



# **Establishing Bioequivalence Using Characterization Based Approaches For Topical Products – Challenges & Solutions**

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**3<sup>rd</sup> November 2022**


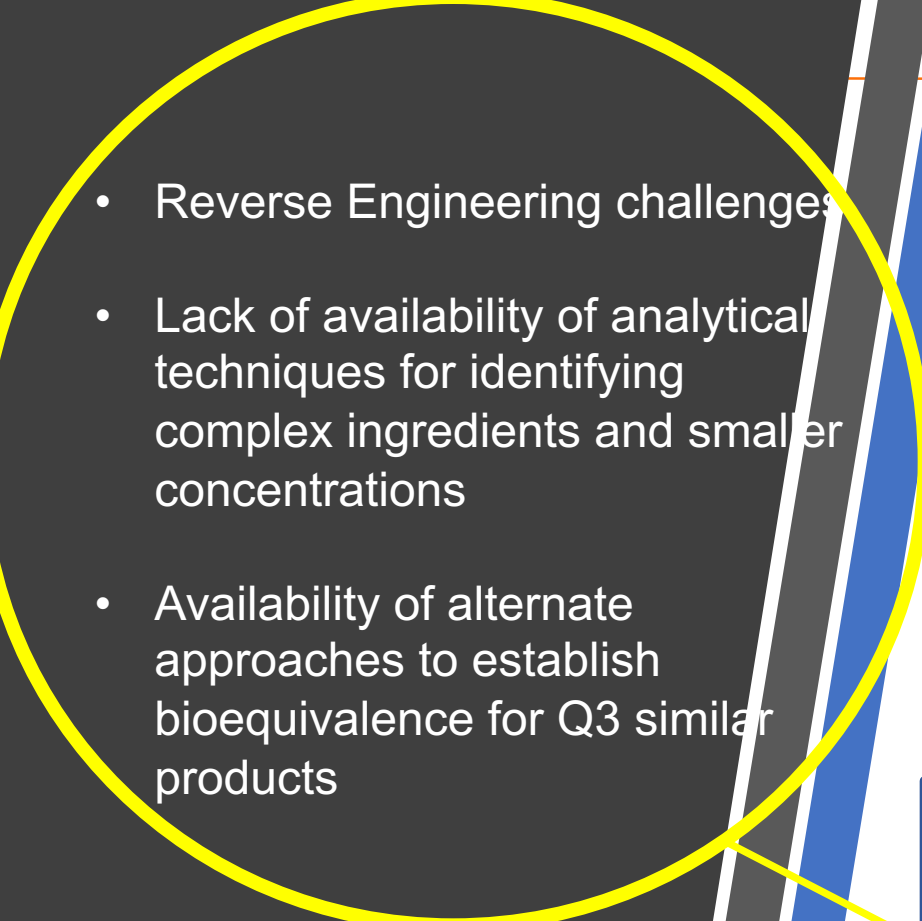
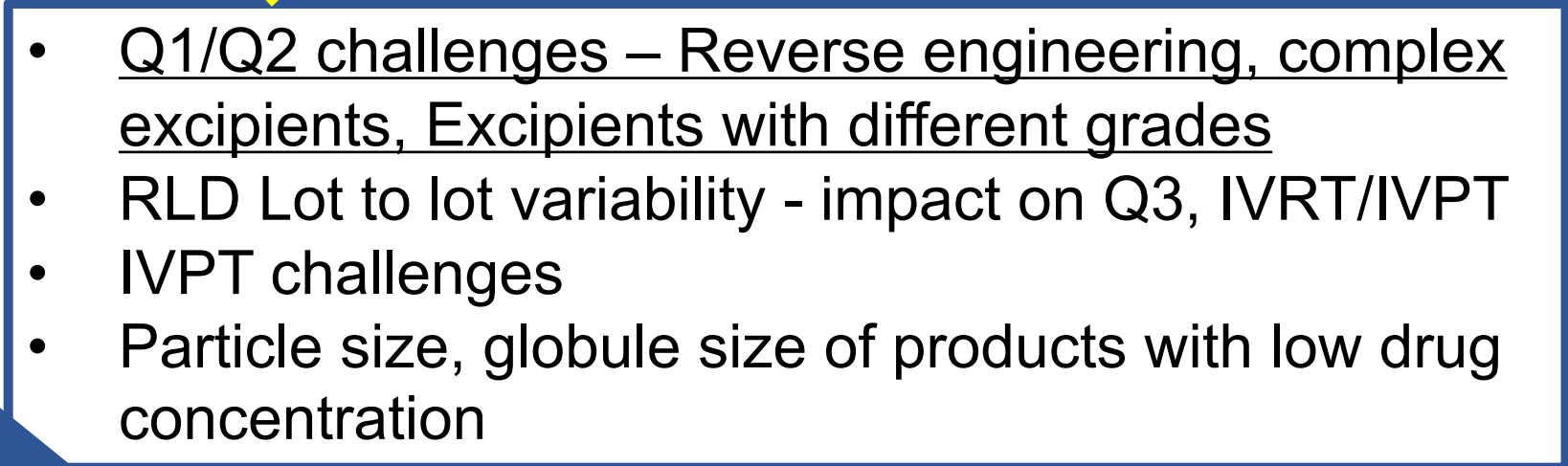
**CRCG workshop on Formulation Characterization and Cutaneous Pharmacokinetics to Facilitate Generic Topical Product Development**

# Presentation Outline

- Challenges and complexities in Q1 (Qualitative) and Q2 (Quantitative) sameness characterization
- A case study on polymer grade and impact on BE
- An alternative approach for non Q1/Q2 products for non-critical excipients
- Alternative approach for non Q1/Q2 products for critical excipients
- Summary

# Topical Product Development – BE Characterization Challenges

- Reverse Engineering challenges
- Lack of availability of analytical techniques for identifying complex ingredients and smaller concentrations
- Availability of alternate approaches to establish bioequivalence for Q3 similar products

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- Q1/Q2 challenges – Reverse engineering, complex excipients, Excipients with different grades
  - RLD Lot to lot variability - impact on Q3, IVRT/IVPT
  - IVPT challenges
  - Particle size, globule size of products with low drug concentration

