

### **Characterization of Nanomaterials**

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Disclaimer: The views expressed in this presentation are those of the speaker and not necessarily those of the Food and Drug Administration (FDA).

## Outline



- Overview of regulatory consideration about nanomaterials
- Characterization of nanomaterials
- Consideration for generic nano product development and characterization
- Regulatory research in nano drug product characterization

# Nanomaterials (US FDA)



- Whether a material or end product is engineered to have at least one external dimension, or an internal or surface structure, in the nanoscale range (approximately 1–100 nm), and
- Whether a material or end product is engineered to exhibit properties or phenomena, including physical or chemical properties or biological effects, that are attributable to its dimension(s), even if these dimensions fall outside the nanoscale range, up to one micrometer

Considering whether an FDA regulated product involves the application of nanotechnology http://www.fda.gov/RegulatoryInformation/Guidances/ucm257698.htm

### Nanomaterials



### Health Canada

Any manufactured substance or product and any component material, ingredient, device, or structure to be nanomaterial if:

• It is at or within the nanoscale in at least one external dimension, or has internal or surface structure at the nanoscale, or;

• It is smaller or larger than the nanoscale in all dimensions and exhibits one or more nanoscale properties/phenomena.

For the purposes of this definition:

• "nanoscale" means 1 to 100 nanometres, inclusive;

 "nanoscale properties/phenomena" means properties which are attributable to size and their effects; these properties are distinguishable from the chemical or physical properties of individual atoms, individual molecules and bulk material; and
 "manufactured" includes engineering processes and the control of matter.

Policy Statement on Health Canada's Working Definition for Nanomaterial, Oct 6, 2011

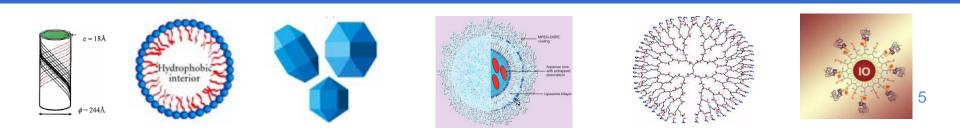
### EMA

- Nanomedicine is defined as the application of nanotechnology in view of making a medical diagnosis or treating or preventing diseases. It exploits the improved and often novel physical, chemical and biological properties of materials at nanometre scale
- Nanotechnology is defined as the production and application of structures, devices and systems by controlling the shape and size of materials at nanometre scale. The nanometre scale ranges from the atomic level at around 0.2 nm (2Å) up to around 100 nm.

## FDA's Position on Nanomaterials



"The application of nanotechnology may result in product attributes that differ from those of conventionallymanufactured products, and thus may merit examination. However, FDA does not categorically judge all products containing nanomaterials or otherwise involving application of nanotechnology as intrinsically benign or harmful."



## Regulatory Consensus on Nanomaterials



1<sup>st</sup> International Workshop on Nanomedicines (2010)

....

 The current regulatory framework based on benefit/risk approach and including risk management plan and environmental risk assessment is adequate for development and evaluation of current applications in pharmaceuticals.

- Current regulatory experience allows the assessment of many aspects of nanomedicines, but there is a scientific gap between the current knowledge and the more advanced and emerging nanomedicines. Scientific research to fill the gap"

### Regulatory Pathways for FDA-regulated FDA Drug Products Containing Nanomaterials

- 505(b)(1), 505(b)(2), and 505(j) apply to drug products containing nanomaterials
- All current CDER guidance documents, recommendations, and requirements for the evaluation and maintenance of quality, safety, and efficacy of products apply to drug products containing nanomaterials



### **Regulatory Pathways**

| 505(b)1  | 505(b)2   | 505(j)  |
|--|---|---|
| <ul> <li>contains full reports of investigations of safety and effectiveness</li> <li>Data either owned by the applicant or for which the applicant has obtained a right of reference</li> </ul> | <ul> <li>contains full reports of investigations of safety and effectiveness</li> <li>where at least some of the information required for approval comes from studies not conducted by or for the applicant and for which the applicant has not obtained a right of reference or use</li> </ul> | contains information to show<br>that the proposed product is<br>identical in active ingredient,<br>dosage form, strength, route of<br>administration, labeling, quality,<br>performance characteristics and<br>intended use, among other<br>things to a previously approved<br>application (the reference listed<br>drug (RLD). |
| Requires extensive data to<br>establish saftey and<br>effectiveness, adequate<br>production methos,<br>appropriate labeling  | Provide information to establish  | No requests of clinical<br>safety/efficacy studies but are<br>required to establish<br>bioequivalence to the RLD.   |
| Doxorubicin HCl injection<br>Pharmacia and Upjohn,<br>1974   | Doxorubicin HCl liposome<br>injection (Doxil)<br>Janssen, 1995  | Generic doxorubicin HCl<br>liposome injection<br>Sun Pharm, 2013  |

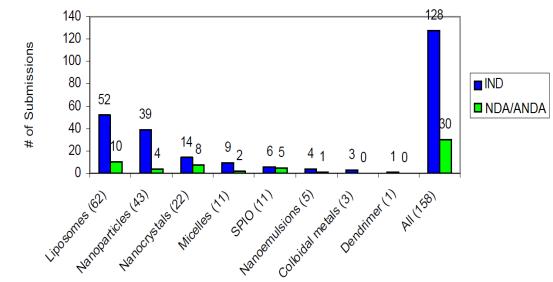
## FDA Guidance on Nanotechnology to Industry



