



Advanced Characterization Approaches for Topical Formulations

11/15/2017



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Session Description and Objectives

- The objective of the presentation is to discuss some knowledge gaps and novel tools used in the characterization of topical products.
- To understand the importance of quality attributes of topical products.
- To learn about the fundamental principle behind tools and methodologies used to characterize the quality attributes of topical products.

Biography and Contact Information

- **Area of Research:** Topical and Transdermal Drug Delivery, Intranasal Drug Delivery, Trans-ungual drug delivery
- **Publications:** Over 100 research papers, Two books, 15 review papers.
- **Grants and Funding:** NIH, USFDA, Industry
- **Contact information:**

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Topical Products Characteristics

- pH
 - Particle size
 - Polymorphism
 - Rheological Studies
 - Globule size
 - Dissolved/ Undissolved drug
-
- Sensorial Characteristics:
 - Color/Appearance
 - Texture characteristics
 - Odor
 - Skin cooling.

pH as a Quality Attribute

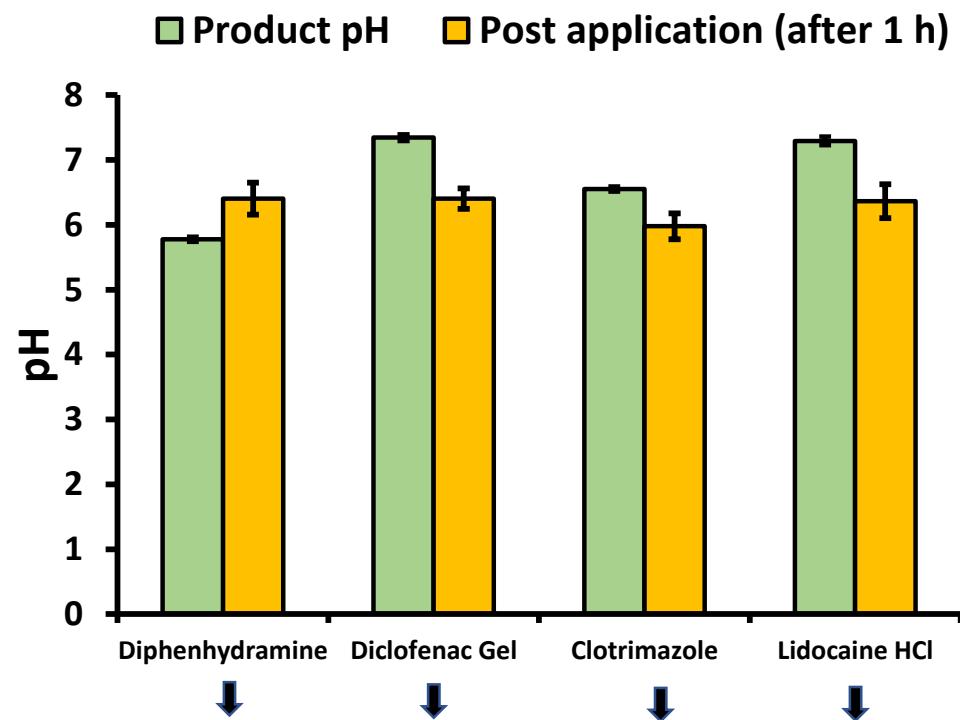
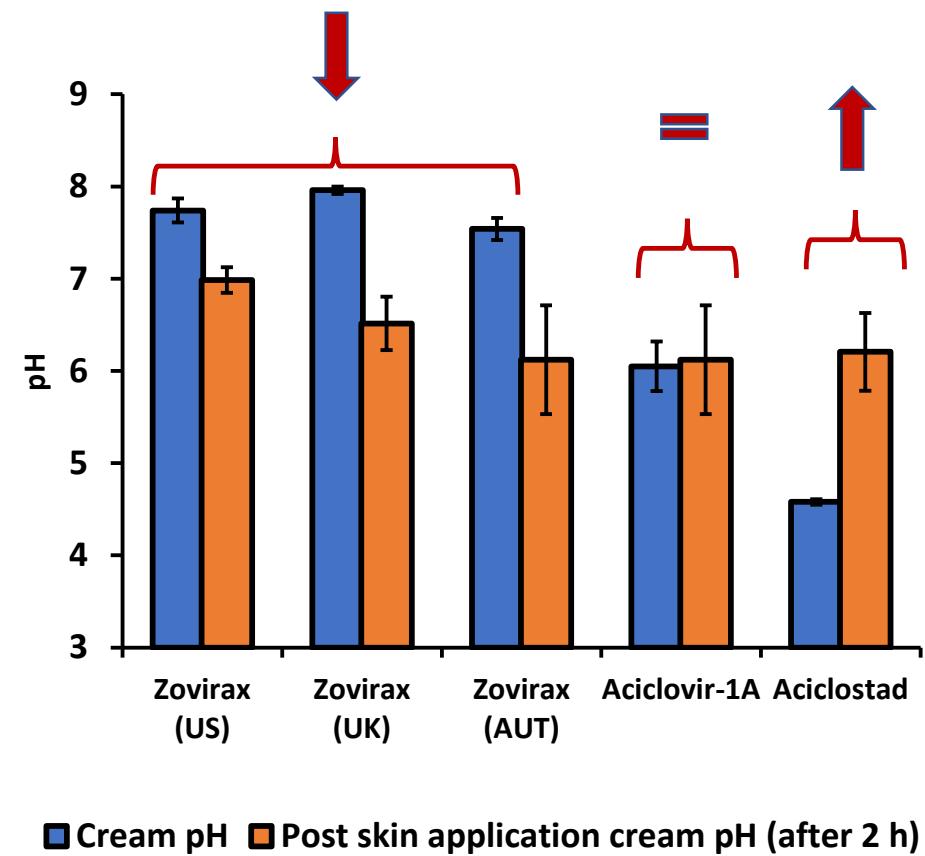
- pH of the product influences the extent of ionization of weakly acidic and basic APIs in the formulation.
- Unionized drug undergoes absorption relatively better than ionized form.
- pH changes in the formulation due to interaction with packaging material, loss of contents or due to changes in the excipient could impact the drug permeation from the formulation.

pH Change in Creams with Different Fatty Alcohols

Description	Purpose	w/w%
Propylene glycol	Solvent	8
Fatty alcohol	Viscogen	7
Octyldodecanol	Emollient	12
Macrogol cetostearyl ether 20	Emulsifier	3
Clotrimazole	API	1
Water	Solvent	69
Phenoxyethnol	Preservative	0.0005

Fatty Alcohol	pH of Fresh formulation (n=3±s.d)	pH of formulation after 3 months	
		25/65%RH	40/70%RH
Cetostearyl alcohol 50	CSA 50	5.75 ± 0.05	7.30 ± 0.05
Cetostearyl alcohol 70	CSA70	5.89 ± 0.02	7.23 ± 0.02
Myristyl alcohol	MA	5.79± 0.01	6.87 ± 0.01
Cetyl alcohol	CA	5.79± 0.02	7.11 ± 0.01
Stearyl alcohol	SA	5.79 ± 0.01	7.30 ± 0.01

pH could change after application on the skin



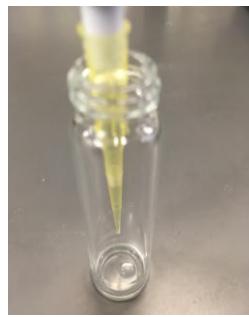
pKa 8.92 4.15 6.62 7.9

pH change could impact the drug permeation

Product	pKa	% Unionized	
		Initial	After one hour
Clotrimazole	6.6	43.1% (pH 6.5)	7.1% (pH 5.5)
Lidocaine	7.9	20.1% (pH 7.3)	2.5% (pH 6.3)

Should we consider Determining
the Buffer Capacity as well?