CHALLENGE

# Challenges - Qualitative Sameness Characterization(Q1)

Ingredient

Combination of two or more ingredients/excipients



Listed in the Label as separate but they are single ingredient

- Sepineo P 600 (Acrylamide/Sodium Acryloyldimethyl Taurate Copolymer/Isohexadecane & Polysorbate 80)
- Glyceryl Stearate /PEG 100 Stearate
- Sucrose stearate / Sucrose distearate
- Combination of Polymers, eg carbomers

Grade variations

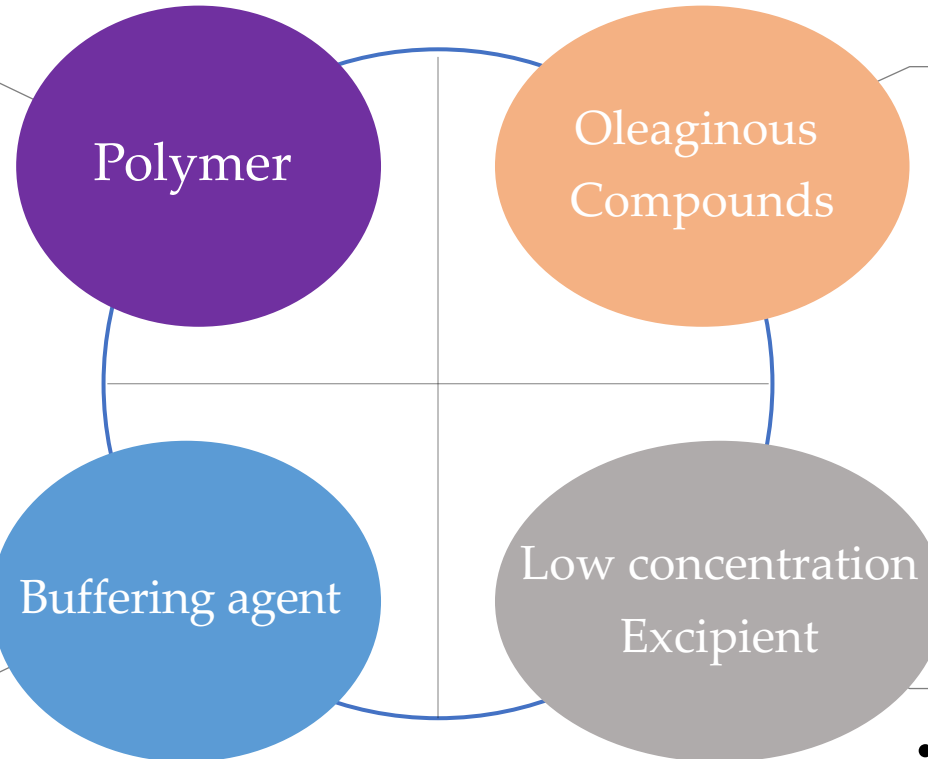
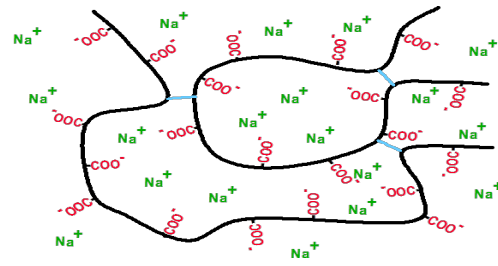


- Petrolatum
- Carbomer Homopolymer
- Hypromellose
- Mineral Oil



# Challenges in establishing Quantitative sameness Q2

- Collection of molecules that can feature distributions in molecular size, chemical composition, functional groups, end-groups, branching, etc (eg., Carbomer)



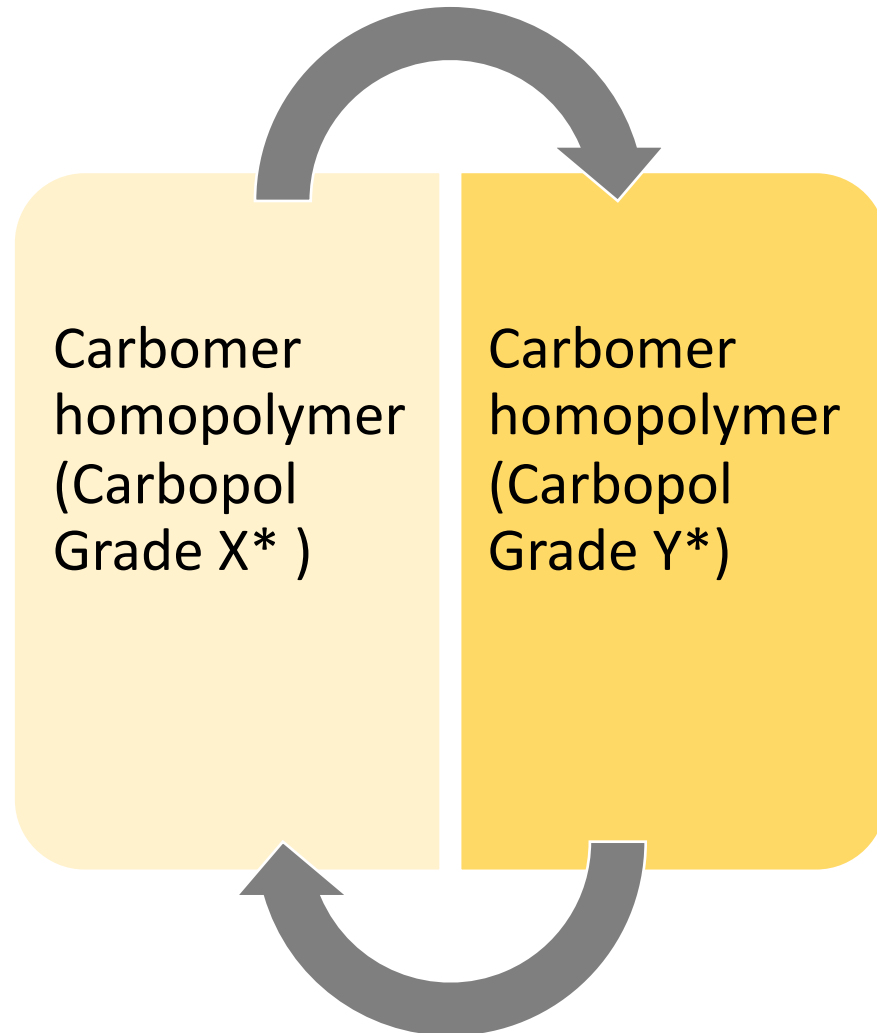
- Either used as a single excipient or in combination–Lack of available analytical techniques.