- Considering Whether an FDA-Regulated Product Involves the Application of Nanotechnology
- Safety of Nanomaterials in Cosmetic Products
- Assessing the Effects of Significant Manufacturing Process Changes, Including Emerging Technologies, on the Safety and Regulatory Status of Food Ingredients and Food Contact Substances, Including Food Ingredients that are Color Additives
- Draft Guidance for Industry Use of Nanomaterials in Food for Animals
- Draft Guidance for Industry: Dietary Supplements: New Dietary Ingredient Notifications and Related Issues
- Draft Guidance for Industry and FDA Staff 510(k) Device Modifications: Deciding When to Submit a 510(k) for a Change to an Existing Device

## Nano Drug Submissions and Approvals (US FDA)

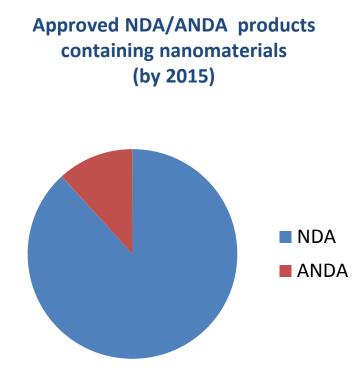




### IND/NDA/ANDA submissions containing nanomaterials (by 2012)

Sadrieh, N. 2012 Overview of CDER Experience with Nanotechnology-related Drugs.

http://www.fda.gov/downloads/AdvisoryCommittees/CommitteesMeetingMaterials/D rugs/AdvisoryCommitteeforPharmaceuticalScienceandClinicalPharmacology/UCM3 15773.pdf





## **Types of Approved Nanomaterials** FDA

|                        | Example  |                   |                             |  |
|------------------------|--|-------------------|-----------------------------|--|
| Platform               | Name   | NDA               | Indication                  |  |
|                        |  | Approval          |                             |  |
|                        |  |                   |                             |  |
| Liposome               | DOXIL <sup>®</sup> (Doxorubicin)                         | 1995 <sup>1</sup> | Cancer                      |  |
| Inorganic nanoparticle | FERRLECIT <sup>®</sup> (Sodium ferric gluconate complex) | 1999 <sup>2</sup> | Anemia                      |  |
| Protein nanoparticle   | ABRAXANE <sup>®</sup> (Paclitaxel)                       | 2005              | Cancer                      |  |
| Polymer nanoparticle   | MACUGEN <sup>®</sup> (Pegaptanib sodium)                 | 2004              | Macular degeneration.       |  |
| Emulsion               | RESTASIS <sup>®</sup> (Cyclosporine)                     | 2002              | To increase tear production |  |
| Lipid complex          | AMPHOTEC <sup>®</sup> (Amphotericin B)                   | 1996              | Invasive aspergillosis      |  |
| Nanotube               | SOMATULINE DEPOT <sup>®</sup> (Lanreotide acetate)       | 2007              | Acromegaly                  |  |
| Nanocrystal            | TRICOR <sup>®</sup> (Fenofibrate)                        | 2004 <sup>3</sup> | Hypercholesterolemia        |  |
| Micelle                | TAXOTERE <sup>®</sup> (Docetaxel)                        | 1996              | Cancer                      |  |

<sup>1</sup> First ANDA approval in 2013

<sup>2</sup> First ANDA approval in 2011

<sup>3</sup> First ANDA approval in 2011

### Characterization of Nanomaterials



### 21 CFR 314.50(d) requires:

- Full description of physical and chemical characteristics and stability for the drug substance Particle size, crystalline form, surface area/volume/coatings, etc...
- Identity
- Strength
- Quality
- Purity
- Potency
- Bioavailability
- Manufacturing Process and Controls
- Analytical procedures Including alternative procedures

### Physico-chemcial characterizations

- Nanomaterial components
  - Lipids/carbohydrates
  - Free drug vs nanomaterial-associated drug
  - ....
- Nanomaterial higher order structure
  - Size, size distribution
  - Morphology
  - Surface properties

-....

- Nanomaterial performance
  - In vitro release

-....

### Instrumentation

### Spectroscopy

- Mass Spectroscopy
- Nuclear Magnetic Resonance
- UV-Vis
- Infrared
- Raman
- Fluorescence
- Refractive Index
- C XPS

### Chromatography/Separations

- HPLC
- GC
- FPLC
- Size Exclusion
- Asymmetric Field-flow Fractionation
- Centrifugal FFF
- Disc Centrifuge
- Analytical Ultracentrifugation
- Capillary Electrophoresis
- Gel Electrophoresis

### Microscopy

- Transmission Electron Microscopy
- Scanning Electron Microscopy
- Atomic Force Microscopy

### Scattering/Diffraction

- Dynamic Light Scattering/ZP
- Static Light Scattering
- Electron Diffraction
- X-ray Diffraction
- Neutron Diffraction
- Other
  - Surface Plasmon Resonance
  - Polarimetry
  - Laser Diffraction
  - Microchannel Resonator
  - Nanoparticle Tracking (Nanosight)
  - Coulter Counter (qNano)
  - Liquid Surface Area (Acorn; NMR)
  - Gas Adsorption System (BET)
  - Charge Titration (ZP)
  - TGA/DSC