Measurement of Buffer Capacity of Topical Products



Known volume of standardized Sodium hydroxide and dispersant solution



The solution is dried by constantly rotating the vial to form a thin film of about 5-7 mm depth



Measure the initial pH of the test cream and place a known weight/volume in the glass vial.



Thoroughly mix the contents with a spatula intermittently



pH is measured using InLab Micro probe until constant values are attained.

Product	Initial pH	$\beta_{1\text{h}}$ moles/pH	$\beta_{24\text{h}}$ (moles/pH)
RLD-Cream	4.82 ± 0.01	3.6×10^{-4}	4.1×10^{-4}
Generic Cream	5.05 ± 0.05	1.2×10^{-4}	2.0×10^{-4}

RLD-Cream

4.82 ± 0.01

3.6×10^{-4}

4.1×10^{-4}

Generic Cream

5.05 ± 0.05

1.2×10^{-4}

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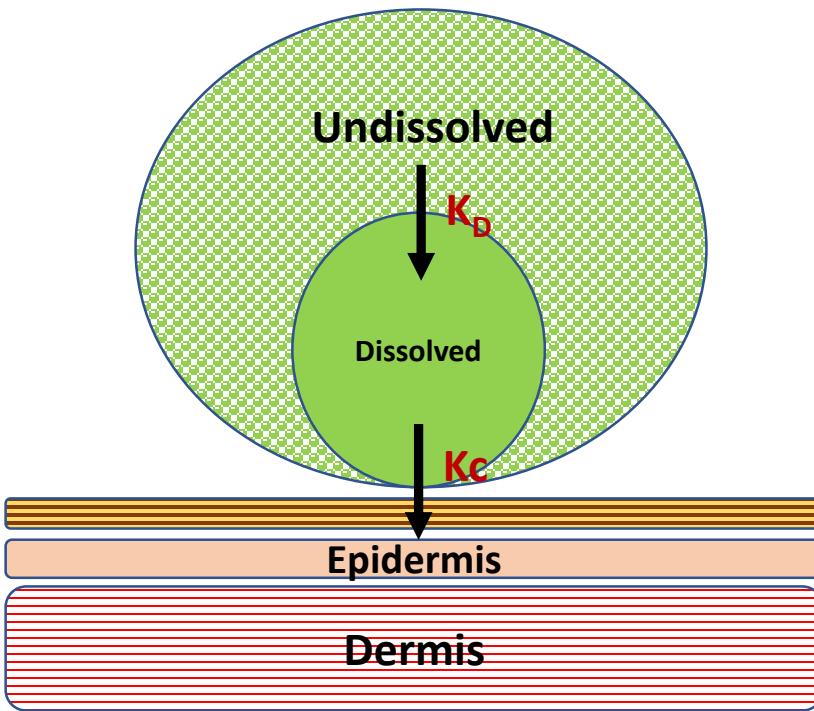