- Eg., Petrolatum, Mineral, White wax

- Single or multiple buffer system
- Challenge in quantifying individual components
- Eg., Citric acid/Na Citrate

- Polymers ( HPMC, Carbomers) , Antioxidants and preservatives
- Surfactants and emollients (Polysorbate 80, Poloxamer)

# Impact of Polymer Grade on demonstrating Bioequivalence – A Case Study



- Reference Product used Grade ( Grade X\*) which uses benzene in the process;
- Replaced with like-to like Grade ( Grade Y\*) which uses ethyl acetate in the process
- Both grades have same viscosity range at 0.5% concentration with pH adjusted to 7.5

\* This is not a type of the grade. This was mentioned just to illustrate that both the grades were different.

# Impact of Polymer Grade on demonstrating Bioequivalence – A Case Study

## PHYSICOCHEMICAL PROPERTIES

RLD – with Polymer  
Grade X

Test-1 – Polymer  
Grade Y

CHARACTERISTIC		RLD*	Test-1
pH		6.50 - 6.62	6.6
Viscosity, cP (Brookfield)		28150 - 36830	30240
Viscosity, Pa.s (Discovery hybrid)		1.942 - 2.018	1.972
Flow curve - Viscosity (Pa.s) at Shear Rate (1/s)	1.09	99.61 - 118.93	110.26
	10.04	15.18 - 19.40	18.00
	115.3	2.65 - 3.31	3.18
Sp. gravity		0.854 - 0.891	0.86

\* RLD data Range established with of multiple lots



## Case Study : Impact of Polymer Grade on Pharmacokinetic data

An open label, randomized, two-period, two-treatment, two-sequence, crossover, balanced, single dose bioequivalence study

Acceptance Criteria 80.0 %-125.0 %

Dependent	Units	Test	RefGeoLSM	TestGeoLSM	Ratio_%Ref_	CI_90_Lower	CI_90_Upper	Power	IntraSubject_CV
Ln(Cmax)	pg/mL	T	31.386	45.312	144.37	103.24	201.89	0.0000	64.100
Ln(AUCt)	hr*pg/mL	T	1240.097	1376.414	110.99	91.06	135.29	0.1282	35.697
Ln(AUCi)	hr*pg/mL	T	1867.760	1816.640	97.26	73.19	129.25	0.0000	29.904

Note: The reported power is based on Schuirmann's TOST (Two One Sided Test) confidence interval approach.

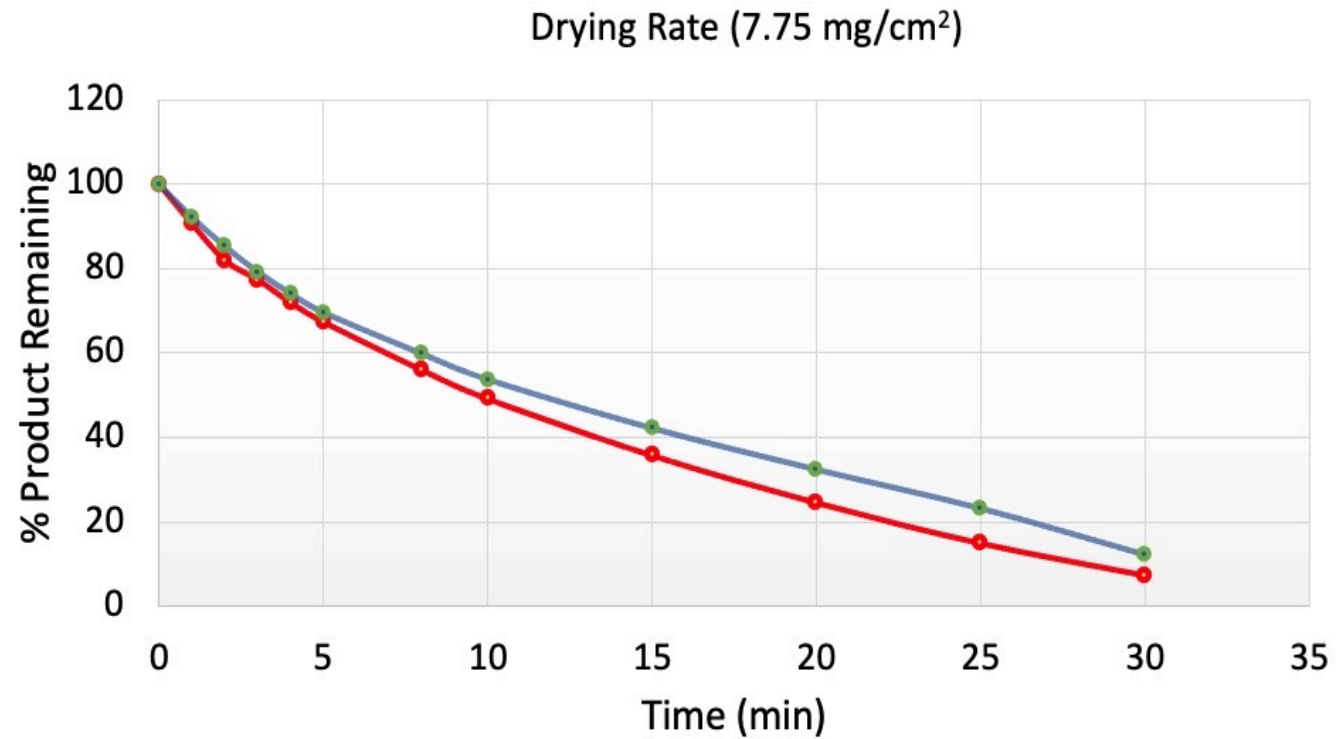
**Data indicates that the failure is not only due to lack of sufficient power but also showed that the formulations are not the same.**

