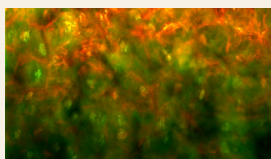


## Predicting, measuring and optimising drug delivery to the skin

**Richard H. Guy**  
University of Bath

AGAH 6<sup>th</sup> Dermatological Product Workshop  
London - June, 2015

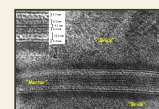
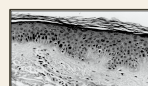


**Acknowledgements:**

Rodrigo Contreras-Rojas, Brian Saar, Sunney Xie, Natalie Belsey, Wing Chiu, Kit Frederiksen, Simon Vanstone, Julian Moger, Natalie Garrett, Gareth Price, Sergey Gordeev, Karsten Petersen, Begoña Delgado-Charro, Annette Bunge, Audra Stinchcomb, Leo Pharma A/S, GSK-Stiefel, U.S. FDA (1U01FD004947-01).

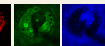
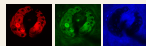
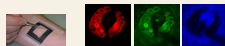
## Introduction

- Drug delivery into and through skin for
  - dermatological therapy,
  - treatment of local, subcutaneous inflammation, or
  - alleviation of systemic disease,
 continues to represent a major challenge.
- While skin barrier function is better understood, and novel technologies are in development... topical bioavailability remains poor and very difficult to measure.

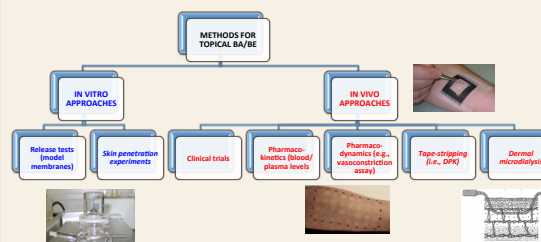


## Key questions

- What are the important rules that must be applied to select the most suitable compound to develop for topical delivery?
  - the potency/permeability conundrum
  - "Lipinski's rules" for skin?
- Determining bioavailability of topically applied drugs for local effect in, or just below the skin?
  - current approaches
  - high-tech Raman imaging
- Are there clear benefits for the application of novel technologies to provide new opportunities for drug delivery to the skin?
  - poration approaches
  - nano-vectors



## Methods for the determination of topical bioavailability/bioequivalence (BA/BE)



R.H. Guy, Principles of topical drug delivery. In: Rook's Textbook of Dermatology, 9th edition; in press

### Assessing topical bioavailability: hypotheses...

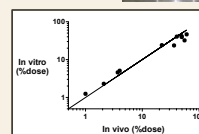
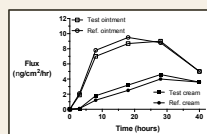
- Topical BA/BE assessment can be accomplished using appropriately selected *in vitro* and/or *in vivo* surrogate tests.
- All surrogate tests have some, but different, limitations; hence, tests can be complementary.
- Test(s) chosen depend on vehicle complexity and nature of inactive ingredients.

FDA project (U of Maryland, U of Bath, Colorado School of Mines, T. Franz) is designed to ask "what about?"...

- *In vitro* skin penetration
- DPK (tape-stripping)
- IVRT
- Microdialysis
- Blood levels
- Other approaches (spectroscopic, imaging, etc.)

### In vitro methodologies

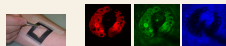
- 1. In vitro release test IVRT**
  - artificial membrane (SUPAC-SS)
  - quality control
  - no demonstrated ability to predict *in vivo* BA/BE
- 2. In vitro skin penetration experiments**
  - long history, substantial data resource
  - yet to gain FDA acceptance, but...



Franz, Lehmann, Raney, *Skin Pharmacol. Physiol.*, 2009, 2011

### Key questions

- What are the important rules that must be applied to select the most suitable compound to develop for topical delivery?
  - the potency/permeability conundrum
  - "Lipinski's rules" for skin?
- Determining bioavailability of topically applied drugs for local effect in, or just below the skin?
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### Estimation of J<sub>max</sub>



- The absorption of a chemical into the skin depends upon:
  - its physicochemical properties
  - its presentation to the skin (i.e., the 'vehicle' in which it is applied)
  - the 'skin environment', and
  - the duration of exposure.