$P > 0.05$



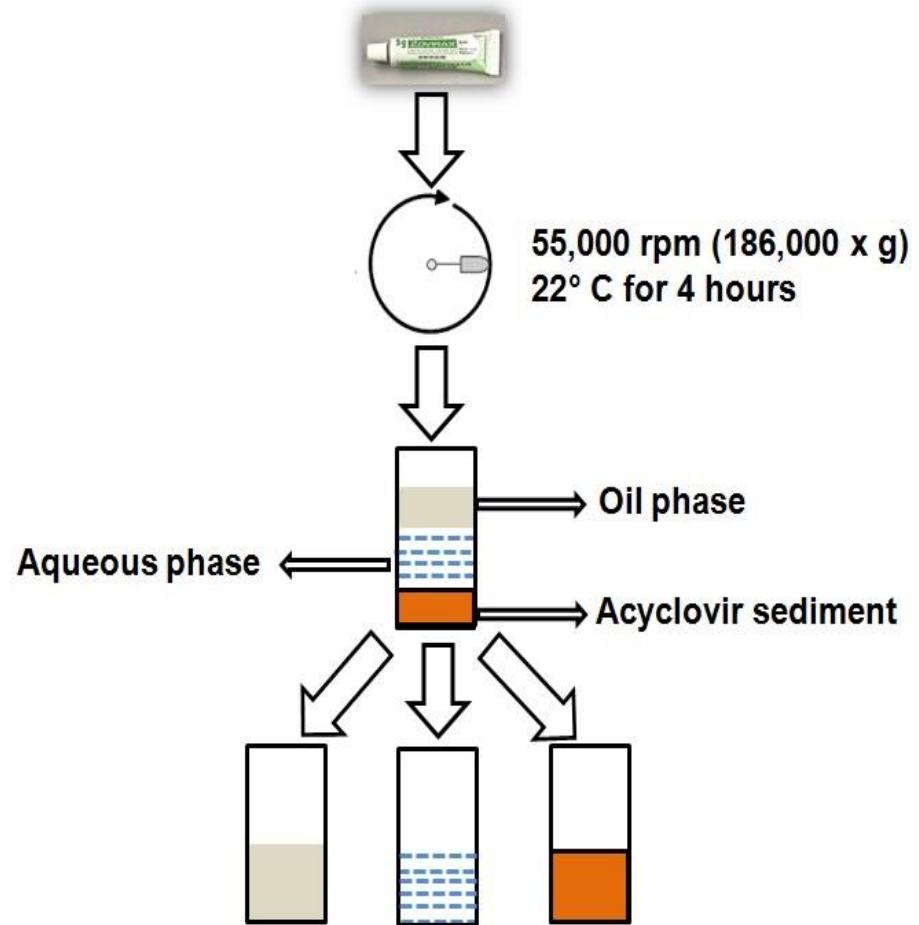
$P^* < 0.05$

Dissolved/Undissolved drug



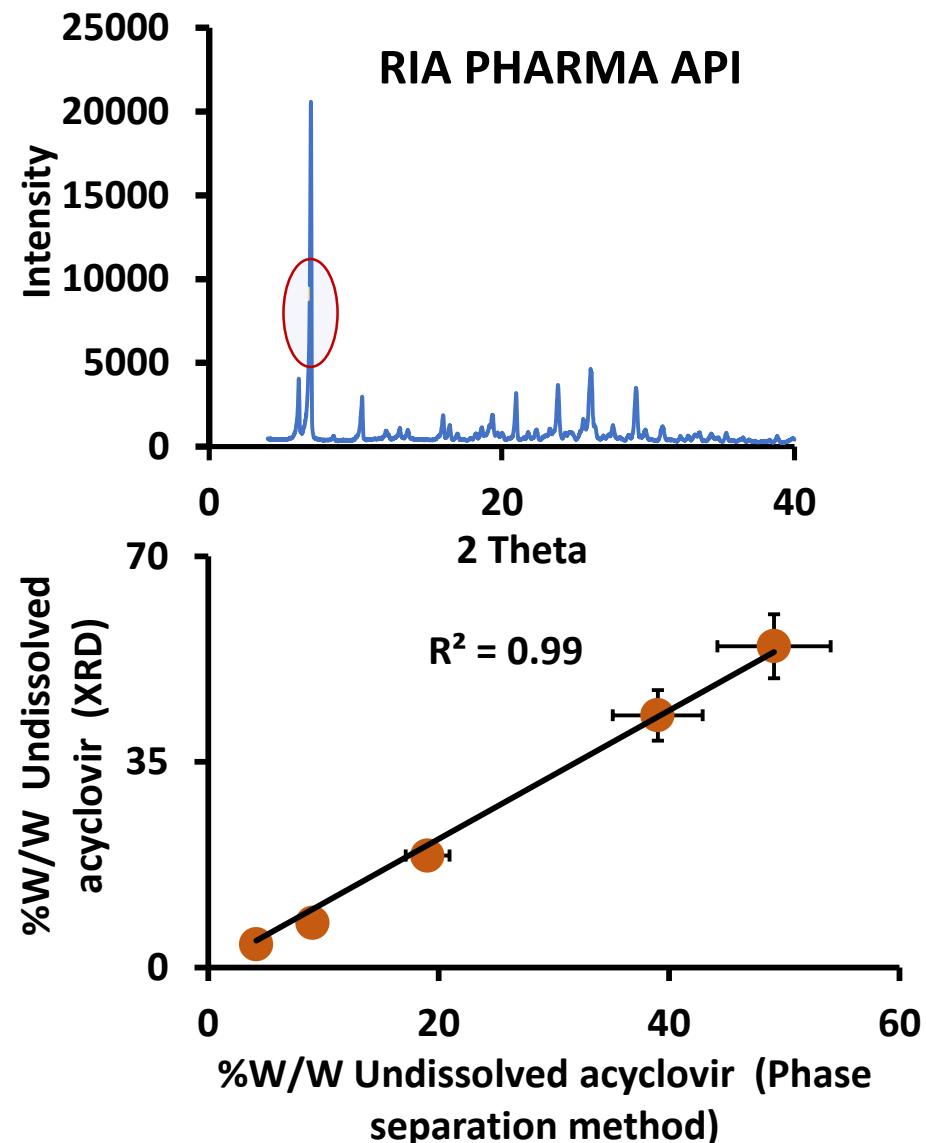
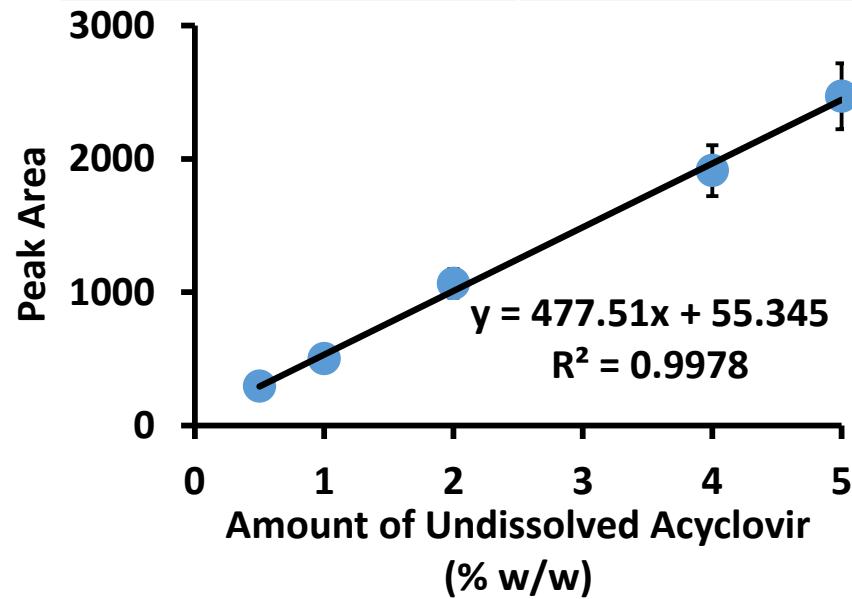
Dissolved Drug
Undissolved Drug

Phase Separation



D/UD – Quantitative XRD

Ingredient	Scale (g/100g)
Acyclovir	0.5-5%
Cetostearyl Alcohol	12
Cremophor A6	3
Cremophor A25	3
Liquid Paraffin	12
Deionized Water (q.s.)	100



Water Activity (a_w)

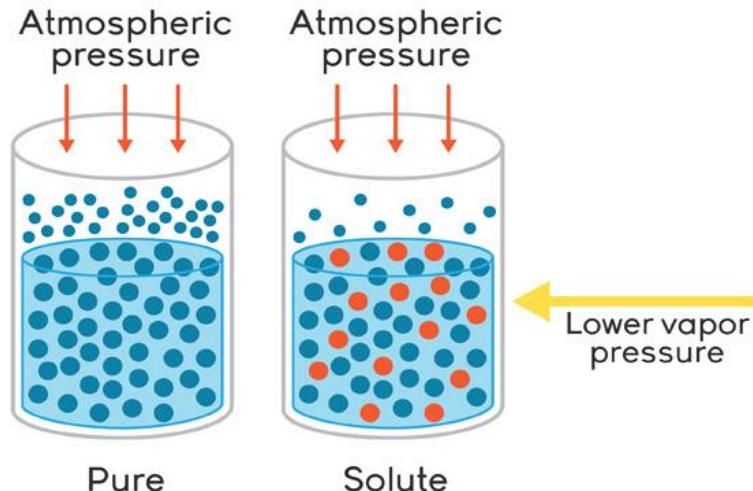
- Water activity is the measure of energy status of water in a chemical system. Pure water has water activity (a_w) = 1.

$$a_w = \rho / \rho_0$$

ρ = partial vapor pressure of water in material

ρ_0 = vapor pressure of pure water

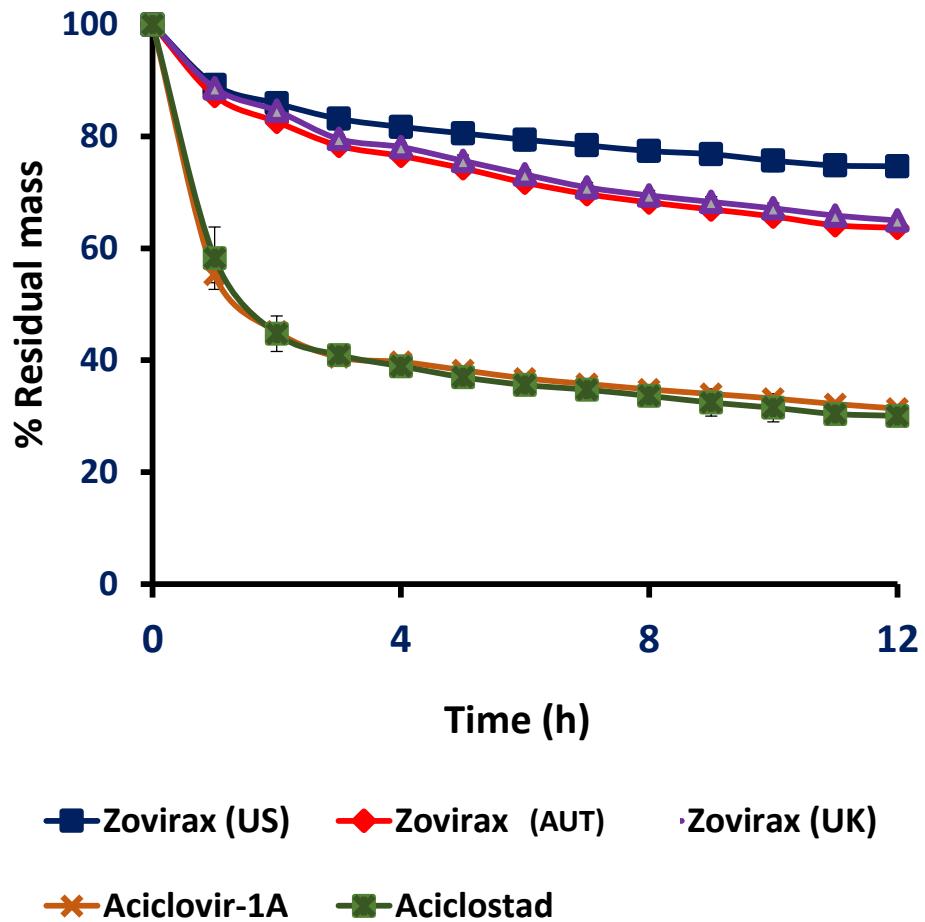
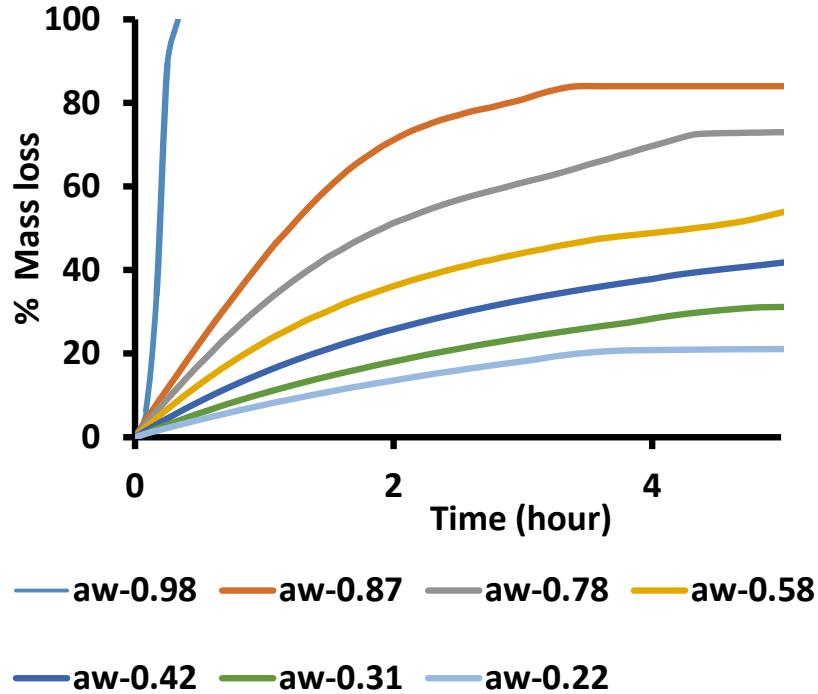
- Dissolved/suspended chemical species lower the thermodynamic activity of pure water.