## IVRT comparison RLD and Test Product

### Accumulated Amount Released (mg/cm<sup>2</sup>)

Time, min <sup>0.5</sup>	RLD (n=4)	Test -1 (n=4)
5.477	3.225	3.125
7.746	8.006	8.881
9.487	12.781	15.231
10.954	18.125	21.462
12.247	23.293	28.700
13.416	28.518	35.35
In Vitro Release Rate (Slope; mg/cm <sup>2</sup> /min <sup>0.5</sup> )	3.2	4.1
%RSD	10.4	6.2

# DRYING RATE



● Test product ● Reference product

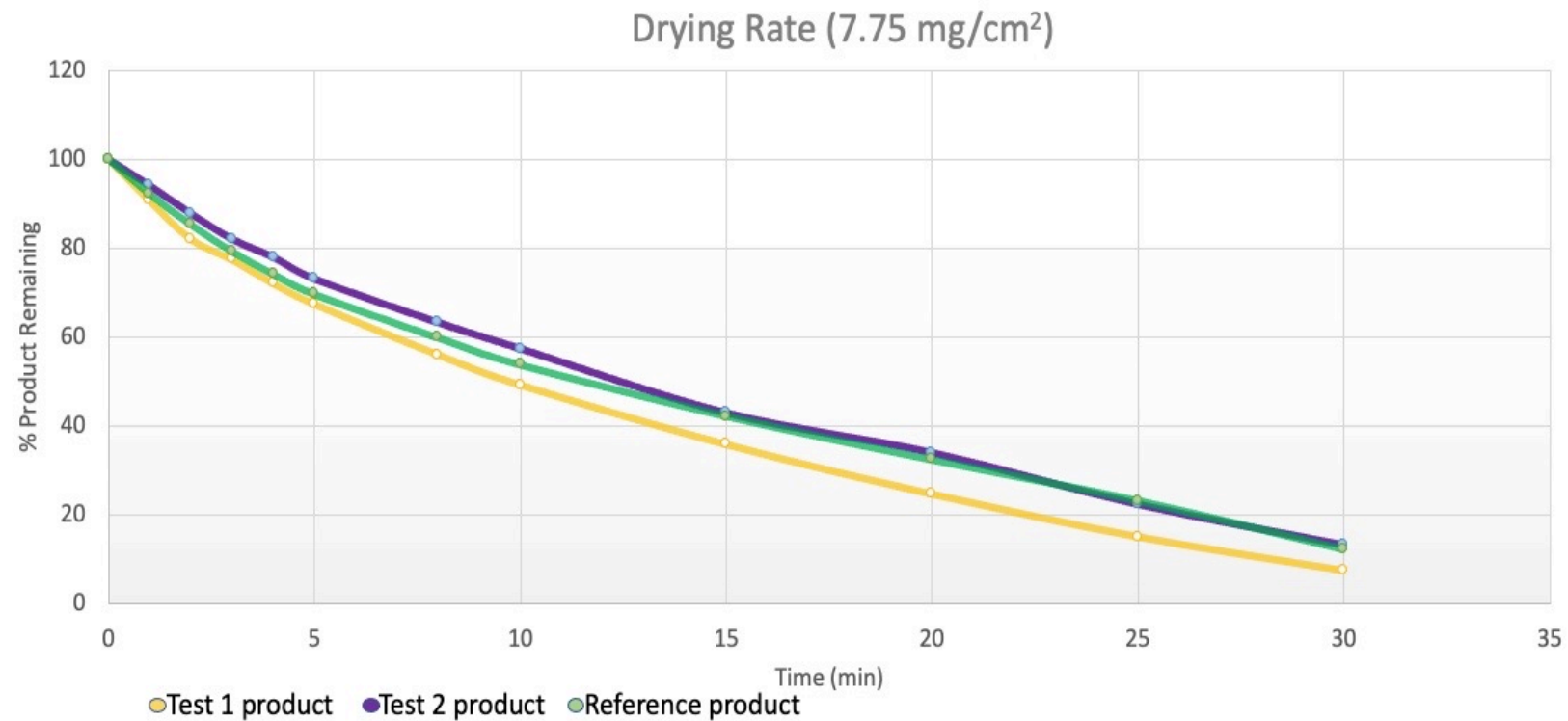
- Revisited formulation and RE information and finalized to increase the polymer content

## PHYSICOCHEMICAL PROPERTIES

CHARACTERISTIC		RLD*	Test -1	Test -2 ( approximate ~15 % Higher Polymer conc )
pH		6.50 - 6.62	6.6	6.5
Viscosity, cP (Brookfield)		28150 - 36830	30240	34093
Viscosity, Pa.s (Discovery hybrid)		1.942 - 2.018	1.972	1.934
Flow curve - Viscosity (Pa.s) at Shear Rate (1/s)	1.09	99.61 - 118.93	110.26	95.02
	10.04	15.18 - 19.40	18.00	16.82
	115.3	2.65 - 3.31	3.18	3.09
Sp. gravity		0.854 - 0.891	0.86	0.880

\* Range established with multiple RLD lots

# Drying rate comparison of Two Test products against RLD



## Case Study : Impact of Grades of Polymer on Drug Release

IVRT – Test and RLD with higher polymer

Accumulated Amount Released ( $\mu\text{g}/\text{cm}^2$ )		
Time, min <sup>0.5</sup>	RLD (n=6)	Test -2 ( Higher Polymer conc) (n=6)
5.477	3.366	3.950
7.746	7.691	8.720
9.487	13.154	14.337
10.954	18.670	19.775
12.247	23.920	26.175
13.416	29.508	32.500
In Vitro Release Rate (Slope) (Slope; $\mu\text{g}/\text{cm}^2/\text{min}^{0.5}$ )	3.3	3.6 ( 4.1 on Test-1)
%RSD	5.7	9.1

## IVRT – Test 1 and Test 2 with RLD

### Accumulated Amount Released ( $\mu\text{g}/\text{cm}^2$ )

Time, min <sup>0.5</sup>	RLD (n=4)	Test-1 (n=4)	Test -2 ( 15% higher polymer conc) (n=4)
5.477	1.725	3.133	2.733
7.746	5.581	8.583	6.283
9.487	9.968	15.4	10.750
10.954	14.681	21.6	15.783
12.247	19.631	28.358	21.533
13.416	25.262	35.925	27.566
In Vitro Release Rate (Slope; $\mu\text{g}/\text{cm}^2/\text{min}^{0.5}$ )	2.9	4.1	3.1
%RSD	7.0	6.4	3.4

# PK Study with the new formulation

An open label, randomized, four-period, two-treatment, two-sequence, fully replicate, crossover, balanced, single dose bioequivalence study.