## Particle Size Analysis Method



| Technology  | Advantages  | Limitations   | Notes   |
|---|---|---|---|
| Dynamic light<br>scattering (DLS)                 | <ul> <li>Ease of use and fast<br/>analysis</li> <li>Broad dynamic range, ~ 1<br/>to 1,000 nm</li> <li>High sensitivity and<br/>reproducibility for<br/>monodisperse,homogenous<br/>samples</li> </ul> | <ul> <li>Low resolution for polydisperse,<br/>heterogeneous samples</li> <li>Requires transformative<br/>calculations with assumptions<br/>that must be considered when<br/>interpreting data – particularly<br/>with polydisperse samples</li> <li>Assumes spherical shaped<br/>particles</li> </ul> | Coupling to a<br>separation<br>technique<br>(e.g., SEC or<br>FFF) |
| Electron<br>microscopy<br>(SEM, TEM,<br>Cryo-TEM) | <ul> <li>Visual size measurement<br/>and morphology properties</li> <li>Good for electron dense<br/>particles</li> </ul>  | <ul> <li>Labor intensive and time<br/>consuming</li> <li>Sample prep and staining may<br/>affect particle character and<br/>produce artifacts</li> <li>Limited representation</li> </ul>  | Cryo-TEM for<br>"soft" particle                                   |

Atomic force microscopy, Nanoparticle tracking analysis.....

Adapted from 2015 Nano Alliances Report

### Dynamic Light Scattering Particle Size Reporting



Nanoemulsion Stock diluted 1000-fold in water 25° C, RI = 1.332,  $\eta$  = 0.890 cP Low volume quartz cuvette, b = 10 mm 633 nm laser  $\lambda$ , 173° scattering angle

Polydispersed multimodal peaks observed in intensity- and volumeweighted distribution.

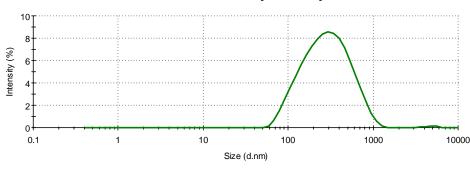
Single peak observed in numberweighted distribution.

Intensity-weighted distribution used for reporting size.

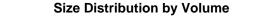
Volume-weighted distribution used for relative amounts.

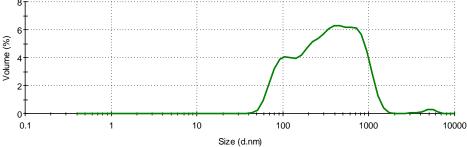
Number-weighted distribution should be avoided because several assumptions are involved in the transformation.

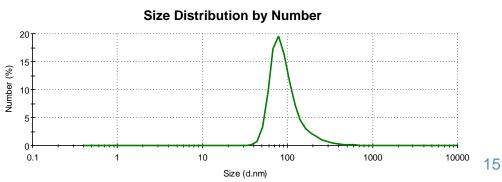
http://www.malvern.com/en/support/resourcecenter/technical-notes/TN101104IntensityVolumeNumber.aspx



#### Size Distribution by Intensity







Results are an average of at least 10 measurements

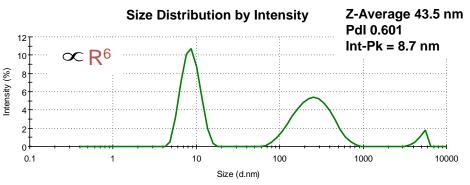
### **Dynamic Light Scattering Sample Preparation - Filtration**

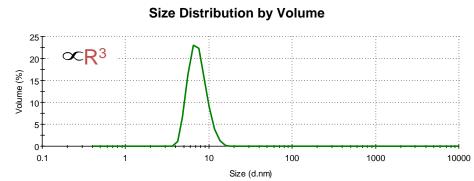


G6-NH<sub>2</sub> dendrimer in PBS 2 mg/mL, 25° C, RI = 1.334,  $\eta$  = 0.911 cP Low volume quartz cuvette, b = 10 mm 633 nm laser  $\lambda$ , 173° scattering angle

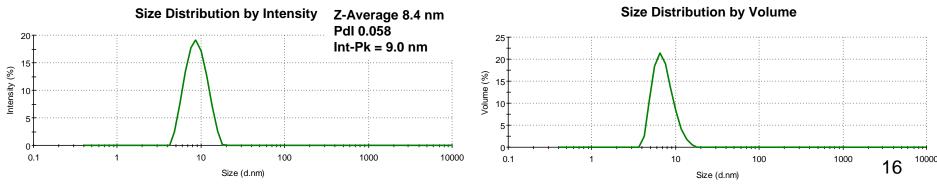
Note the Z-Average and Pdl for unfiltered sample
Importance of filtering samples