AQUA
LAB

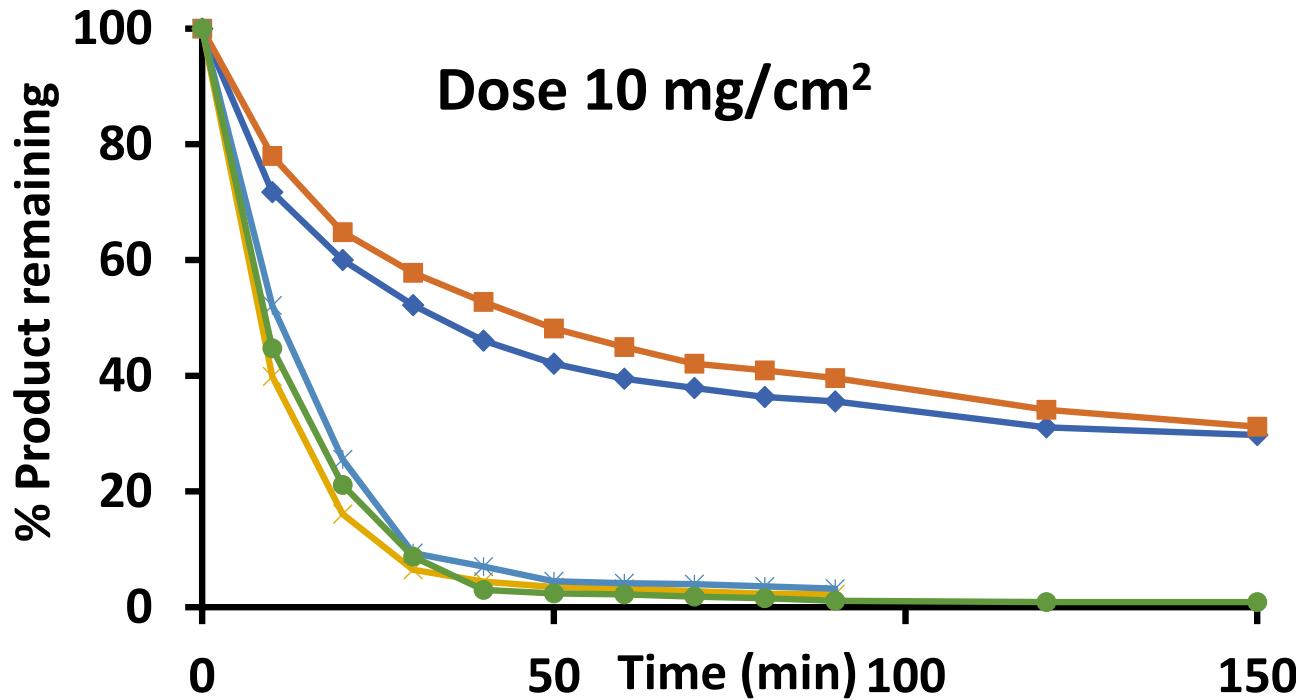


Water Activity (a_w) and Drying Rate



Product	Water Activity (a_w)
Zovirax (US)	0.753 ± 0.002
Zovirax (AUT)	0.735 ± 0.000
Zovirax (UK)	0.732 ± 0.002
Aciclovir-1A	0.948 ± 0.001
Aciclostad	0.948 ± 0.003

Drying Profile of Metronidazole Topical Products

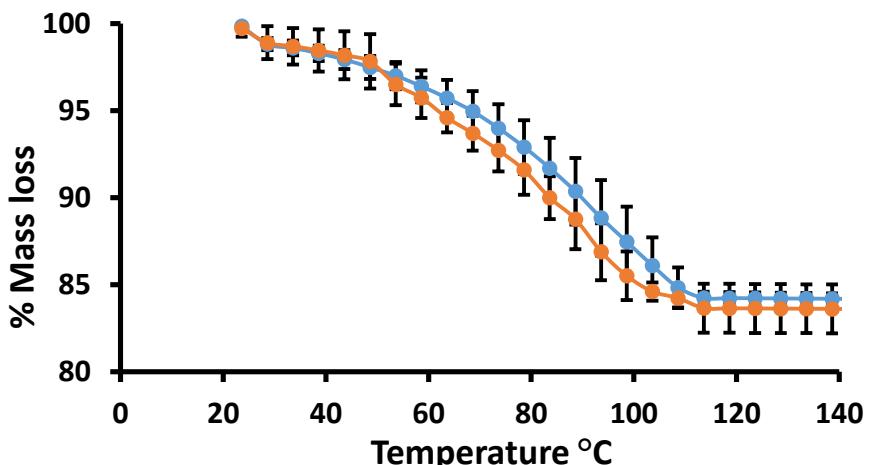


Quality Attribute	MetroCream® 0.75%, RLD cream (Galderma)	Metronidazole cream 0.75%, Generic cream (Fougera)	MetroGel® 0.75%, RLD gel (Prasco)	Metronidazole gel 0.75%, Generic gel - 1 (Tolmar)	Metronidazole gel 0.75%, Generic gel - 2 (Taro)
Water activity	0.977± 0.000	0.974± 0.002	0.992± 0.005	0.994± 0.004	1.002± 0.008
T ₃₀ % (min)	15.67± 0.76	11.40± 1.15	5.45± 0.45	4.70 ± 0.26	6.47± 0.55