- Objective:
  - to quantify the maximum absorbability (or flux) of a chemical

$$J_{max} = \frac{D}{\Delta x} * K_{skin/vehicle} * C_{vehicle}^{sat}$$



- D = chemical's diffusivity across skin (typically, stratum corneum)
- Δx = diffusion path-length
- K<sub>skin/vehicle</sub> = compound's partition coefficient between skin and vehicle,
- C<sub>vehicle</sub><sup>sat</sup> = saturation solubility in vehicle.
- Units of J are amount (e.g., moles or mg) per unit area per unit time.

## Theoretical development

If the vehicle is aqueous:

$$J_{\max} = \frac{D}{\Delta x} * K_{\text{skin/water}} * C_{\text{water}}^{\text{sat}}$$

Define a permeability coefficient:

$$k_p = \frac{D * K_{\text{skin/water}}}{\Delta x}$$

Hence:  $J_{\max} = k_p * C_{\text{water}}^{\text{sat}}$

Saturated aqueous solubilities are known, measurable or calculable

→  $J_{\max}$  can be determined if we can assess  $k_p$

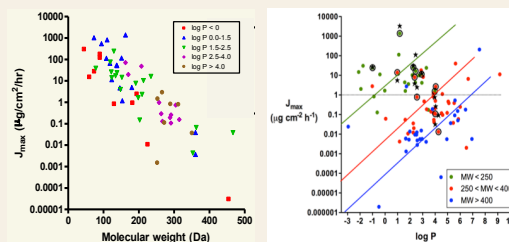
Algorithm derived by Potts & Guy\* from extensive database of ~100  $k_p$  values across human skin in vitro following their application in water:

$$\log k_p = -2.7 + 0.71 * \log P - 0.0061 * MW$$

P = octanol-water partition coefficient of chemical; MW = molecular weight

\*R.O. Potts and R.H. Guy. Predicting skin permeability. *Pharm. Res.* 9, 663-669 (1992).

## Prediction of $J_{\max}$ (Edetox database, n > 60)



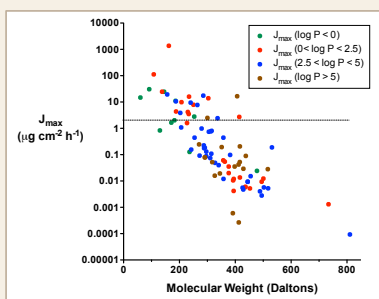
$$\log k_p = -2.7 + 0.71 * \log P - 0.0061 * MW$$

$$J_{\max} = k_p * C_{\text{water}}^{\text{sat}}$$

$$k_p^{\text{pred}} = \frac{k_p}{1 + \frac{\sqrt{MW}}{2.6}}$$

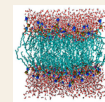
R.H. Guy. *Chem. Res. Toxicol.* 23 (2010) 864-870

## Topical and transdermal drugs (n=92)



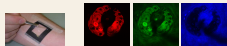
## Observations

- Solubility-diffusion approach provides a decent "first-order" estimate of skin uptake.
  - Key parameters are (at least) MW, log P and (aqueous) solubility.
- Predictions validated for maximum flux and permeability coefficient; potential also for finite dose, short-contact scenarios.
  - Feasibility/success of dermal delivery depends, therefore, on both percutaneous penetration and efficacy of drug.
  - A Lipinski-type set of rules is operative.