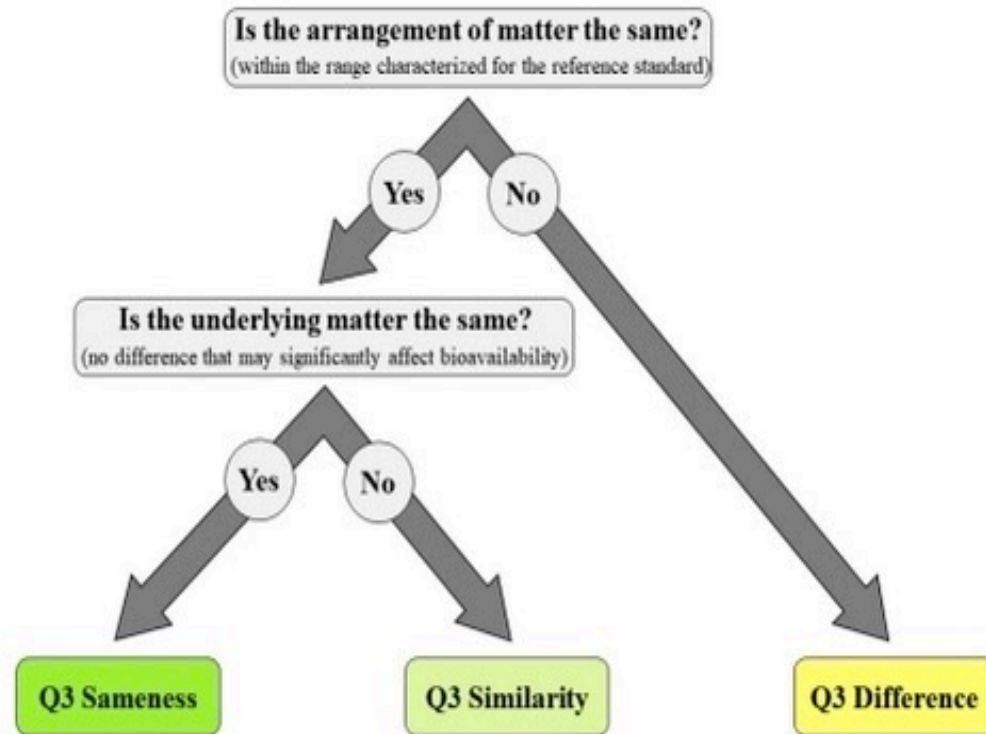
## Pharmacokinetic data

PARAMETER	SWR	S <sup>2</sup> WR	SWT	S <sup>2</sup> WT	REFERENCE INTRA SUBJECT CV (%)	TEST INTRA SUBJECT CV (%)
Cmax	0.683	0.4666	0.546	0.2980	77.112%	58.917%
AUCt	0.486	0.2358	0.355	0.1261	51.564%	36.666%
AUCi	0.400	0.1601	0.349	0.1216	41.662%	35.959%
PARAMETER	T/R RATIO	THETA	95% UPPER CONFIDENCE INTERVAL			
Cmax	0.8976	0.7967	-0.2478			
AUCt	0.9730	0.7967	-0.1378			
AUCi	0.8966	0.7967	-0.0182			

Note: As SWR of Cmax, AUCt & AUCi is greater than 0.294, Scaled average BE approach has been applied. For determination of BE using Scaled average approach, 95% upper confidence interval must be less than or equal to zero and (T/P) ratio must be within [0.800, 1.250]



## Q3 similarity approach

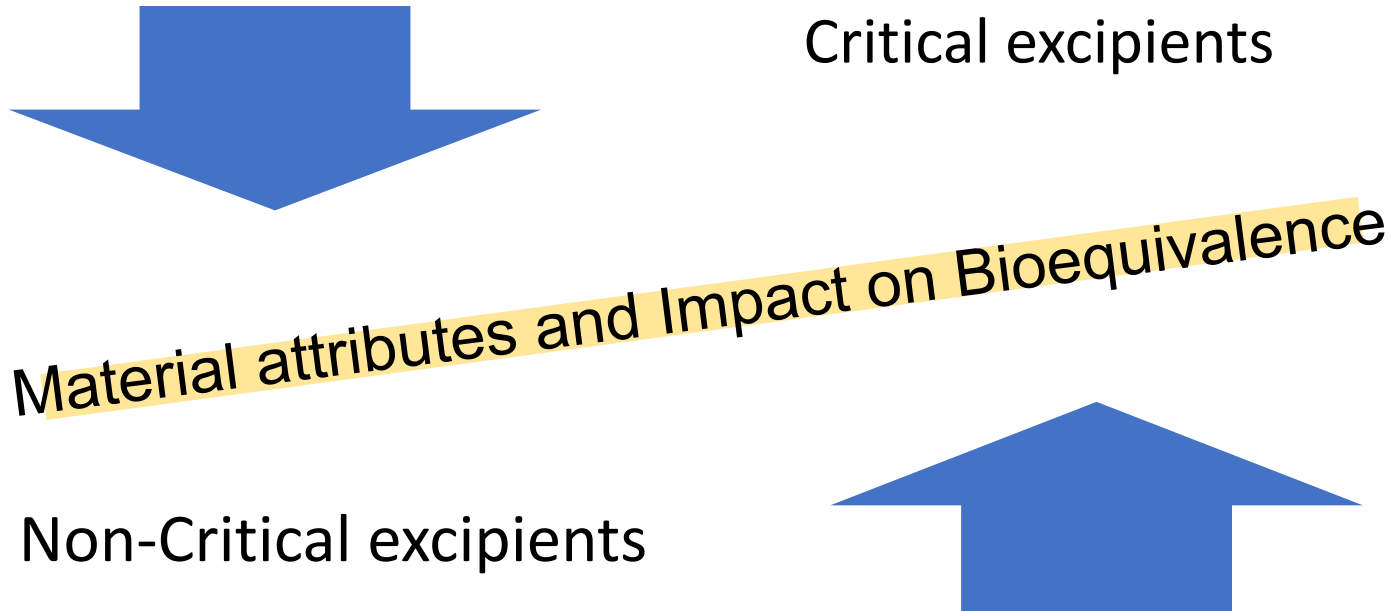


A test topical product that meets the following criteria would generally be considered as *Q3 similar* to its reference standard:

a. Each relevant Q3 attribute of the test topical product, characterized in multiple batches, is:

