol	Polyoxyethylene stearate	Macrogol stearate	Polyoxyethylene stearate
Density (g/cc)	1.02	1.02	1.02	1.02	1.01
Content Uniformity (%)	97.9 ± 0.7	99.6 ± 1.4	100 ± 2.2	99.7 ± 1.7	98.3 ± 2.6
Polymorphic Form	2,3 hydrate	2,3 hydrate	2,3 hydrate	2,3 hydrate	2,3 hydrate
Crystalline Habit	Rectangular	Rectangular	Rectangular	Ovoid	Ovoid
Particle size (d50) (µm)	3.8	2.5	3.4	6.8	6
pH	7.74	7.96	7.54	4.58	6.05
Work of Adhesion	59	81	60	17	18
Drug in Aq (mg/g)	0.49	0.64	0.49	0.37	0.26
Drying Rate (T-30%)	>12h	~8h	~7h	<1h	<1h
Water Activity	0.75	0.73	0.74	0.95	0.95

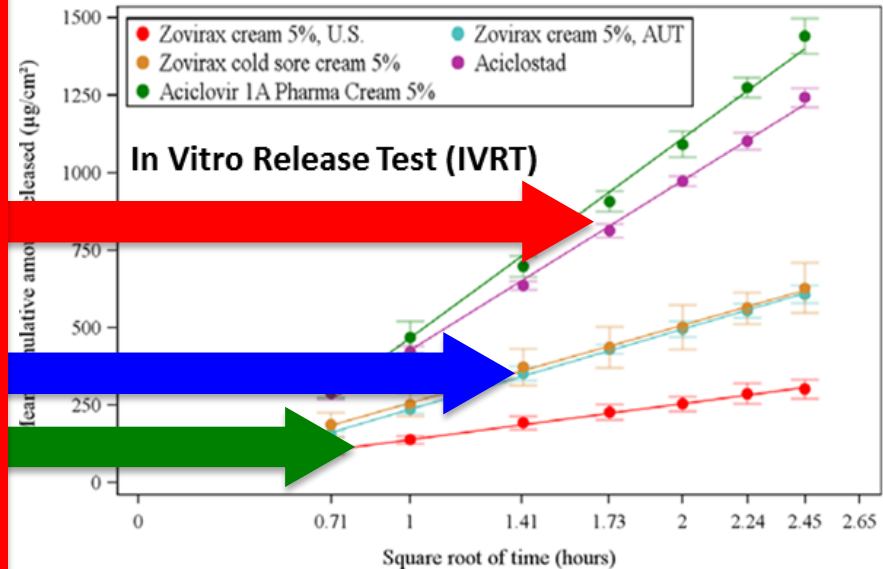
## Thixotropic Rheology



# In Vitro Characterization (Acyclovir)



	Zovirax (USA)	Zovirax (UK)	Zovirax (Austria)	Aciclovir (Austria)	Aciclovir-1A (Austria)
	Water	Water	Purified water	Water	Water
	Propylene glycol	Propylene glycol	Propylene glycol	Propylene glycol	Propylene glycol
	Mineral oil	Liquid Paraffin	Liquid Paraffin	Liquid Paraffin	Viscous Paraffin
	White petrolatum	White soft paraffin	White Vaseline	White Vaseline	White Vaseline
	Cetostearyl alcohol	Cetostearyl alcohol	Cetostearyl alcohol	Cetyl alcohol	Cetyl alcohol
	SLS	SLS	SLS		
	Poloxamer 407	Poloxamer 407	Poloxamer 407		
		Dimethicone 20	Dimethicone 20	Dimethicone	Dimethicone
		Macrol 165	Glyceryl Mono Stearate	Glyceryl Mono Stearate	Glyceryl Mono Stearate
		Macrol 165	Polyoxyethylene stearate	Macrogol stearate	Polyoxyethylene stearate
Density (g/cc)	1.02	1.02	1.02	1.02	1.01
Content Uniformity (%)	97.9 ± 0.7	99.6 ± 1.4	100 ± 2.2		
Polymorphic Form	2,3 hydrate	2,3 hydrate	2,3 hydrate		
Crystalline Habit	Rectangular	Rectangular	Rectangular	Ovoid	Ovoid
Particle size (d50) (µm)	3.8				
pH	7.74	7.96	7.54	4.58	6.05
Work of Adhesion	59	81	60	17	18
Drug in Aq (mg/g)	0.49	0.64	0.49	0.37	0.26
Drying Rate (T-30%)	>12h	~8h	~7h	<1h	<1h
Water Activity	0.75	0.73	0.74	0.95	0.95