### Key questions

- What are the important rules that must be applied to select the most suitable compound to develop for topical delivery?
  - the potency/permeability conundrum
  - "Lipinski's rules" for skin?
- **Determining bioavailability of topically applied drugs for local effect in, or just below the skin?**
  - current approaches
  - high-tech Raman imaging
- Are there clear benefits for the application of novel technologies to provide new opportunities for drug delivery to the skin?
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  - nano-vectors



### Dermatopharmacokinetics (DPK) as a test for topical bioequivalence

- **US Food & Drug Administration (FDA)**
  - ◆ Draft Guidance issued June, 1998
  - ◆ Withdrawn May, 2002
- **Japanese Division of Drugs**
  - ◆ Issued July, 2003
  - ◆ Extended November, 2006



### Topical bioequivalence Japanese Division of Drugs

- Guideline for bioequivalence studies of generic products for topical use
- [http://www.nihs.go.jp/drug/be-guide%28e%29/Topical\\_BE-E.pdf](http://www.nihs.go.jp/drug/be-guide%28e%29/Topical_BE-E.pdf)
- July 7, 2003
- Dermatopharmacokinetic (DPK) study is acceptable if:
  - Site of action is either in or below stratum corneum (SC)
  - Drug product does not damage SC
  - Same concentration of active ingredient (even if in different formulations)
- Measure at 1 time: steady state after 1 application
- Given that amount of SC stripped by each tape is variable:
  - Determine amount of SC collected and use average drug concentration (mg/g) instead of drug amount (mg/cm<sup>2</sup>)
  - Or, calculate average concentration from C versus x/L approach

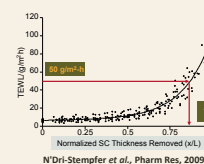
### DPK of maxacalcitol from ointment and lotion

- Maxacalcitol is 1 $\alpha$ ,25-dihydroxy-22-oxavitamin D<sub>3</sub>
- Treatment of psoriasis
- Compare lotion (generic) to Oxarol ointment (RLD)
- Amount of drug is 25  $\mu$ g/g in both ointment and lotion
- Remove SC until TEWL > 50 g/m<sup>2</sup>-h or 20 tape strips

1. Pilot to assess time to reach steady state for lotion and ointment
2. Pivotal assessing bioequivalence at steady state

	Lotion (n = 12)	Ointment (n = 12)
Concentration ( $\mu$ g/g)	11.2 $\pm$ 3.1	11.1 $\pm$ 3.4
90% Confidence Interval	88.9 – 114.6%	

Umemura K, et al., Int J Clin Pharmacol Ther, 46, 289-294 (2008)



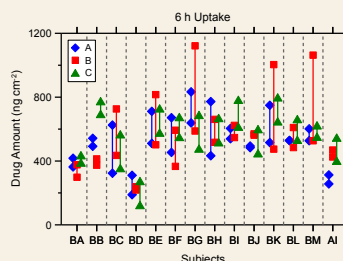
N'Dri-Stempfer et al., Pharm Res, 2009

### “Improved” DPK protocol developed for FDA

- Econazole nitrate cream (1%): 2 generics to reference-listed drug (RLD)
- 4 treatment sites per product (12 sites total)**
  - Duplicate determinations at 2 times
  - 1 uptake time (6 h) & 1 clearance time (17 h); convenient for subjects**
- Unabsorbed drug removed using isopropyl alcohol wipes
- Determined all drug in SC by removing most of SC**
  - Removed SC until TEWL was 8-fold greater than pre-stripping value
  - At least 12 tape strips, but not more than 30
  - Tape stripping area < drug application area (control both areas)
- BE of uptake and clearance were assessed separately
- Analyzed tape strips in groups to optimize analytical sensitivity
- Compare within each subject and then across subjects

N'Dri-Stempfer et al., Pharm Res, 2009

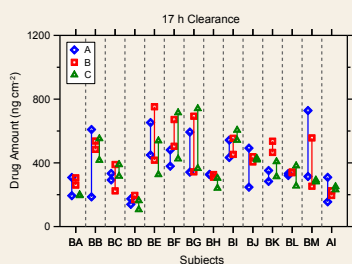
### Econazole uptake into SC



- Drug uptake from 3 clinically BE formulations measured in duplicate (n = 14).
- A = Clay Park. B = Ortho (RLD). C = Taro.
- Duplication of measurements improved results.