- i. demonstrated by the applicant to be within the range characterized for that Q3 attribute of the reference standard for the topical product, potentially characterized in multiple batches, or
- ii. determined by the Agency to be within the acceptable variability for the reference standard for the topical product; and

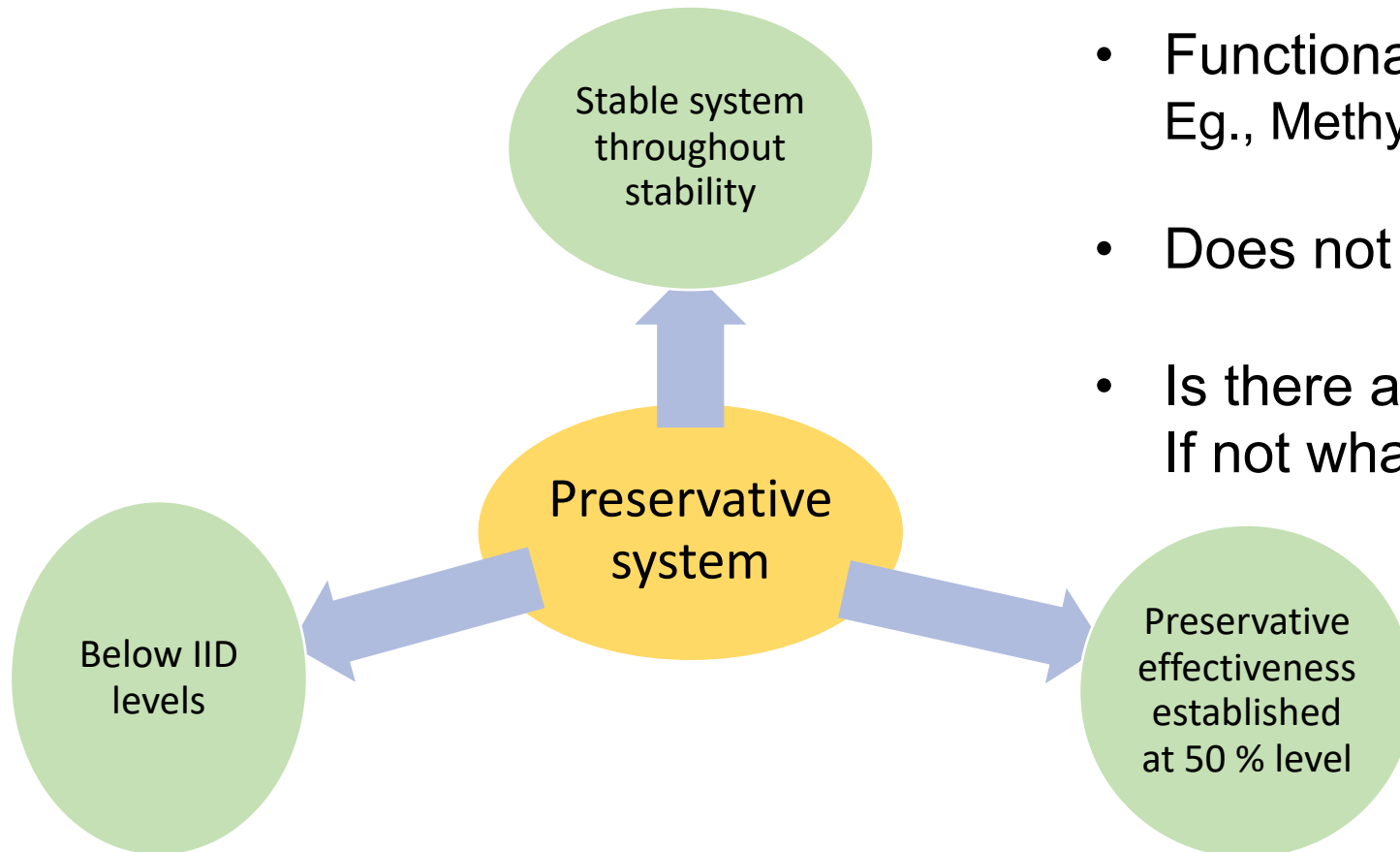
b. There is a difference in the components or composition of the test topical product and reference standard for the topical product that may significantly affect systemic or local availability.



Critical excipients: Impact on the product performance in terms of establishing Bioequivalence --- High

Non-Critical Excipients: Impact on the product performance in terms of establishing Bioequivalence --- Low