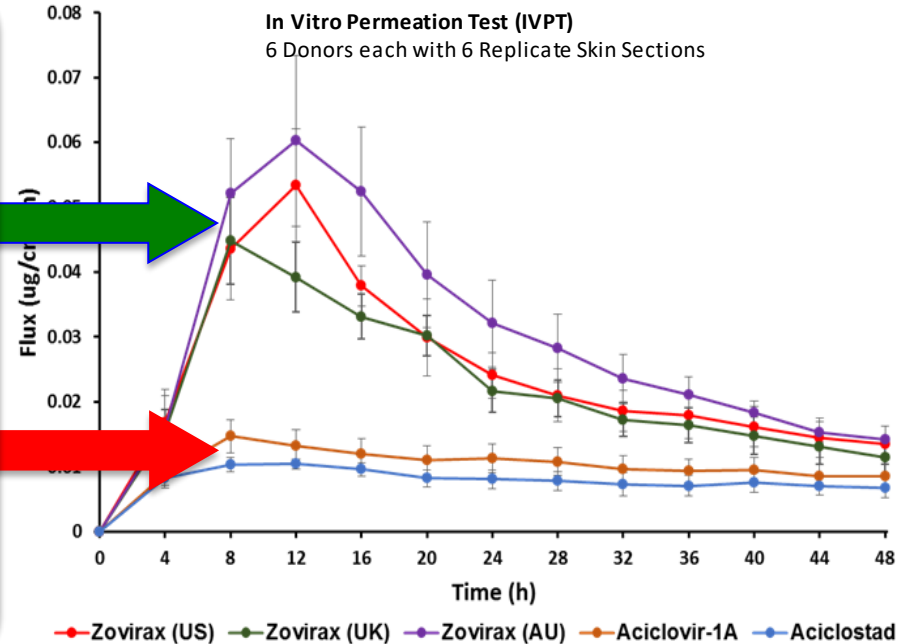


# In Vitro Characterization (Acyclovir)



In Vivo Cutaneous PK Study

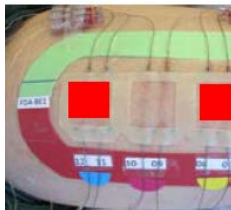
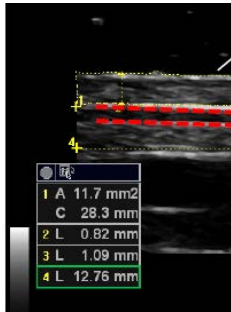
	Zovirax (USA)	Zovirax (UK)	Zovirax (Austria)	Aciclostad (Austria)	Aciclovir-1A (Austria)
	Water	Water	Purified water	Water	Water
	Propylene glycol	Propylene glycol	Propylene glycol	Propylene glycol	Propylene glycol
	Mineral oil	Liquid Paraffin	Liquid Paraffin	Liquid Paraffin	Viscous Paraffin
	White petrolatum	White soft paraffin	White Vaseline	White Vaseline	White Vaseline
	Cetostearyl alcohol	Cetostearyl alcohol	Cetostearyl alcohol	Cetyl alcohol	Cetyl alcohol
	SLS	SLS	SLS		
	Poloxamer 407	Poloxamer 407	Poloxamer 407		
		Dimethicone 20	Dimethicone 20	Dimethicone	Dimethicone
		Arlacel 165	Glyceryl Mono Stearate	Glyceryl Mono Stearate	Glyceryl Mono Stearate
		Arlacel 165	Polyoxyethylene stearate	Macrogol stearate	Polyoxyethylene stearate
Density (g/cc)	1.02	1.02	1.02	1.02	1.01
Content Uniformity (%)	97.9 ± 0.7	99.6 ± 1.4	100 ± 2.2	99.7 ± 1.7	98.3 ± 2.6
Polymorphic Form	2,3 hydrate	2,3 hydrate	2,3 hydrate	2,3 hydrate	2,3 hydrate
Crystalline Habit	Rectangular	Rectangular	Rectangular	Ovoid	Ovoid
Particle size (d50) (µm)	3.8	2.5	3.4	6.8	6
pH	7.74	7.96	7.54	4.58	6.05
Work of Adhesion	59	81	60	17	18
Drug in Aq (mg/g)	0.49	0.64	0.49	0.37	0.26
Drying Rate (T-30%)	>12h	~8h	~7h	<1h	<1h
Water Activity	0.75	0.73	0.74	0.95	0.95