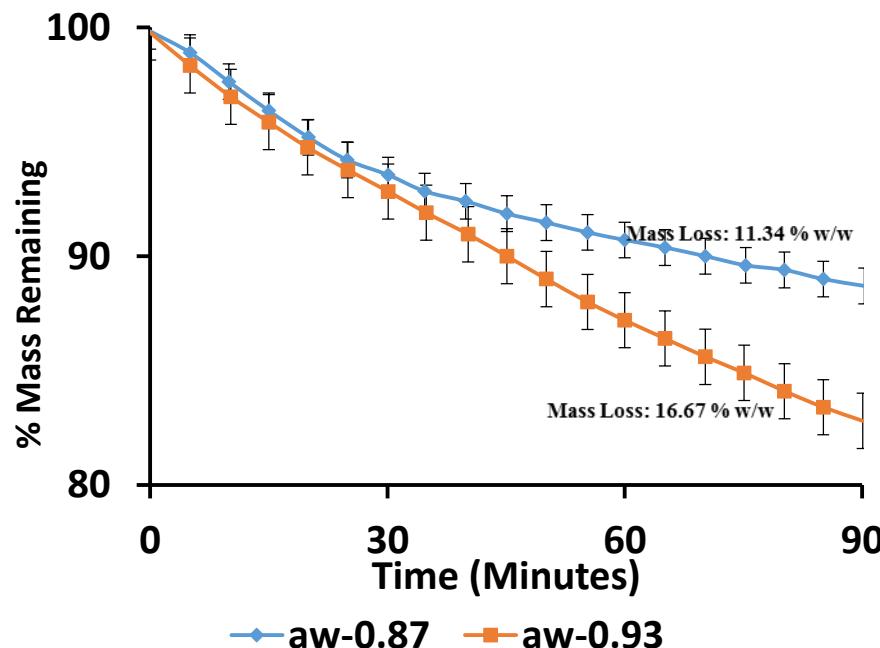
Water activity of Q1 & Q2 identical Creams

Ingredients (W/O)	Quantity (%)
Cetostearyl Alcohol	12.5
White Wax	12
Mineral Oil	59
Sodium Borate	0.5
Water	16

Formulation Code	Loss on Drying(%)	Water Content(%)
F1 (3000rpm-15 min)	84.32 ± 1.15	
F2 (7000rom-45 min)	83.75 ± 1.39	$\sim 16\%$



Formulation Code	Water Activity (aw)	% Mass loss at 90min
F1	0.93	16.67%
F2	0.87	11.34%

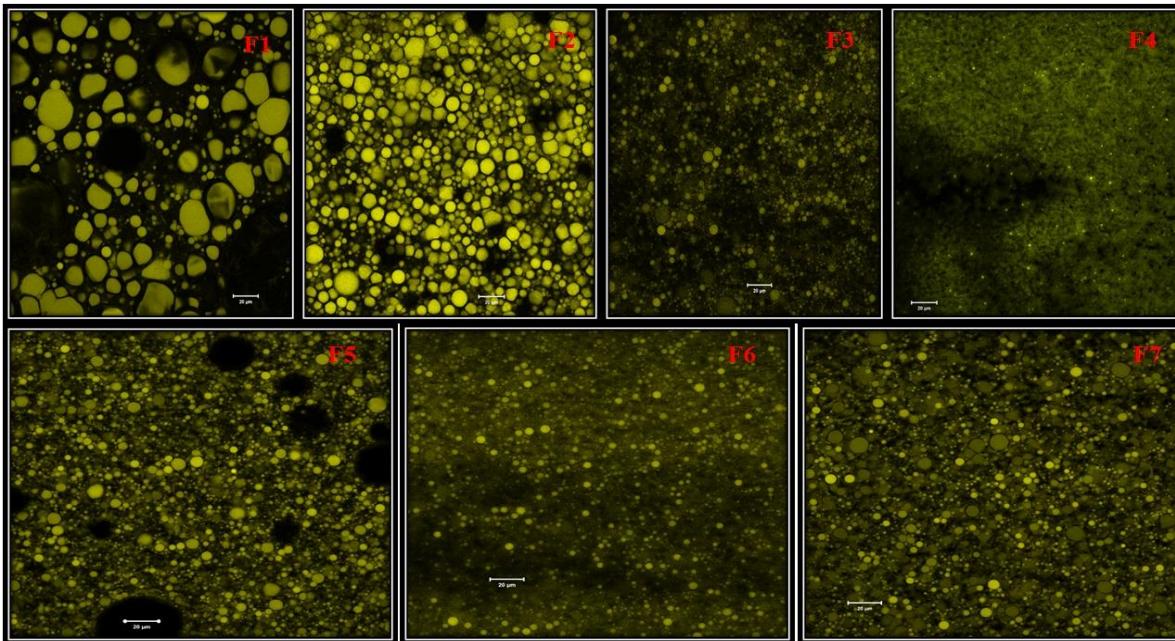


Determination of drying rate of model w/o type cream formulation using thermogravimetry at 32°C.

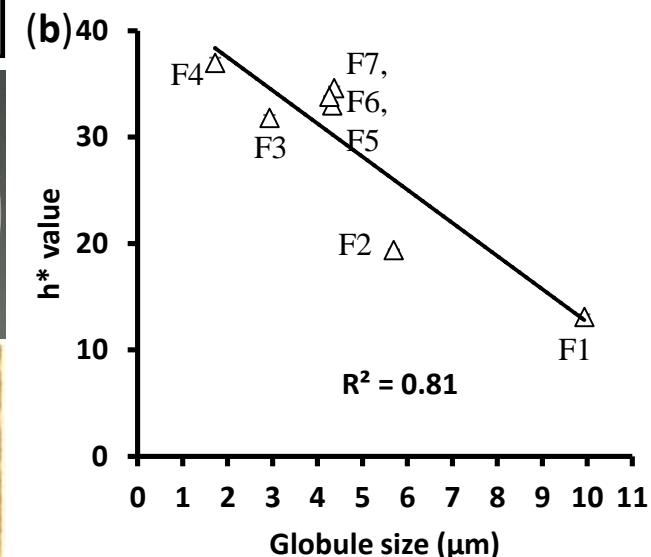
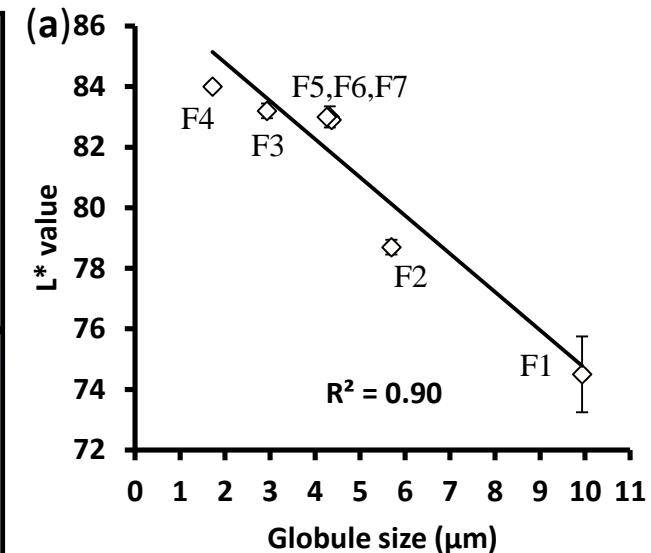
O/W Creams with Identical Composition and Different Globule size

Ingredients (O/W)	Quantity (%)	Formulation Code	Variable	Globule Size (um)
Cetostearyl alcohol	7	F1	500 rpm -20 min	11.37 ±7.03
Cremophor A6	1.5	F2	1000 rpm - 20 min	7.41 ±2.19
Cremophor A25	1.5	F3	3000 rpm - 20 min	2.98 ±1.25
Mineral Oil	12	F4	5000 rpm -20 min	1.71±0.41
Propylene Glycol	8	F5	3000 rpm - 10 min	4.30±1.33
Water	70	F6	3000 rpm - 40 min	4.36±0.88
		F7	3000 rpm - 20 min Gradual cooling	4.25±0.99