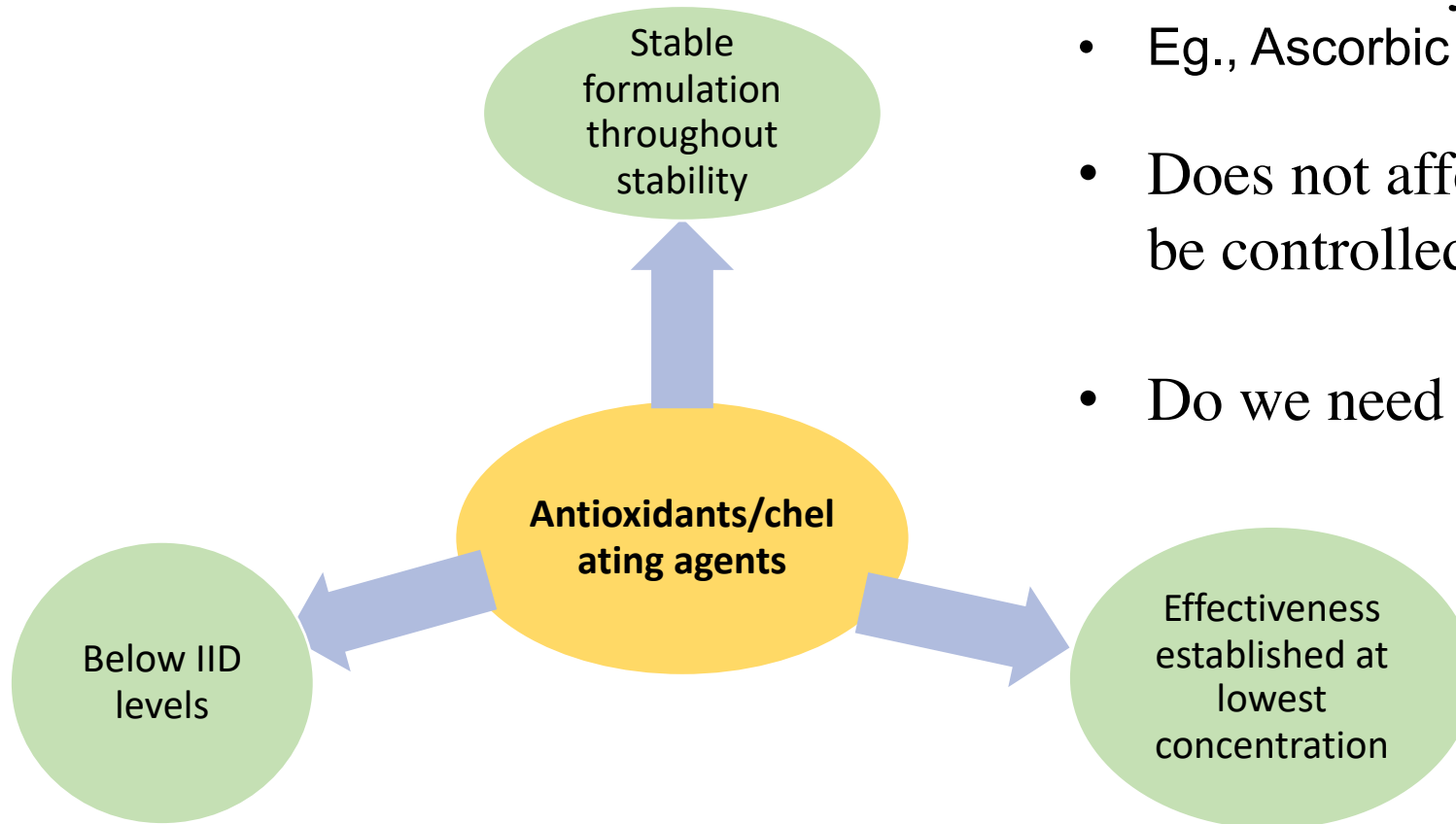
## Q3 sameness/similarity for Non-critical excipients : Preservatives



- Functionality : Antimicrobial efficacy  
Eg., MethylParaben, Benzyl Alcohol
- Does not affect the product performance
- Is there a necessity to have Q2 within 5%?  
If not what would be the limit?

Product specification typically ~ 80.0 – 110.0%

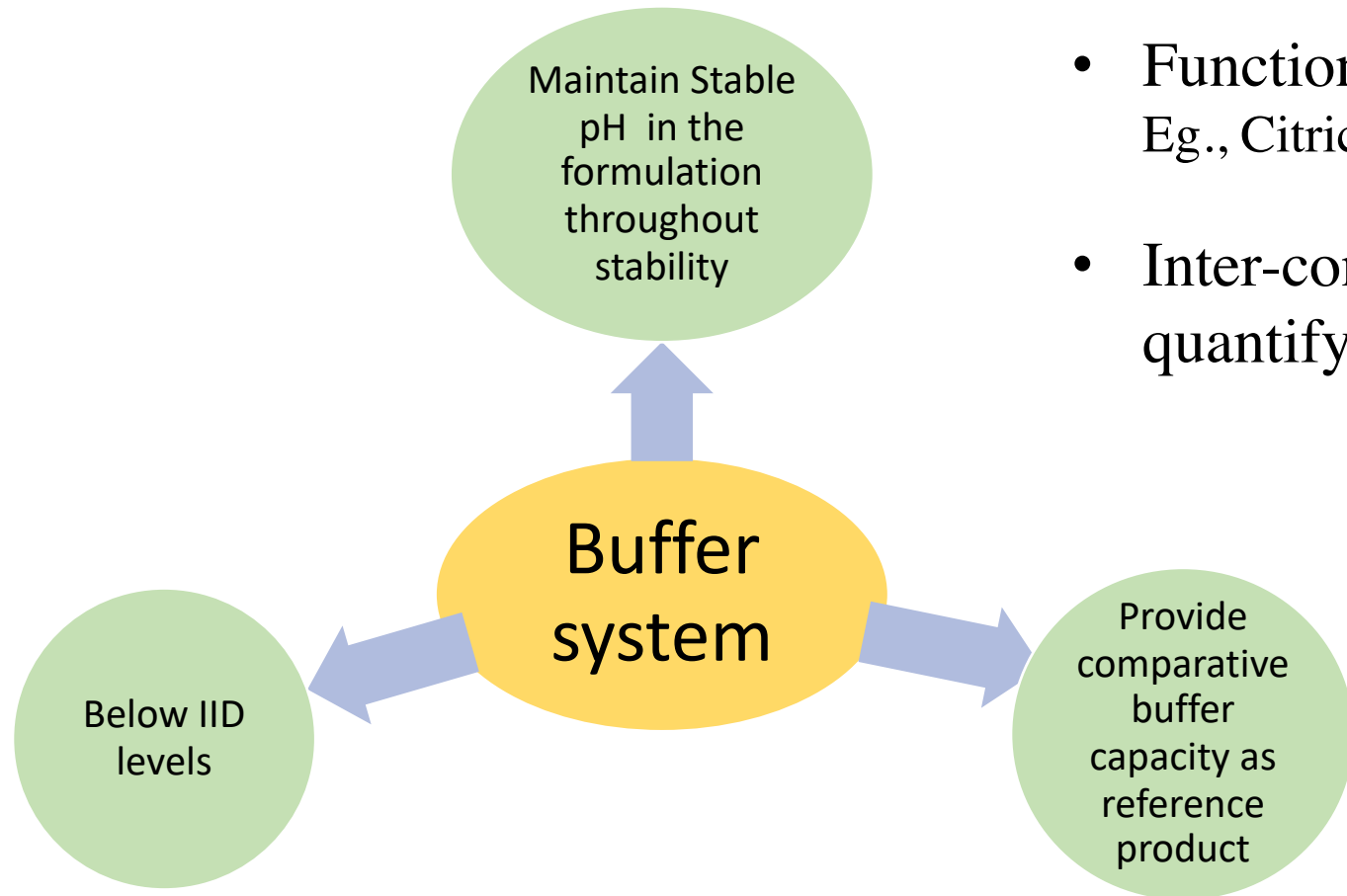
## Q3 similarity for Non-critical excipients : Anti oxidants/chelating agents