# In Vivo Characterization (Acyclovir)



- dOFM System Validation and Study Controls



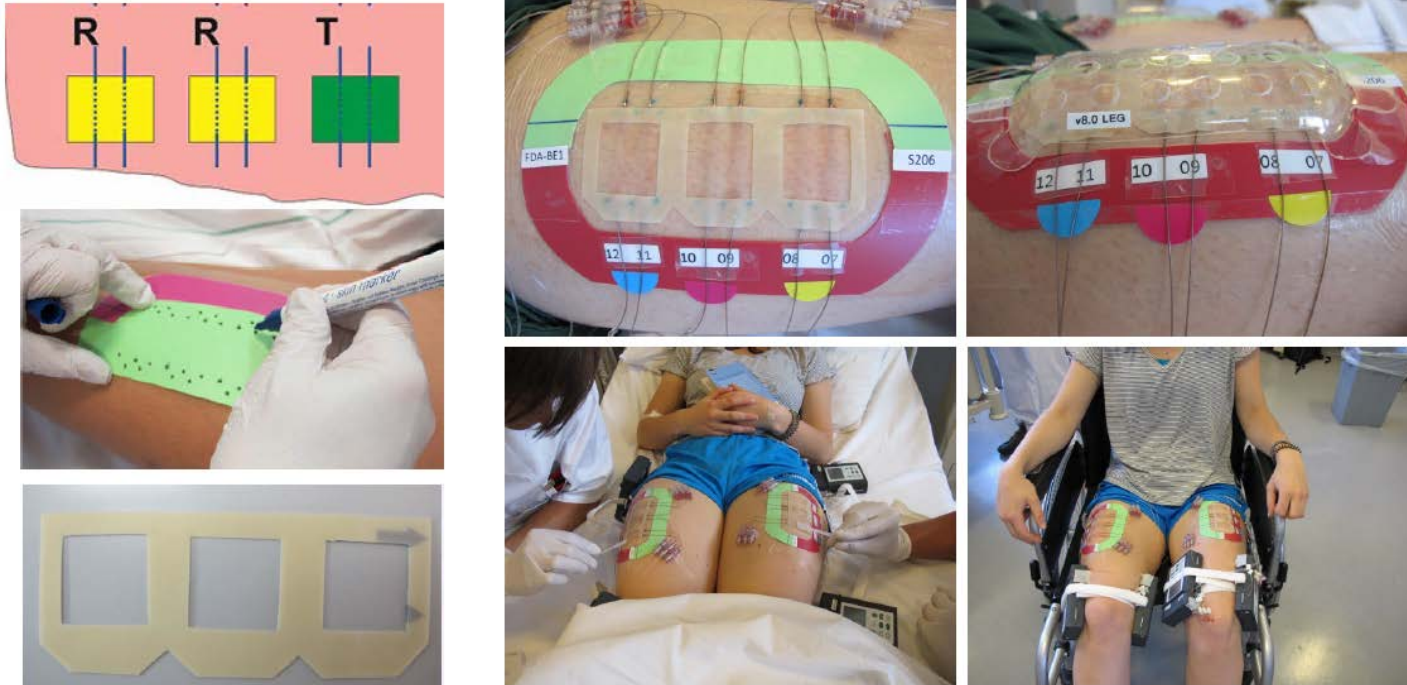
50 mg/cm<sup>2</sup> US Zc



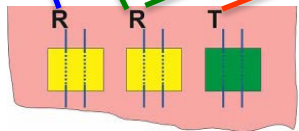
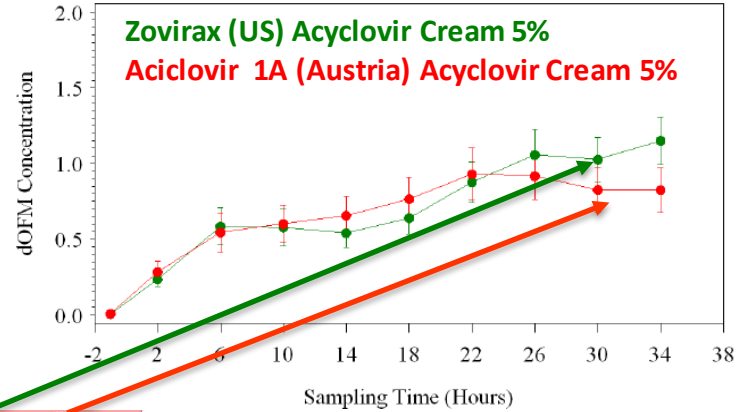
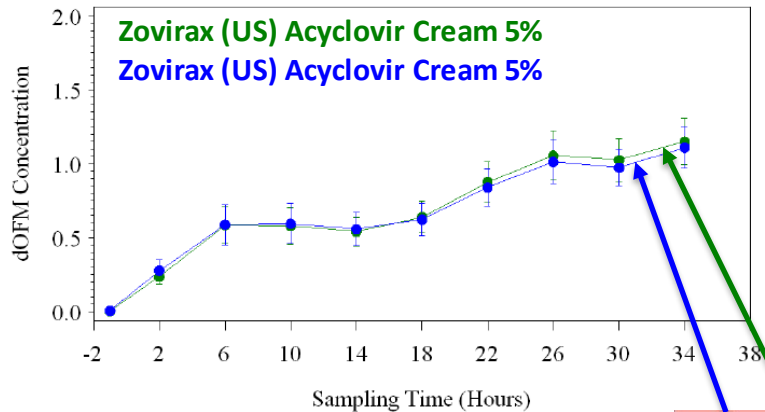
# In Vivo Characterization (Acyclovir)



- dOFM: Testing Positive and Negative Controls for BE



# In Vivo Characterization (Acyclovir)



Outcome variable	CI <sub>90%</sub>
log(AUC <sub>0-36h</sub> )	[-0.148 ; 0.162] or [86.2 % ; 117.5 %]
log(C <sub>max</sub> )	[-0.155 ; 0.190] or [85.7 % ; 120.9%]

Outcome variable	CI <sub>90%</sub>
log(AUC <sub>0-36h</sub> )	[-0.369 ; 0.050] or [69.1 % ; 105.2 %]
log(C <sub>max</sub> )	[-0.498 ; 0.022] or [60.8 % ; 102.2%]

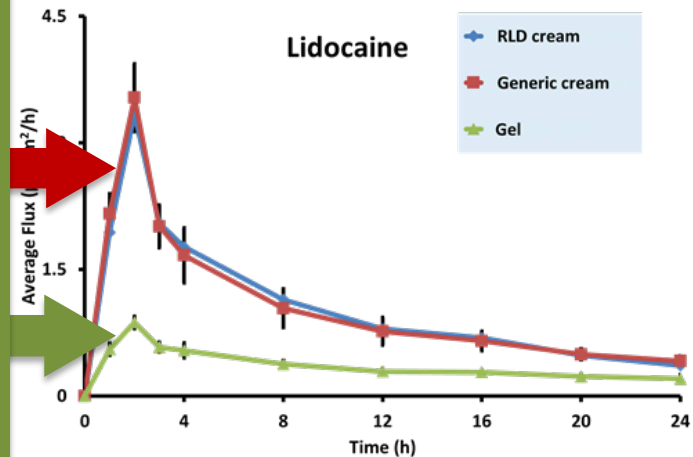
Data provided courtesy of Dr. Frank Sinner (Joanneum Research) **FDA Award U01-FD004946**