#### Sample not filtered





### Sample 0.02 µm filtered

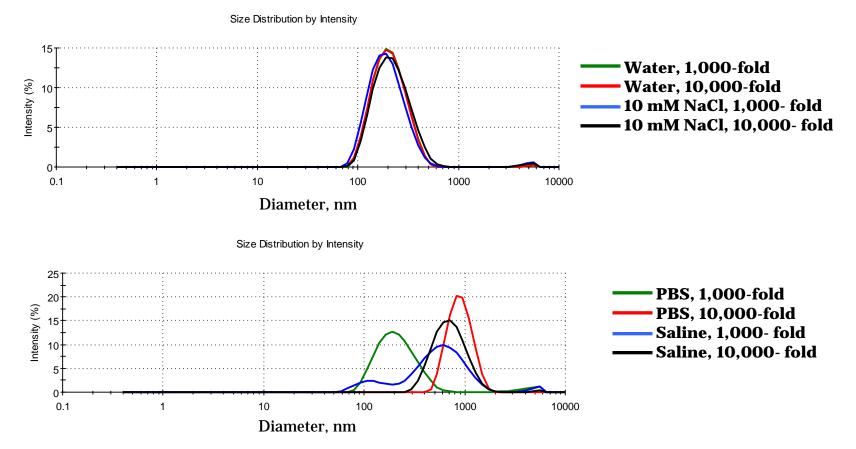


Results are an average of at least 10 measurements

Courtesy of Anil Patri

### **Dynamic Light Scattering Sample Preparation - Dilution**



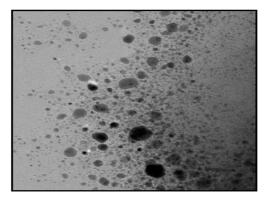


## Size may be environment dependent; may depend on the solvent and concentration.

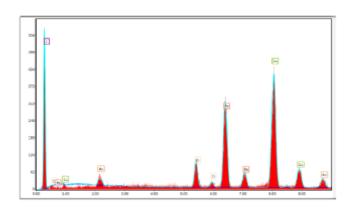
## Temperature Effect on Particle Size Distribution by TEM



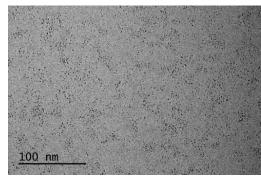
### **Room Temperature TEM**

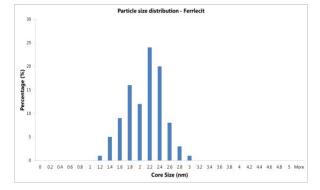


Size range (nm): 1.8-27.0



### Cryo-TEM





Core size (nm): 2.0 ± 0.4

Wu, Y., Petrochenko, P., Chen, L., Wong, S.Y., Absar, M., Choi, S., Zheng, J., 2016. Core size determination and structural characterization of intravenous iron complexes by cryogenic transmission electron microscopy. Int J Pharm 505, 167-174.

### **Generic Drugs**



- Generic drugs are copies of reference listed drug (RLD)
- Same in active ingredient, dosage form, safety, strength, routes of administration....

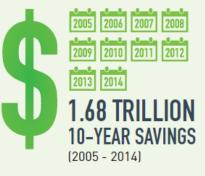
#### **GENERIC DRUGS IN THE UNITED STATES**



88% OF PRESCRIPTIONS but only 28% of drug costs

3.8 BILLION PRESCRIPTIONS





http://www.gphaonline.org/media/wysiwyg/PDF/GPhA Savings Report 2015.pdf

### New Drug Application (NDA) vs. Abbreviated New Drug Application (ANDA)



### NDA

- 1. Chemistry
- 2. Manufacturing
- 3. Testing
- 4. Labeling
- 5. Inspection
- 6. Animal Studies
- 7. Clinical Studies
- 8. Bioavailability

### ANDA

- 1. Chemistry
- 2. Manufacturing
- 3. Testing
- 4. Labeling
- 5. Inspection
- 6. Bioequivalence

### FDA Scientific Considerations on Generic Products Referencing Nanomaterials

## Oral products containing nanomaterials

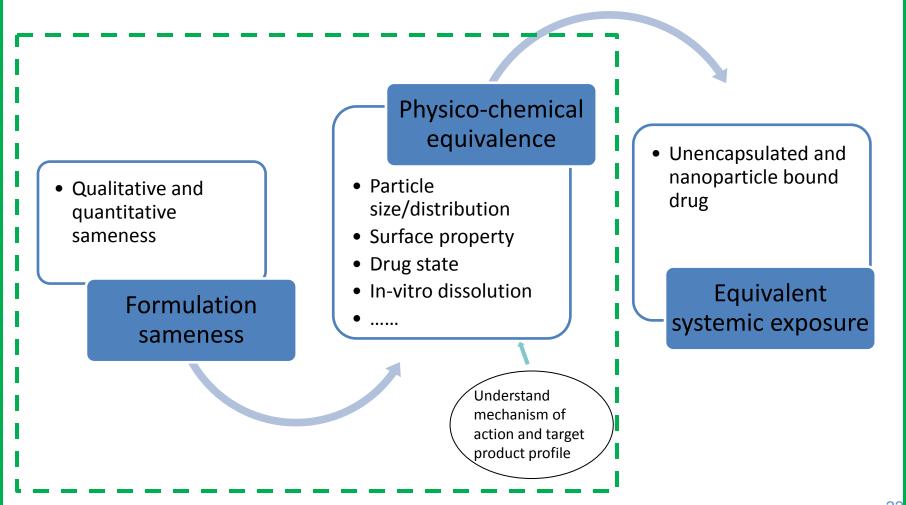
- Can opt not to use nanotechnologies but other approaches to improve bioavailability

- If different nanotechnology platforms used, e.g., solid lipid nanoparticles instead of nanocrystals, evaluate any potential for specific uptake.