- Functionality: Antioxidant/chelating agents
- Eg., Ascorbic acid, EDTA sodium
- Does not affect product performance; Can be controlled with specification.
- Do we need to be within 5% in such cases

Product spec typically depends on nature of excipient and shelf life stability but typically it is more than the 5% limit

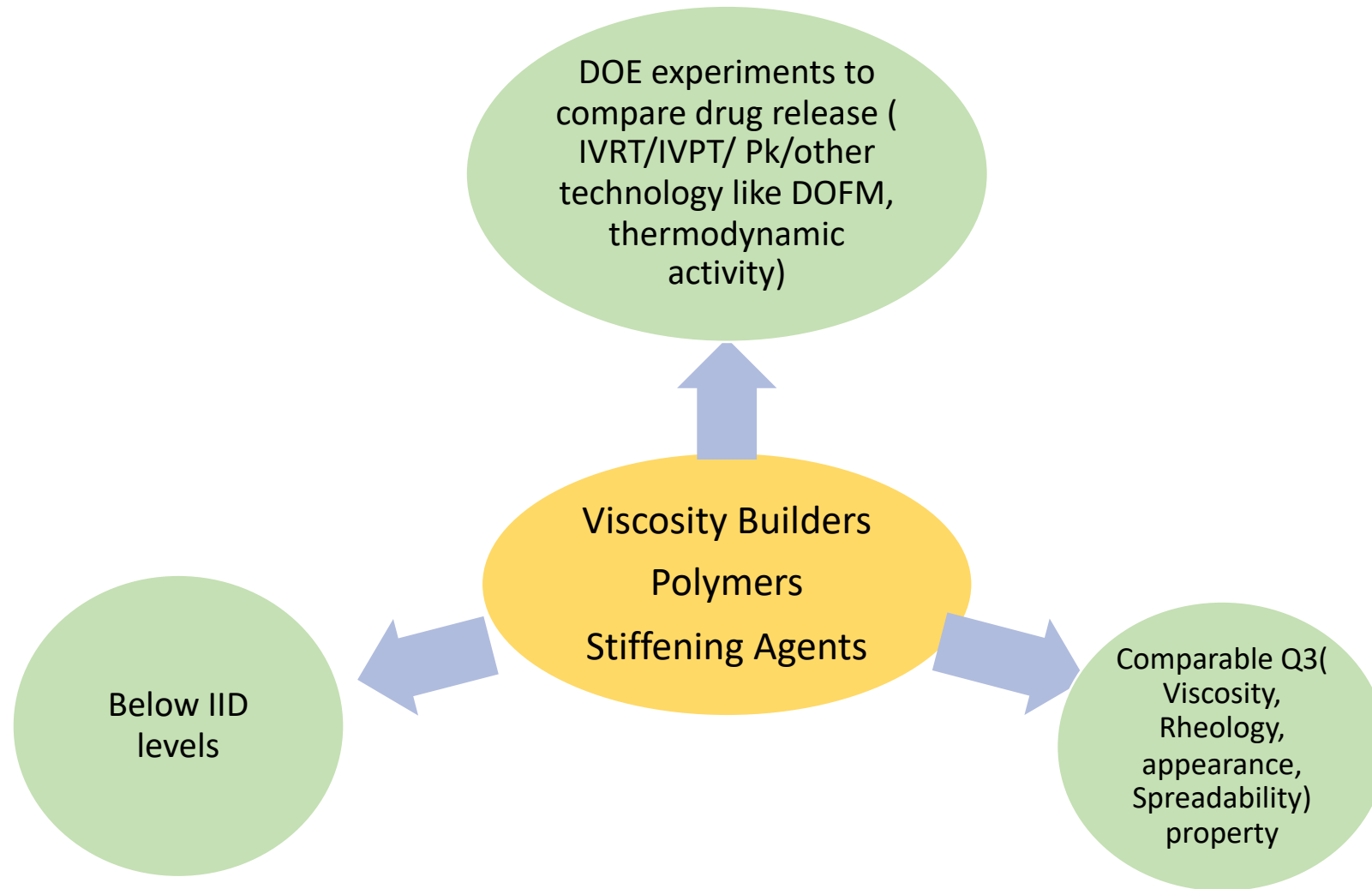
## Q3 similarity for Non-critical excipients : Buffering Agents



- **Functionality : Buffering agents**  
Eg., Citric Acid, Na citrate, Boric acid/Na Borate
- **Inter-conversion makes it challenging to quantify each buffer component**

If we demonstrate buffer capacity and pH is maintained, Do we need individual composition of buffers as long as they are below IID limit??

## Q3 similarity for Moderately Critical excipients : Polymers, Viscosity builders



The question is: Is this sufficient to establish product performance and BE?

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# Summary

- Characterization of excipients for Qualitative and Quantitative sameness is complex and challenging for many products.
- Can we use Non-critical excipients ( Preservatives , antioxidants, Buffers) outside Q1Q2 ?
- Can we use critical excipients within acceptable limit ( Established by DOE) and outside Q1Q2 with alternate bioequivalence approach?
- Alternate in vitro or in vivo techniques (alone or in combination) may need to be developed to establish bioequivalence!!  
Examples: DOFM, Microdialysis, Thermodynamic activity, Raman Spectroscopy techniques, IVRT, IVPT, Crystal habits, drying rate etc.

# Acknowledgments

- Lakshmi Raghavan, PhD
- Dr Kamlesh Amin
- Dr Hiral Patel
- Rest of Solaris team





Questions?

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