Bodenlenz et al. (2017) Open Flow Microperfusion as a Dermal Pharmacokinetic Approach to Evaluate Topical Bioequivalence. Clin Pharmacokinet. 2017 Jan;56(1):91-98. doi: 10.1007/s40262-016-0442-z (FREE Full Text Article)

# In Vitro Characterization (Lidocaine)



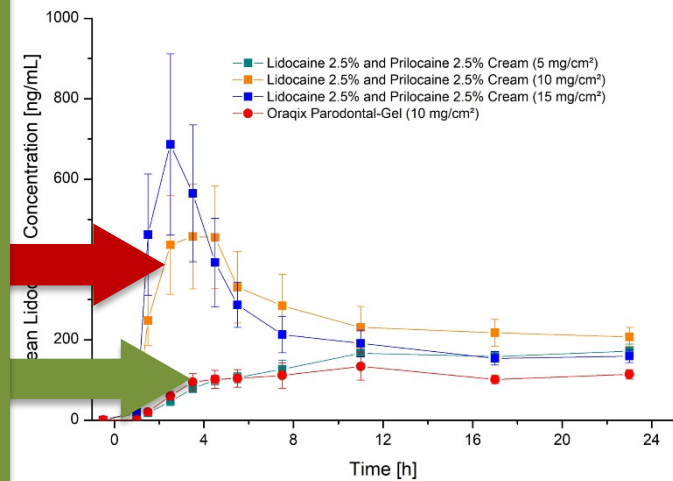
Q3 Attribute	Lidocaine 2.5%, Prilocaine 2.5% RLD Cream	Lidocaine 2.5%, Prilocaine 2.5% Generic Cream	Lidocaine 2.5%, Prilocaine 2.5% Gel	
pH	9.22 ± 0.08	8.92 ± 0.03	7.76 ± 0.05	
Density (g/cc)	1.0142 ± 0.0002	1.0148 ± 0.0002	1.0374 ± 0.0001	
WOA (g.sec)	59.427 ± 0.338	65.893 ± 0.614	3.186 ± 0.207	
Particle Size of API (µm)	Lidocaine and Prilocaine completely dissolved in the formulation			
Globule Size, d50 (µm)	3.30	3.00		
Drug in Aqueous Phase (µg/g)	Lidocaine	1.64 ± 0.06	Lidocaine	1.74 ± 0.12
	Prilocaine	1.99 ± 0.06	Prilocaine	2.11 ± 0.15
Drug in Oil Phase (µg/g)	Lidocaine	23.45 ± 0.36	Lidocaine	23.21 ± 0.18
	Prilocaine	23.47 ± 0.18	Prilocaine	23.12 ± 0.22
Water Activity	1.003 ± 0.002	1.004 ± 0.007	1.002 ± 0.005	
Drying, T50 (min)	3.37 ± 0.15	3.82 ± 0.73	7.9 ± 0.46	
Rheology Yield Stress (Pa)	36.7 ± 1.2	35.7 ± 0.6	15.7 ± 2.3	



# In Vivo Characterization (Lidocaine)



Q3 Attribute	Lidocaine 2.5%, Prilocaine 2.5% RLD Cream	Lidocaine-2.5%, Prilocaine-2.5% Generic Cream	Lidocaine-2.5%, Prilocaine-2.5% Gel
pH	9.22 ± 0.08	8.92 ± 0.03	7.76 ± 0.05
Density (g/cc)	1.0142 ± 0.0002	1.0148 ± 0.0002	1.0374 ± 0.0001
WOA (g.sec)	59.427 ± 0.338	65.893 ± 0.614	3.186 ± 0.207
Particle Size of API (µm)	Lidocaine and Prilocaine completely dissolved in the formulation		
Globule Size, d50 (µm)	3.30	3.00	---
Drug in Aqueous Phase (µg/g)	Lidocaine	1.64 ± 0.06	---
	Prilocaine	1.99 ± 0.06	2.11 ± 0.15
Drug in Oil Phase (µg/g)	Lidocaine	23.45 ± 0.36	23.21 ± 0.18
	Prilocaine	23.47 ± 0.18	23.12 ± 0.22
Water Activity	1.003 ± 0.002	1.004 ± 0.007	1.002 ± 0.005
Drying, T50 (min)	3.37 ± 0.15	3.82 ± 0.73	7.9 ± 0.46
Rheology Yield Stress (Pa)	36.7 ± 1.2	35.7 ± 0.6	15.7 ± 2.3