N'Dri-Stempfer et al., Pharm Res, 2009

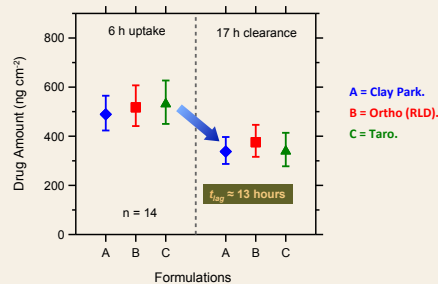
### Econazole clearance from SC



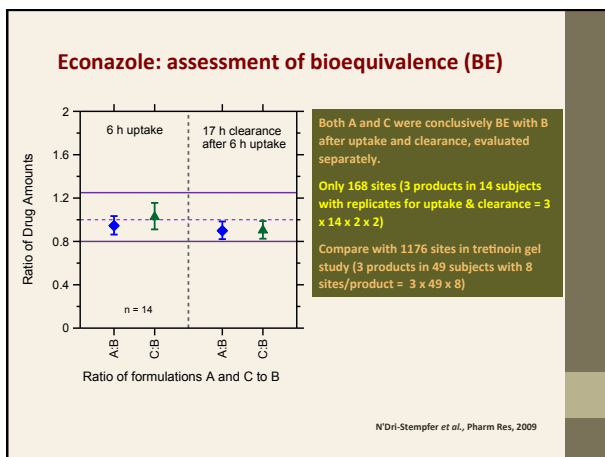
- Drug uptake from 3 clinically BE formulations measured in duplicate (n = 14).
- A = Clay Park. B = Ortho (RLD). C = Taro.

N'Dri-Stempfer et al., Pharm Res, 2009

### Econazole: average drug amounts in SC

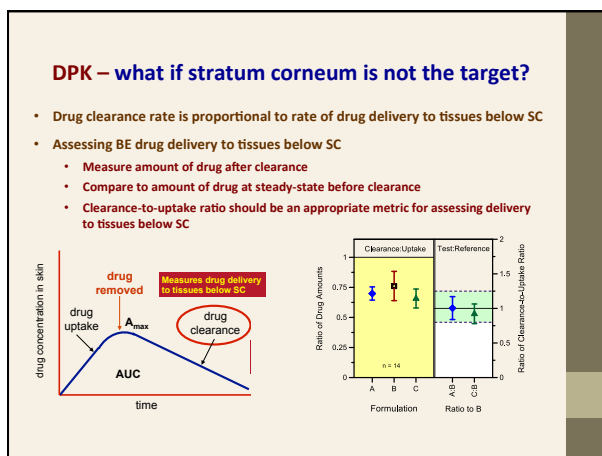


N'Dri-Stempfer et al., Pharm Res, 2009



- ### “Improved” DPK protocol developed for FDA
- Econazole nitrate creams: 2 (BE) generics to RLD
  - 4 treatment groups
    - Duplicate
    - 1 uptake time (6 h) → sufficient drug
    - 1 clearance time (17 h) → sufficient drug and convenient for subjects
  - Unabsorbed drug in drug quantity
    - No change as yet at FDA with respect to DPK, but new collaborative research in progress
  - Determine an drug in SC by removing nearly all of SC
    - Remove SC until TEWL is >8-fold pre-stripping value
    - At least 12 tape strips, but not more than 30
    - Tape stripping area < drug application area (control both areas)
  - BE of uptake and clearance determined separately
  - Analyze tape strips in groups to optimize analytical sensitivity

- ### DPK – current situation?
- Improved tape stripping methods can reliably and efficiently assess BE of topical dermatological products
    - Pharmacokinetic (multiple time points) analysis is unnecessary
    - Will FDA ever accept tape stripping to assess BE?
  - Remaining questions, clarifications and potential improvements
    - What metric should be assessed?
      - Amount, because adjusting drug quantity by SC mass collected does not reduce variability
      - Determination of SC mass collected and SC thickness not required
      - Eliminates inter-subject variability in SC thickness (compare within subject)
    - Applicability if target tissue is not the SC?
    - What uptake time? How many applications? (Wagner, PQRI-2013)
    - Cleaning excess formulation? Inclusion (or not) of first 2 tape-strips? Yes!
- Wiedersberg et al., Eur J Pharm Biopharm, 2009