### • Parenteral or topical products containing nanomaterial

- Test and reference products have equivalent particle size distribution and other attributes unless there is scientific evidence that these attributes are not critical to safety or efficacy for the product in question

### Paradigm for Equivalence Recommendation of Parenteral Nanomaterials



## **US Generic Nano Drug Landscape**



### • Generic nano drug product approved

sodium ferric gluconate injection (2011) doxorubincin HCl liposome injection (2013) Oral products containing nanocrystals

### FDA product-specific equivalence guidance developed

doxorubicin HCl liposome injection (2010) verteporfin liposome injection amphotericin B liposome injection daunorubicin liposome injection sodium ferric gluconate injection ferumoxytol injection iron sucrose injection cyclosporine emulsion lanreotide acetate injection paclitaxel albumin-bound particles for injectable suspension

### Draft Guidance on Doxorubicin Hydrochloride

Contains Nonbinding Recommendations

#### Draft Guidance on Doxorubicin Hydrochloride

This draft guidance, once finalized, will represent the Food and Drug Administration's (FDA's) current thinking on this topic. It does not create or confer any rights for or on any person and does not operate to bind the FDA or the public. You can use an alternative approach if the approach satisfies the requirements of the applicable statutes and regulations. If you want to discuss an alternative approach, contact the Office of Generic Drugs.

| Active Ingredient:          | Doxorubicin hydrochloride       |  |
|-----------------------------|---------------------------------|--|
| Dosage Form; Route:         | Liposome injection; intravenous |  |
| <b>Recommended Studies:</b> | Two studies                     |  |

When the test and reference pegylated liposome products

- have the same drug product composition and
- are manufactured by an active liposome loading process with an ammonium sulfate gradient and
- have equivalent liposome characteristics including liposome composition, state of encapsulated drug, internal environment of liposome, liposome size distribution, number of lamellar, grafted PEG at the liposome surface, electrical surface potential or charge, and in vitro leakage rates.

The following clinical and in vitro studies are recommended to demonstrate bioequivalence:

#### In Vivo Bioequivalence Study:

1. Type of study: Fasting\*

Design: Single-dose, two-way crossover in vivo Strength: 50 mg/vial or 20 mg/vial Dose: 50 mg/m<sup>2</sup> Subjects: Ovarian cancer patients whose disease has progressed or recurred after platinum-based chemotherapy and who are already receiving or scheduled to start therapy with the reference listed drug (RLD) or the reference standard product. Analytes to measure (in appropriate biological fluid): Free doxorubicin and liposome encapsulated doxorubicin.

Bioequivalence based on (90% CI): AUC and Cmax for free doxorubicin and liposome encapsulated doxorubicin.

Note: the pivotal bioequivalence study should be conducted using test product produced by the proposed commercial scale manufacturing process.

#### In Vitro Study:

 Type of study: Liposome Size Distribution Design: in vitro bioequivalence study on at least three lots of both test and reference products

Parameters to measure: D10, D50, D90

**Bioequivalence based on (95% upper confidence bound):** D50 and SPAN [(i.e. D90-D10)/D50] or polydispersity index using the population bioequivalence approach.

#### http://www.fda.gov/drugs/guidancecomplianceregulatoryinformation/guidances/ucm075207.htm

## **Doxil<sup>®</sup> Shortage**



Hospitals

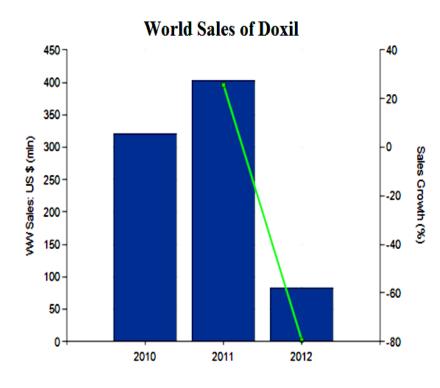
- Switch to more expensive substitutes
- Time/money for training staff
- Risks of medical error

### Patients

"It's like you're out in the ocean and the guy on the lifeboat says, 'Sorry, they ran out of life rings.'"

### The New York Times





www.evaluatepharma.com

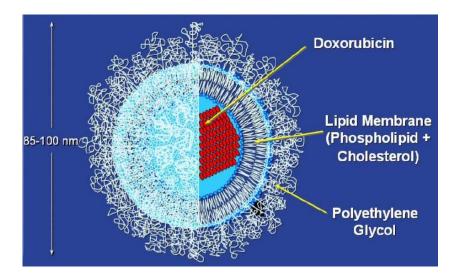
## Liposomes



### Proprietary Name: Doxil<sup>®</sup> Generic Name:

Doxorubicin HCl liposome injection Indication and regimen:

Aids-related Kaposi's Sarcoma Ovarian cancer Multiple myeloma with bortezomib



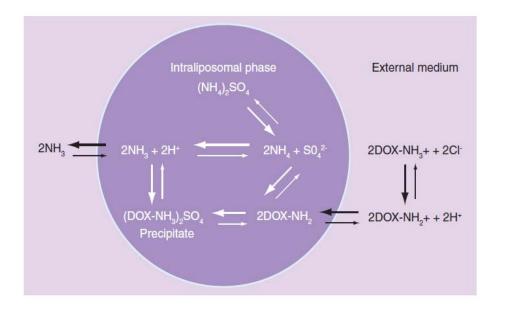
### **Mechanism of Action**

- Passively targets tumor sites due to its small size and persistence in the circulation (EPR effect)
- Free doxorubicin HCl becomes available at the tumor cell. The exact mechanism of release is not understood.
- Doxorubicin HCl binds DNA and inhibits nucleic acid synthesis.