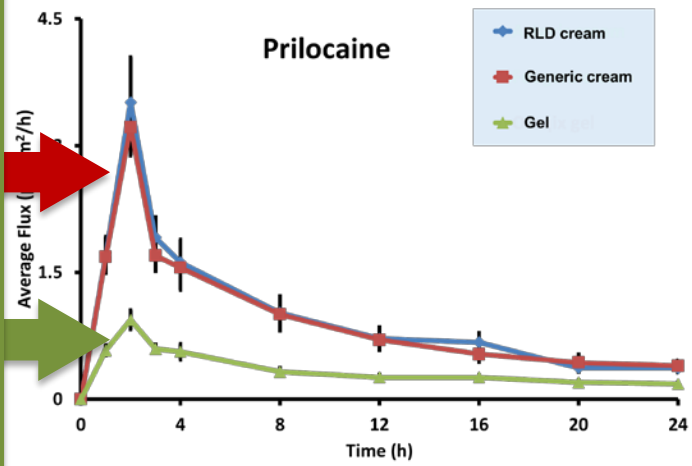




# In Vitro Characterization (Prilocaine)



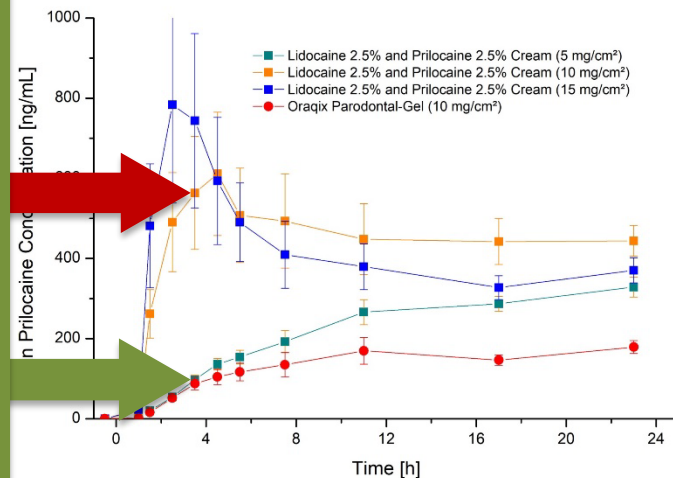
Q3 Attribute	Lidocaine 2.5%, Prilocaine 2.5% RLD Cream	Lidocaine 2.5%, Prilocaine 2.5% Generic Cream	Lidocaine 2.5%, Prilocaine 2.5% Gel		
pH	9.22 ± 0.08	8.92 ± 0.03	7.76 ± 0.05		
Density (g/cc)	1.0142 ± 0.0002	1.0148 ± 0.0002	1.0374 ± 0.0001		
WOA (g.sec)	59.427 ± 0.338	65.893 ± 0.614	3.186 ± 0.207		
Particle Size of API (µm)	Lidocaine and Prilocaine completely dissolved in the formulation				
Globule Size, d50 (µm)	3.30	3.00			
Drug in Aqueous Phase (µg/g)	Lidocaine	1.64 ± 0.06	Lidocaine	1.74 ± 0.12	---
	Prilocaine	1.99 ± 0.06	Prilocaine	2.11 ± 0.15	
Drug in Oil Phase (µg/g)	Lidocaine	23.45 ± 0.36	Lidocaine	23.21 ± 0.18	---
	Prilocaine	23.47 ± 0.18	Prilocaine	23.12 ± 0.22	
Water Activity	1.003 ± 0.002	1.004 ± 0.007	1.002 ± 0.005		
Drying, T50 (min)	3.37 ± 0.15	3.82 ± 0.73	7.9 ± 0.46		
Rheology Yield Stress (Pa)	36.7 ± 1.2	35.7 ± 0.6	15.7 ± 2.3		



# In Vivo Characterization (Prilocaine)



Q3 Attribute	Lidocaine 2.5%, Prilocaine 2.5% RLD Cream	Lidocaine-2.5%, Prilocaine-2.5% Generic Cream	Lidocaine-2.5%, Prilocaine-2.5% Gel		
pH	9.22 ± 0.08	8.92 ± 0.03	7.76 ± 0.05		
Density (g/cc)	1.0142 ± 0.0002	1.0148 ± 0.0002	1.0374 ± 0.0001		
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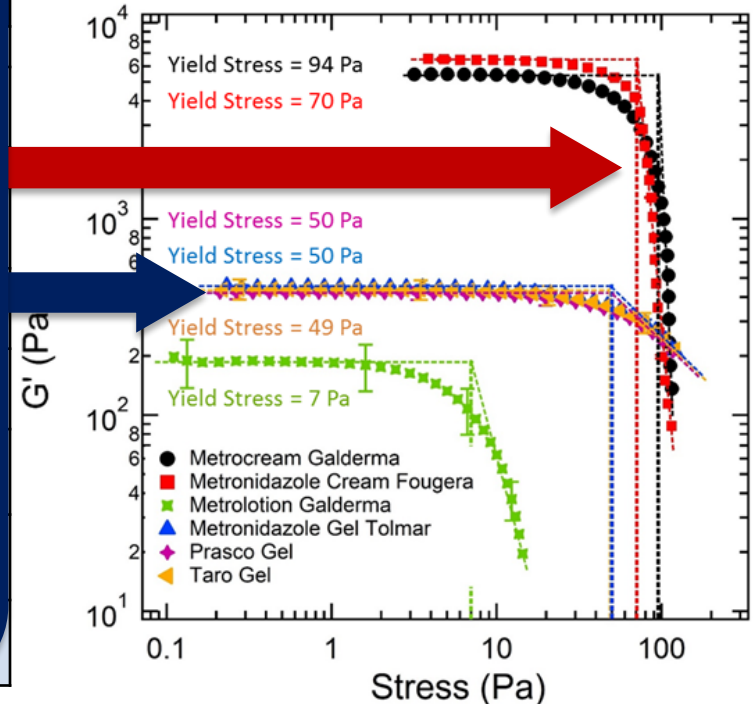