**Topical BA/BE:**

**FDA project (1U01FD004947-01) update and perspectives; application of novel techniques to improve formulations**


**1. FDA project**

- Betamethasone valerate: DPK on inequivalent formulations ex vivo correlate extremely well with published in vivo (human) data. IVRT does not.
- Econazole: DPK on uptake of equivalent formulations correlates again with published in vivo (human) data. IVRT of 3 formulations are very similar.
- Human PK and DPK studies in man comparing different topical diclofenac and acyclovir formulations in progress. To be correlated with in vitro and IVRT.

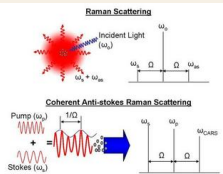
**2. Related research**

- Application of novel technology (Raman scattering microscopy) to better understand formulation behaviour post-application to the skin.
- Controlling this "metamorphosis" to guide improved formulation conception and development.

### Coherent anti-Stokes Raman Scattering (CARS)



C. V. Raman  
(Nobel Prize 1930)



Pump-field is inelastically scattered off molecular vibrations of sample, generating new, red-shifted field components at the Stokes frequencies  $\omega_s = \omega_i - \Omega$

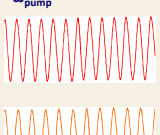
Unlike spontaneous Raman, CARS produces a highly directional field. Two excitation beams ( $\omega_p$  and  $\omega_s$ ) form a beating field with frequency  $\omega_p - \omega_s$ .

When  $\omega_p - \omega_s$  matches  $\Omega$ , all molecules within the interaction volume vibrate in-phase.


<http://newton.ex.ac.uk/research/biomedical/multiphoton/advantages/cars.html>

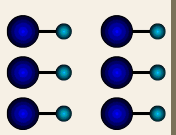
### Coherent Raman Scattering

$\omega_{\text{pump}}$



Beating at  $\omega_{\text{pump}} - \omega_{\text{Stokes}}$





Stimulated excitation of coherent molecular vibration

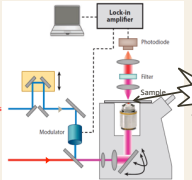
$\omega_{\text{pump}} - \omega_{\text{Stokes}} = \omega_{\text{vib}}$

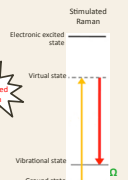
VOLUME 82, NUMBER 20      PHYSICAL REVIEW LETTERS      17 MAY 1999

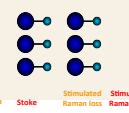
**Three-Dimensional Vibrational Imaging by Coherent Anti-Stokes Raman Scattering**  
Andreas Zumbusch,\* Gary R. Holtom, and X. Sunney Xie†  
Pacific Northwest National Laboratory, P.O. Box 998, Richland, Washington 99352  
(Received 9 December 1998)

A multiphoton microscopy based on coherent anti-Stokes Raman scattering is accomplished with non-mirrored stimulated laser pulses. We demonstrate vibrational imaging of chemical and biological samples with high sensitivity, high spatial resolution, narrow resonances, and three-dimensional sectioning capability. [5051-9007/99/09110-3]

### Stimulated Raman Scattering

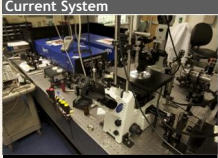







- Images the specific chemical bond of interest
- Signal is linearly proportional to concentration of target molecule
- Information on penetration depth and pathways of multiple components of a formulation

**Current System**



- Price: High
- Robustness: Requires optical table
- Weight: 200kg
- Cooling: Water-cooling
- Power-consumption: High