Appearance due to Globule Size Differences



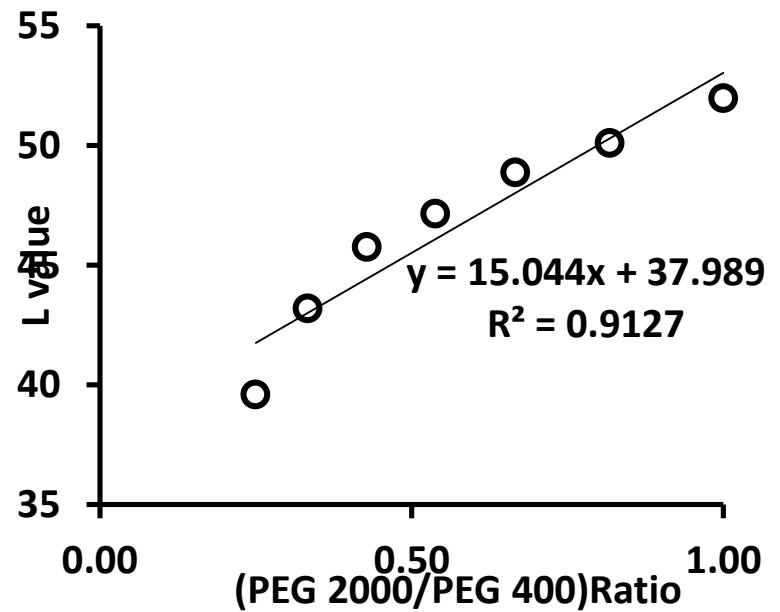
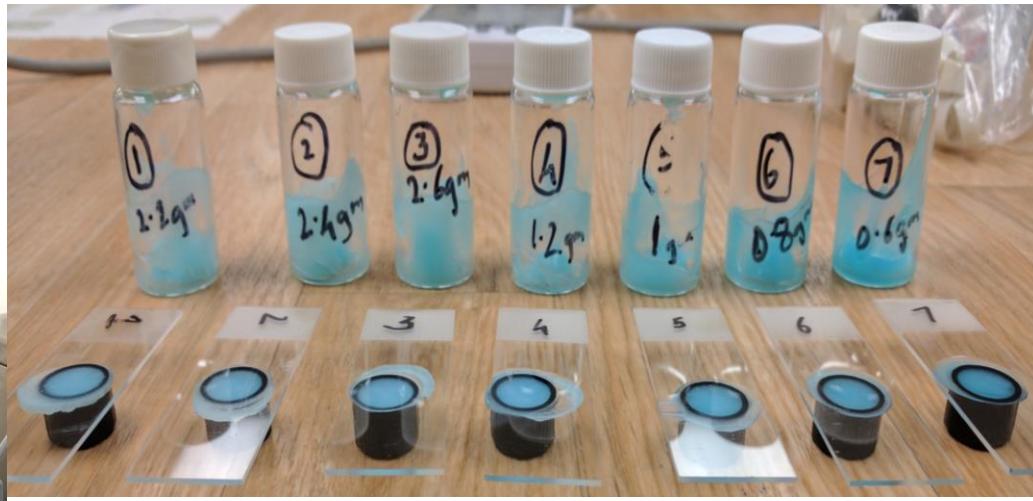
Colour Meter (PCE Instruments, PCE-CSM1)



Quantification of Color in Process optimization



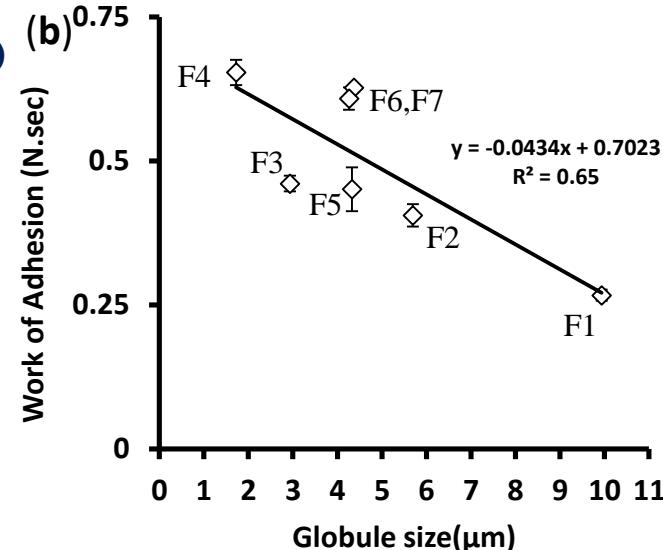
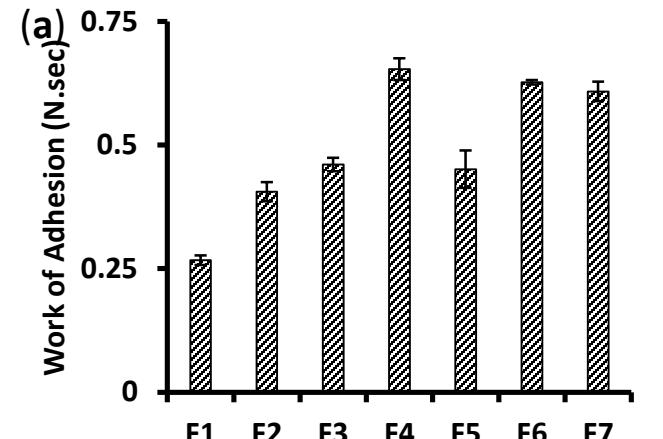
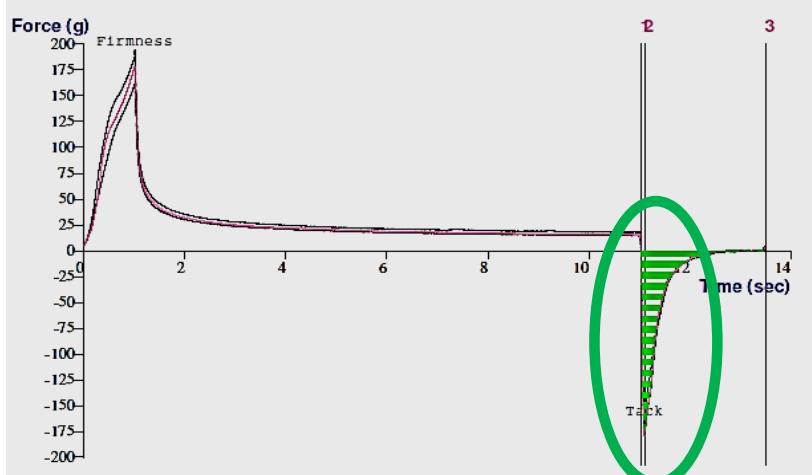
Omicron 10P, Steer America