**FDA** 

### **Doxorubicin HCl liposome Preparation**

### Drug loading mechansim



Active loading of doxorubicin into the intraliposome aqueous phase by ammonium sulfate gradient

Manufacturing steps

- Liposome formation
- Extrusion
- Dilfiltration
- Incubation with drug solution
- Dilution, sterile filtration, aseptic filling and packaging

### Generic Doxorubicin HCl Liposome Development

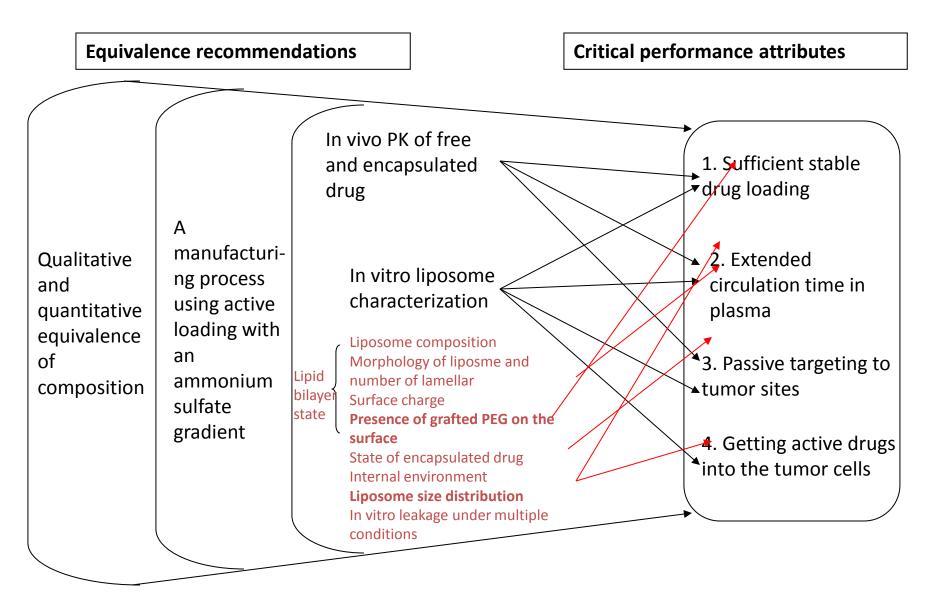


- Same drug product composition
- Same manufacturing process with the same loading mechanism
- Equivalent in vitro liposome characteristics

Liposome composition, state of encapsulated drug, internal environment of liposome, liposome size distribution, number of lamellar, grafted PEG at the liposome surface, electrical surface potential or charge, and in vitro leakage

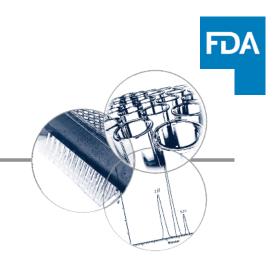
- Equivalent in vivo plasma pharmacokinetics of free and liposome encapsulated drug

### **Characterization with a Purpose**



### In Vitro Liposome Characterization and FDA Techniques

| Characteristics   | Analytical methods          |
|---|-----------------------------|
| Lipid composition<br>(e.g., lipid quantities, free and encapsulated drug,<br>internal and total sulfate conc., histidine and sucrose<br>conc., drug to lipid ratio) | HPLC                        |
| State of encapsulated drug  | Cryo TEM, XRD               |
| Internal environment<br>(e.g., pH, volume)  | NMR, ESR, and others        |
| Liposome morphology & number of lamella   | TEM, Cryo-TEM, AFM          |
| Lipid bilayer phase transition  | DSC                         |
| Liposome size distribution  | DLS, EM                     |
| Grafted PEG at the liposome surface   | NMR                         |
| Surface charge  | Zeta potential measurement  |
| In vitro drug leakage   | Multiple release conditions |



### REVIEW

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# In vitro and in vivo characterizations of PEGylated liposomal doxorubicin

One challenge in developing a nanoparticle drug-delivery system is understanding the critical physicochemical properties that may impact its *in vivo* performance and establishing analytical techniques that can adequately characterize *in vitro* and *in vivo* properties. Doxil®/Caelyx®, a PEGylated liposomal doxorubincin (PLD), is one of the leading approved nanoparticle product used in cancer therapy. In this review, we use PLD as an example to illustrate identification of key *in vitro* and *in vivo* characteristics. The following characteristics, including liposome composition, state of encapsulated drug, internal environment of liposome, liposome size distribution, lamellarity, grafted polyethylene glycol at the liposome surface, electrical surface potential or charge, and *in vitro* leakage, are considered

#### Wenlei Jiang<sup>I</sup>, Robert Lionberger<sup>†I</sup> & Lawrence X Yu<sup>I</sup>

<sup>1</sup>US Food and Drug Administration, Office of Generic Drugs, 7519 Standish Place, Rockville, MD 20855, USA <sup>†</sup>Author for correspondence: Tel.: +1 240 276 9315 E-mail: robert.lionberger@fda.hhs.gov • The opinions expressed in this review by the authors do not necessarily reflect the views or policies of the US FDA.