# In Vitro / In Vivo (Metronidazole)



Quality Attribute	MetroCream®	Generic Cream (Fougera)	MetroGel®	Generic Gel (Tolmar)	Generic Gel (Taro)
pH	4.8	5.1	5.2	5.0	5.4
Density (g/cc)	1.02	1.02	1.01	1.02	1.02
WOA (g.sec)	57.6	63.9	Active ingredient is completely dissolved		
Particle size (µm)					
Drug in Aq (mg/g)	4.20	2.92	---	---	---
Drug in Oil (mg/g)	2.58	3.94	---	---	---
Solvent Activity	0.977	0.974	0.992	0.994	1.002
Globule size, d <sub>50</sub> (µm)	2.8	2.2	---	---	---
Drying, T <sub>30</sub> (min)	17	11.4	5.5	4.7	6.5

## Rheology

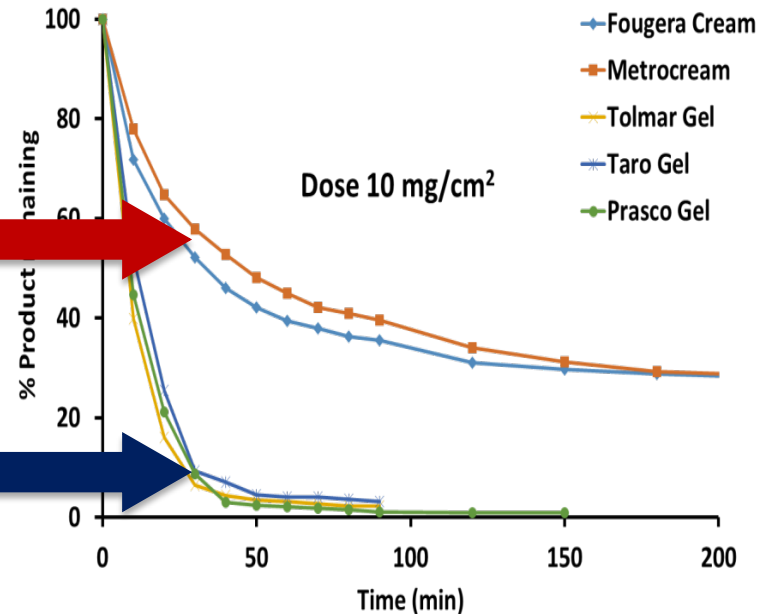


# In Vitro / In Vivo (Metronidazole)



Quality Attribute	MetroCream®	Generic Cream (Fougera)	MetroGel®	Generic Gel (Tolmar)	Generic Gel (Taro)
pH	4.8	5.1	5.2	5.0	5.4
Density (g/cc)	1.02	1.02	1.01	1.02	1.02
WOA (g.sec)	57.6	63.9	39.4	43.9	42.0
Particle size (µm)	Active ingredient is completely dissolved				
Drug in Aq (mg/g)	4.20	2.92			
Drug in Oil (mg/g)	2.58	3.94	---	---	---
Solvent Activity	0.977	0.974	0.992	0.994	1.002
Globule size, d <sub>50</sub> (µm)	2.8	2.2	---	---	---
Drying, T <sub>30</sub> (min)	17	11.4	5.5	4.7	6.5

### Drying Rate



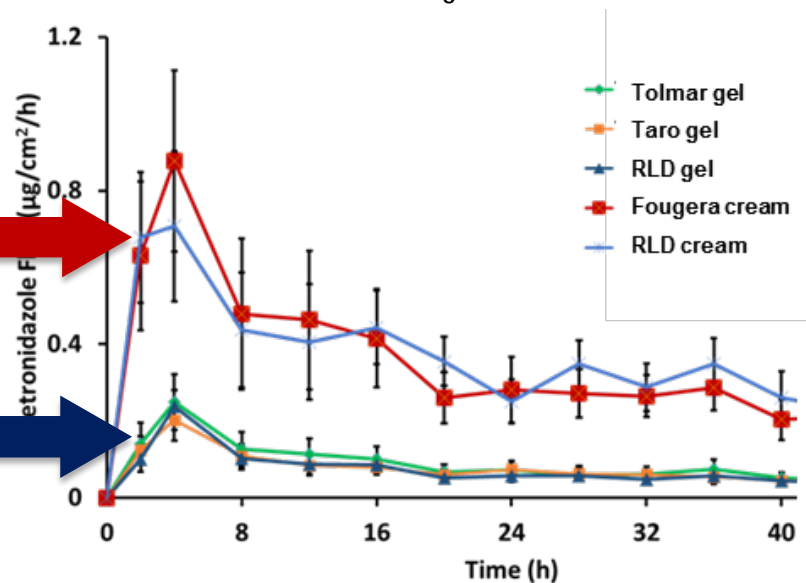
# In Vitro / In Vivo (Metronidazole)