Work of Adhesion- A sensitive Interfacial Characteristic



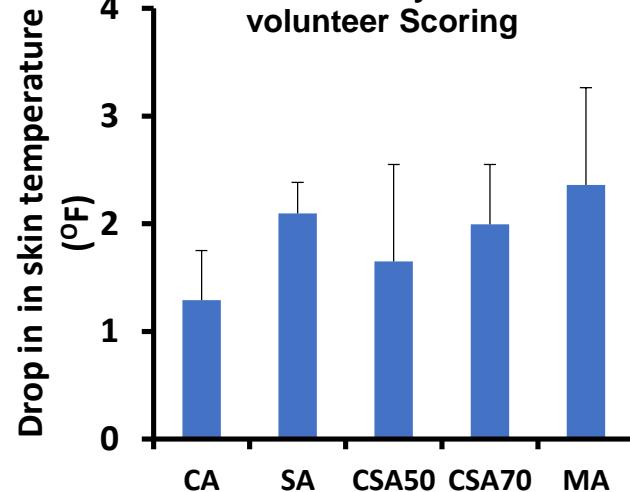
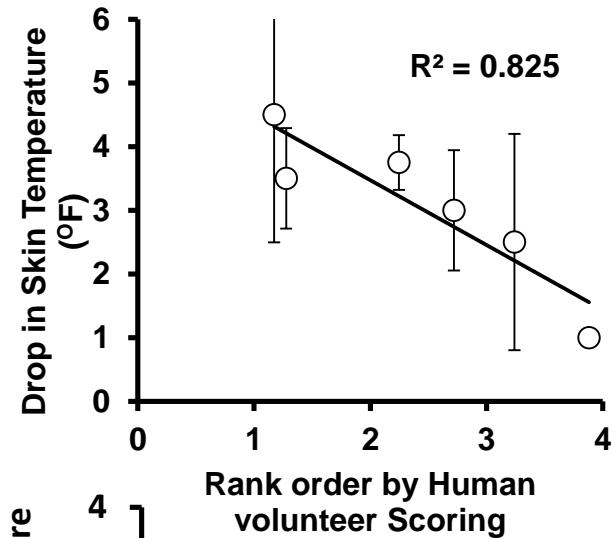
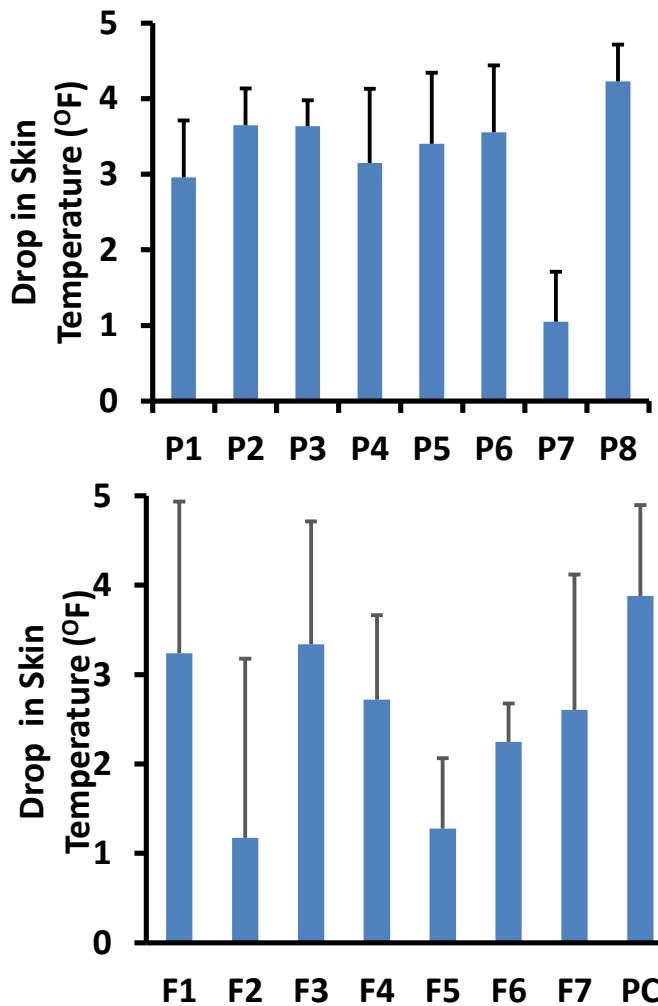
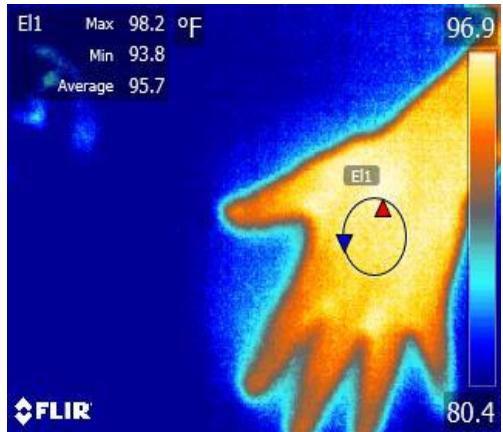
TA-XT2i Texture Analyser, Texture Technologies Corp)



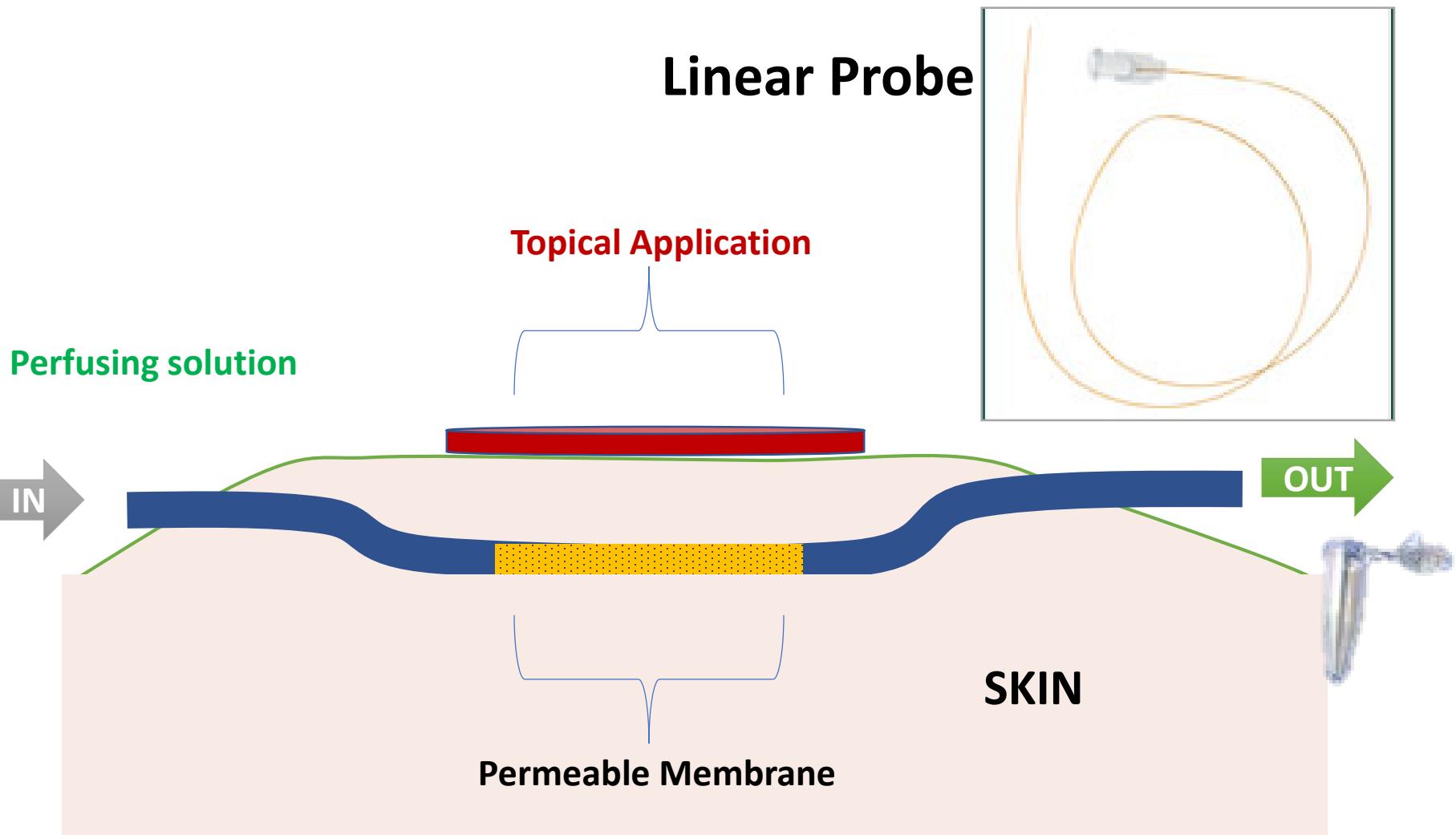
IR thermal Camera for Determining the Skin Cooling Effect