Bioanalysis (2011) 3(3), 333-344

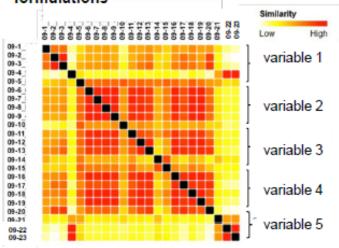
## **Physico-chemical Characterization**

#### Formulation and manufacturing variables

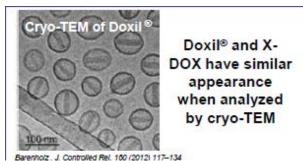
| Variable     | Variance                  |  |
|--------------|---------------------------|--|
| Diameter     | 154.3 nm                  |  |
| Diameter     | 114.1 nm                  |  |
| Diameter     | 126.4 nm                  |  |
| Diameter     | 94.5 nm                   |  |
| Diameter     | 83.1 nm                   |  |
| Cooling rate | 60 °C to room temp        |  |
| Cooling rate | In room temp air          |  |
| Cooling rate | In room temp water        |  |
| Cooling rate | In 4 °C air               |  |
| Cooling rate | In ice water bath         |  |
| Am.SO4 conc. | 200 mM                    |  |
| Am.SO4 conc. | 225 mM                    |  |
| Am.SO4 conc. | 250 mM                    |  |
| Am.SO4 conc. | 275 mM                    |  |
| Am.SO4 conc. | 300 mM                    |  |
| Drug/lipid   | 0.108 wt/wt (129.6 g/mol) |  |
| Drug/lipid   | 0.106 wt/wt (127.2 g/mol) |  |
| Drug/lipid   | 0.126 wt/wt (151.2 g/mol) |  |
| Drug/lipid   | 0.159 wt/wt (190.8 g/mol) |  |
| Drug/lipid   | 0.175 wt/wt (210.0 g/mol) |  |
|              | (HSPC/Chol/PEG-DSPE)      |  |
| Lipid source | Lipoid/Avanti/Lipoid      |  |
| Lipid source | Lipoid /Spectrum/Lipoid   |  |
| Lipid source | Avanti /Avanti /Lipoid    |  |
| Lipid source | Avanti/Spectrum /Lipoid   |  |
| POPC L-DOX   | Lipoid /Avanti /Lipoid    |  |

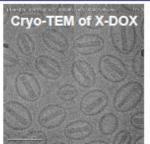
#### 1U01FD004893-01

Small Angle X-Ray Scattering (SAXS) Analysis of different liposomal doxorubicin formulations



 Some patterns of similarity are observed for groups of formulations

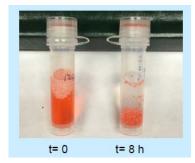




FDA

## In-vitro Dissolution of Liposomal Products

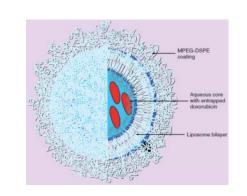




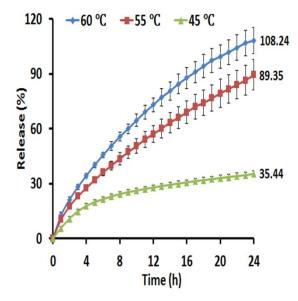
Single vial in vitro dissolution

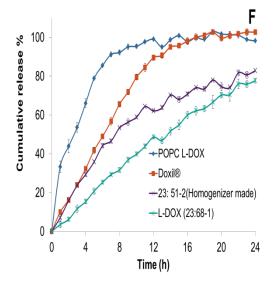


USP dissolution apparatus 4









#### 1U01FD004893-01

## **Iron Complex**



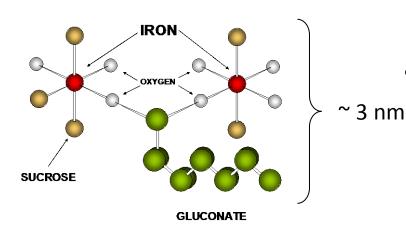
### Proprietary Name Ferrlecit®

# **Generic Name** Sodium ferric gluconate in sucrose

### Indication

Treatment of iron deficiency anemia in adult patients with

chronic kidney disease



### **Mechanism of Action**

- Iron particles undergo phagocytosis.
- The particles are dismantled in the lysosome of macrophages.
- Iron ions then become part of the intracellular labile iron pool.
- Transferrin will bind and deliver iron where needed.
- Excess iron is stored in the form of ferritin or hemosiderin.

## **Generic Iron Complex Development**



- ANDA applicants must use the same chemistry
  - Iron colloid products are synthesized by using welldocumented chemistry

Fe3+ + OH-/H2O  $\rightarrow$  Fe(OH)2+ Fe(OH)2+  $\rightarrow$  [Fe(OH)n]  $\rightarrow$   $\beta$ -[FeO(OH)]n  $\beta$ -[FeO(OH)]n + gluconate + sucrose  $\rightarrow$   $\beta$ -[FeO(OH)]n(gluconate)p(sucrose)m

- Physico-chemical equivalence
- Equivalent pharmacokinetics

### Physico-chemical Characterization and Techniques of Iron Complex Product

| Analytical Method                    | Characterization  |          |  |
|--------------------------------------|---|----------|--|
| Size exclusion chromatography (SEC)  | Molecular weight determination                                  |          |  |
| Analytical ultracentrifugation (AUC) | Molecular weight average and range                              | Overall  |  |
| Dynamic light scattering (DLS)       | Particle size, distribution, and uniformity                     |          |  |
| Elemental analysis                   | Ratio of elemental iron to elemental carbon                     | J        |  |
| Electron spin resonance (ESR)        | Spectroscopic characterization of the iron electronic state     |          |  |
| Polarography (voltammetry)           | Reduction potential   | >        |  |
| X-ray diffraction (XRD)              | Determine iron core crystalline order                           | ron core |  |
| Mossbauer spectroscopy               | Confirm the nature of the iron environment in the particle core |          |  |
| UV/Vis                               | Confirm the nature of the iron environment in the particle core |          |  |