Quality Attribute	MetroCream® (RLD Cream)	Generic Cream (Fougera)	Metrogel® (RLD Gel)	Generic Gel (Tolmar)	Generic Gel (Taro)
pH	4.8	5.1	5.2	5.0	5.4
Density (g/cc)	1.02	1.02	1.01	1.02	1.02
WOA (g.sec)	57.6	63.9	39.4	43.9	42.0
Particle size (µm)	Active ingredient				
Drug in Aq (mg/g)	4.20	2.92	---	---	---
Drug in Oil (mg/g)	2.58	3.94	---	---	---
Solvent Activity	0.977	0.974	0.992	0.994	1.002
Globule size, d <sub>50</sub> (µm)	2.8	2.2	---	---	---
Drying, T <sub>30</sub> (min)	17	11.4	5.5	4.7	6.5

## In Vitro Permeation Test

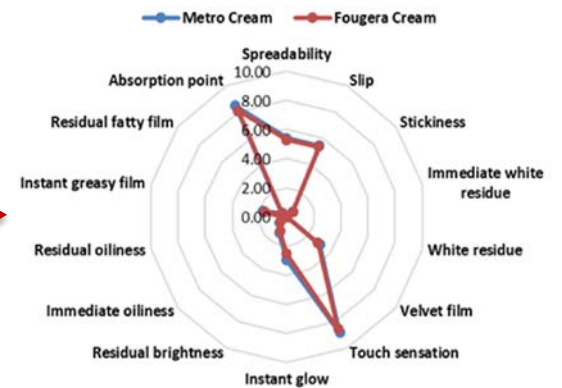
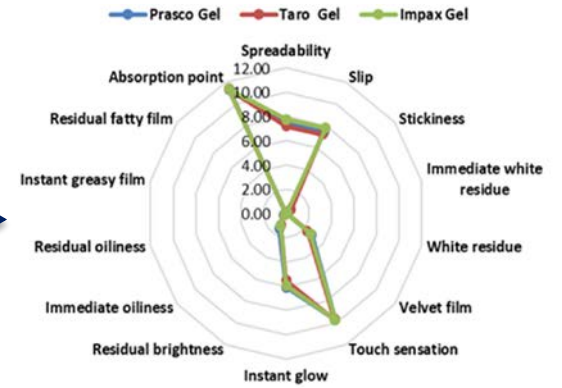
RLD = Reference Listed Drug