FLIR A35Sc Benchtop equipment



Cutaneous Microdialysis



Microdialysis- Dermal Bioequivalence Studies

14 subjects: 7 female & 7 male

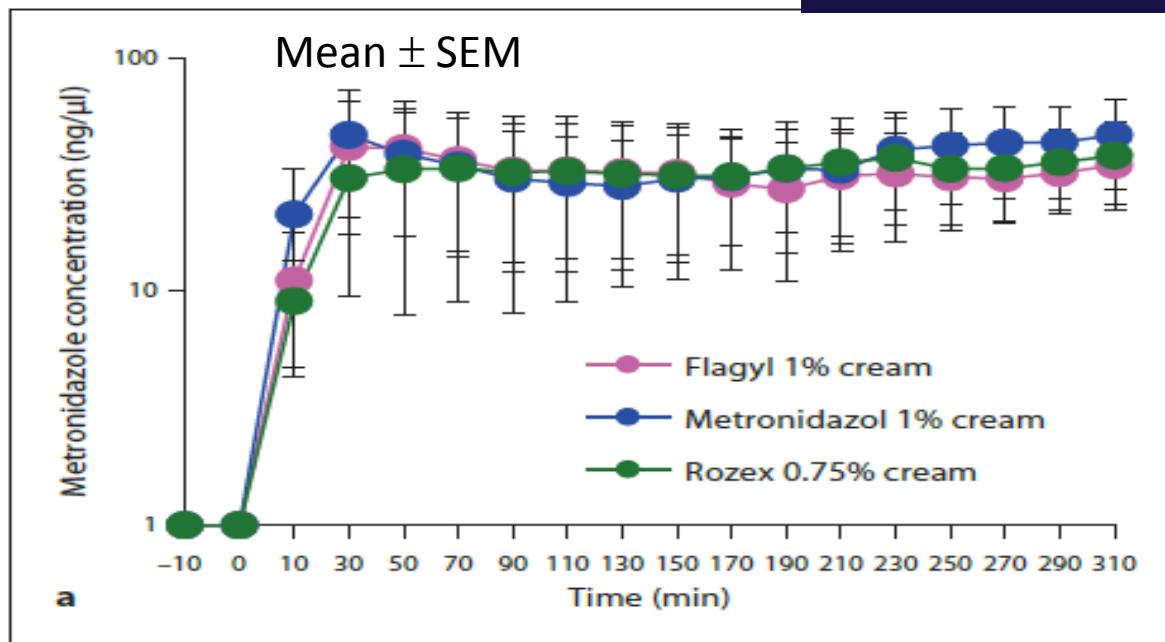
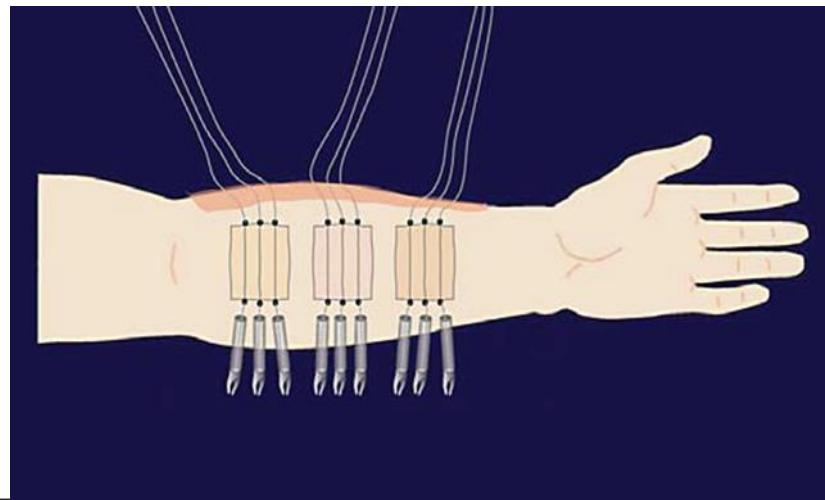
Three areas of application: one for each formulation

Three probes/area

Results

No effect of location on the arm

No difference between formulations

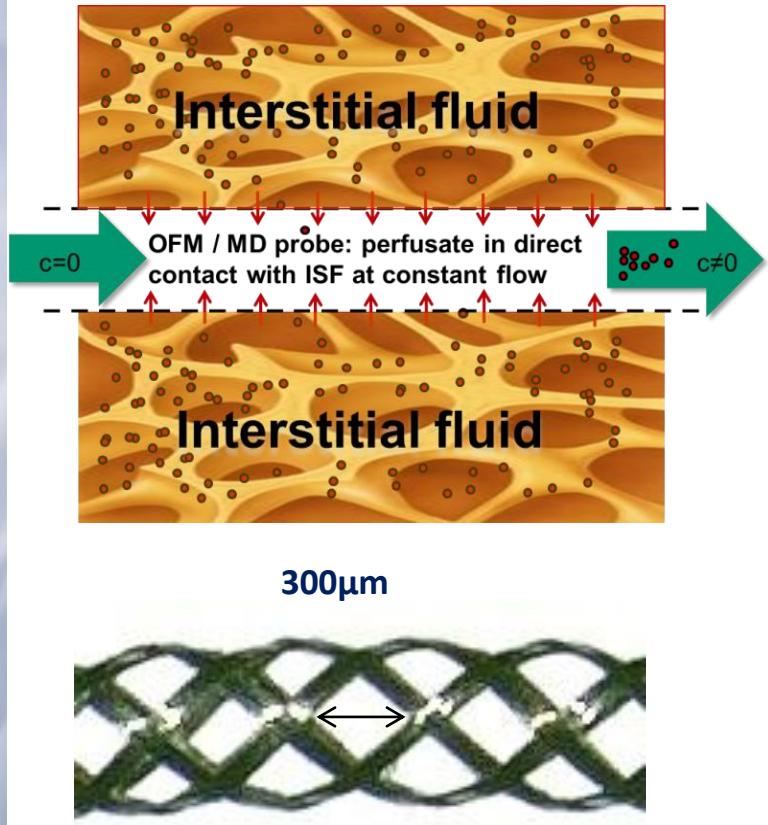


P. Garcia Ortiz et Al. 2011 "Are Marketed Topical Metronidazole Creams Bioequivalent? Evaluation by *in vivo* Microdialysis Sampling and Tape Stripping Methodology"; Skin Pharmacol Physiol, 24(1):44-53.

With permission from Prof. Stagni,
Long Island University

Open Flow Micro-perfusion Technique

Continuous dermal sampling
working principle



CE-certified for clinical use

With permission from Frank Sinner, JOANNEUM RESEARCH

Other Tools Under Development

- **Electro-microdialysis:** Enhanced recovery of ionic drugs.
- **EpSc™ Recovery Strips** for recovery of drug from stratum corneum and epidermis.
- **Impedance Spectroscopy** for characterization of o/w creams.
- **Electrochemical Method** of Determination of Buffer Capacity

Acknowledgements

- Dr. Howard Maibach (UCSF)
- Dr. Santanu Kundu (MSU)
- Dr. Repka –The Univ. of Mississippi
- Dr. Srinath Rangappa – Postdoctoral Associate
- Dr. Srinatha-Postdoctoral Associate
- Dr. MuraliKrishna Angamuthu (GSK)
- Abhijeet Maurya (Grad. Student)
- Srinivas Ajjarapu (Grad. Student)
- Supriya Bhide (Grad. Student)



U01FD005223

The Office of Generics Drugs
(OGD)/Office of Research and
Standards (ORS)

Dr. Sam Raney

Dr. Priyanka Ghosh

Dr. Bryan Newman

Dr. Tannaz Ramezanli

Dr. Elena Rantou

Dr. Katherine Tyner

Prof.Grazia Stagni, Long Island
University

Dr.Frank Sinner, JOANNEUM
RESEARCH

Dr. Peter Wildfong, Duquesne
University, PA

Thank you