Shell

### Particle Size Distribution by Dynamic Light Scattering (DLS)

| Drug product<br>(Lot #)             | Diluent                   | Z-average<br>diameter (nm) | Intensity-weighted<br>diameter (nm) | Volume-weighted<br>diameter (nm) | PDI<br>Value |
|-------------------------------------|---------------------------|----------------------------|-------------------------------------|----------------------------------|--------------|
| Ferrlecit®<br>(D2C283A)             | 18 ΜΩ<br>Η <sub>2</sub> Ο | 12.7                       | 15.8 (98.5%)                        | 8.4 (86.4%)                      | 0.208        |
| Ferrlecit®<br>(D2C593A)             | 18 ΜΩ<br>Η <sub>2</sub> Ο | 12.8                       | 15.7 (100%)                         | 9.5 (100%)                       | 0.177        |
| Generic SFG<br>(132296.1)           | 18 ΜΩ<br>Η <sub>2</sub> Ο | 11.3                       | 13.3 (99.3%)                        | 8.2 (100%)                       | 0.173        |
| Ferrlecit <sup>®</sup><br>(D2C283A) | 10 nM<br>NaCl             | 11.9                       | 14.1 (100%)                         | 8.7 (100%)                       | 0.148        |
| Ferrlecit <sup>®</sup><br>(D2C593A) | 10 nM<br>NaCl             | 12.5                       | 14.1 (99.6%)                        | 9.2 (100%)                       | 0.156        |
| Generic SFG<br>(132296.1)           | 10 nM<br>NaCl             | 11.0                       | 12.8 (100%)                         | 8.4 (100%)                       | 0.138        |
| Ferrlecit <sup>®</sup><br>(D2C283A) | Saline                    | 11.5                       | 13.9 (100%)                         | 9.0 (100%)                       | 0.163        |
| Ferrlecit <sup>®</sup><br>(D2C593A) | Saline                    | 12.1                       | 14.5 (100%)                         | 8.8 (100%)                       | 0.158        |
| Generic SFG<br>(132296.1)           | Saline                    | 10.5                       | 12.1 (100%)                         | 8.1 (100%)                       | 0.123        |

• The sample was diluted 100 times with filtered 18 MΩ water, 10 nM NaCl, and saline solution.

• The results are based on size distributions which represent an average of  $\geq$  30 measurements.

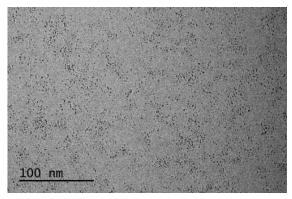
• DLS laser wavelength 633 nm, the detector is 173<sup>o</sup> backscattered detector.

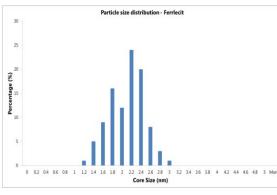
FD)

## Particle Size Distribution of the Iron Core by Cryo-TEM

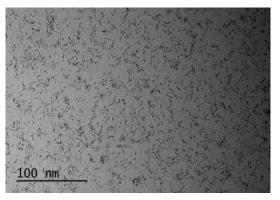


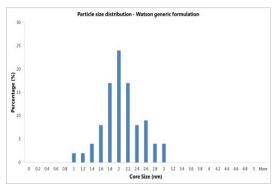
#### **Ferrlecit**<sup>®</sup>





#### Generic





#### Core size (nm): 2.0 ± 0.4

Core size range (nm): 2.0± 0.4

Wu, Y., Petrochenko, P., Chen, L., Wong, S.Y., Absar, M., Choi, S., Zheng, J., 2016. Core size determination and structural characterization of intravenous iron complexes by cryogenic transmission electron microscopy. Int J Pharm 505, 167-174.



### **Zeta Potential and Viscosity**

| Drug product<br>(Lot #)             | Viscosity                              | Zeta potential      |      |
|-------------------------------------|--|---------------------|------|
|                                     | Viscosity (cps) with<br>60 RPM at 23°C | Zeta potential (mV) | рН   |
| Ferrlecit <sup>®</sup><br>(D2C283A) | 0.88 ± 0.01                            | -7.95               | 7.23 |
| Ferrlecit <sup>®</sup><br>(D2C593A) | 0.87 ± 0.01                            | -6.77               | 7.25 |
| Generic SFG<br>(132296.1)           | 0.88 ± 0.01                            | -7.89               | 7.25 |

## Regulatory Research on Characterization of Nanomaterials

Excipients are critical to maintain nanomaterial higher order structure

Excipients can be from different origins and contain concomitant (production related) components or processing aids

Lack of compendial standards for nanomaterial excipients

# Characterization and standards development of excipients used in nanomaterials

## Regulatory Research on Characterization of Nanomaterials

Separation of free and nanomaterials associated drug may induce drug leakage

Separation process is lengthy

Simultaneous separation and quantification of free drug and nanomaterial associated drugs

## Regulatory Research on Characterization of Nanomaterials

In vitro and in vivo release of drug from nanomaterials not correlated

Lack of standard method to characterize drug release

Bio-relevant in vitro dissolution method development

## Summary



- Current regulatory framework is sufficient to support nanomaterial development and approval
- Nanomaterial development requires solid understanding of critical attributes and corresponding characterization techniques
- Product-specific characterization of generic and reference nanomaterials to ensure equivalent physico-chemical properties are essential for generic nano products
- Further regulatory research in characterization of nano drug are encouraged

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## Thank You

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