# In Vitro / In Vivo (Metronidazole)

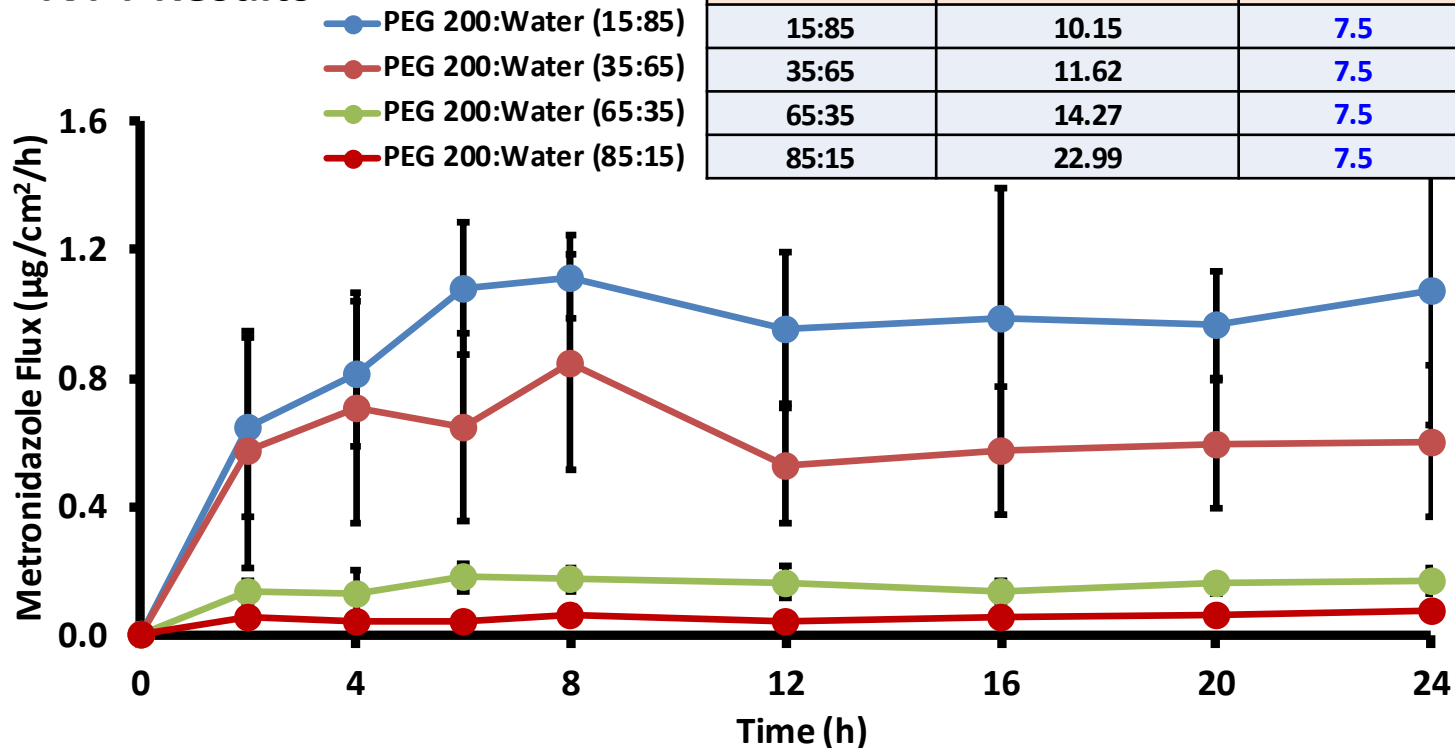


Quality Attribute	MetroCream® (RLD Cream)	Generic Cream (Fougera)	Metrogel® (RLD Gel)	Generic Gel (Tolmar)	Generic Gel (Taro)
pH	4.8	5.1	5.2	5.0	5.4
Density (g/cc)	1.02	1.02	1.01	1.02	1.02
WOA (g.sec)	57.6	63.9	39.4	43.9	42.0
Particle size (µm)	Active ingredient is completely dissolved				
Drug in Aq (mg/g)	4.20	2.92	---	---	---
Drug in Oil (mg/g)	2.58	3.94	---	---	---
Solvent Activity	0.977	0.974	0.992	0.994	1.002
Globule size, d <sub>50</sub> (µm)	2.8	2.2	---	---	---
Drying, T <sub>30</sub> (min)	17	11.4	5.5	4.7	6.5



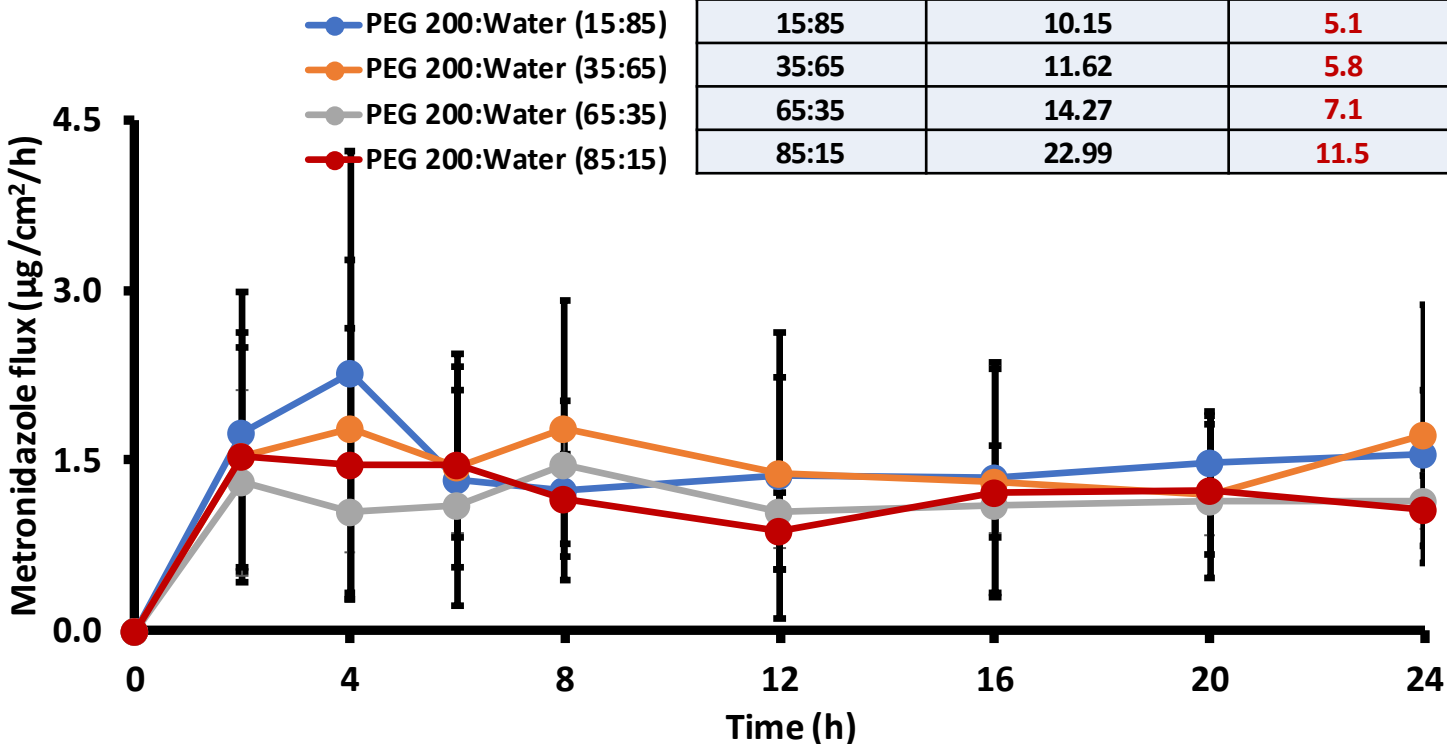
# Thermodynamics (Metronidazole)

## IVPT Results



# Thermodynamics (Metronidazole)

## IVPT Results





# Topical Dermatological Formulations



- Clinical evidence has demonstrated the bioequivalence (BE) of several topical generics that are not necessarily Q1, Q2, or Q3 the same as the reference product
  - An expanding body of evidence has demonstrated that these topical generics exhibit comparable cutaneous pharmacokinetics (PK) ...not only comparable clinical efficacy
- ⇒ ***When do Q1, Q2, or Q3 differences impact the BE of topical products, and what may be acceptable differences between a test and reference product formulation?***

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