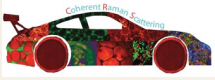
**All-Fiber Laser**



- Price: economy of scale of the telecom industry
- Robustness: no adjustment after splicing
- Weight: <2kg
- Cooling: Air-cooling
- Power-consumption: Battery possible

C. Freudiger & Sunney Xie  
Invenio Imaging, Inc. & Harvard University

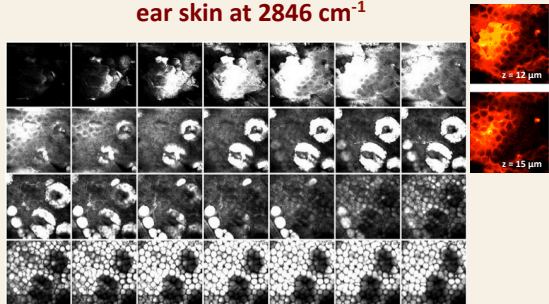
### Advantages of CRS



- Raman resonance enhancement provides chemical selectivity without need for labelling.
- There is little scattering of the near-infrared excitation beams, allowing deep penetration in tissues.
- Due to anti-Stokes shift, CARS signal is of shorter wavelength than one-photon fluorescence.
  - allows detection in presence of a strong fluorescent background.
- Coherent addition of CARS fields generates a large signal.
- Nonlinear dependence on excitation intensities -> inherent 3D resolution.
- Low absorption of near-infrared excitation beams significantly reduces photodamage in biological samples.

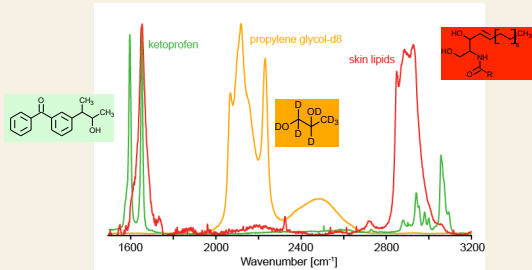
<http://newton.ex.ac.uk/research/biomedical/multiphoton/advantages/cars.html>

### SRS $\text{CH}_2$ images of mouse ear skin at $2846 \text{ cm}^{-1}$



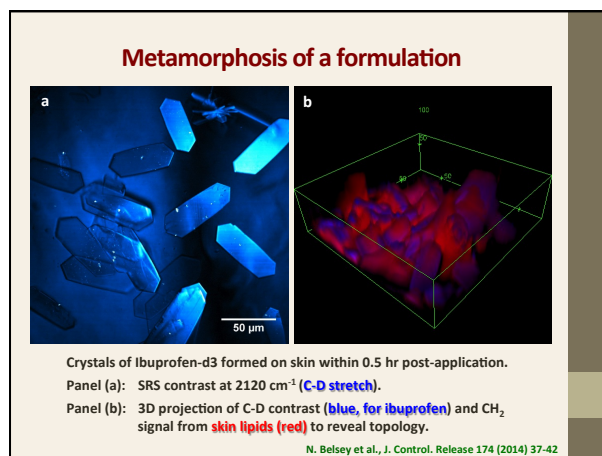
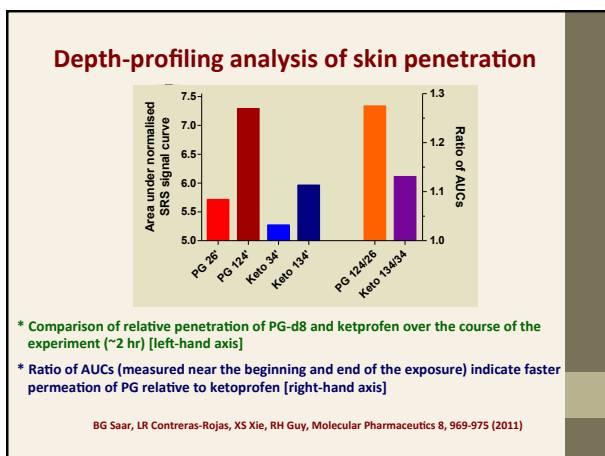
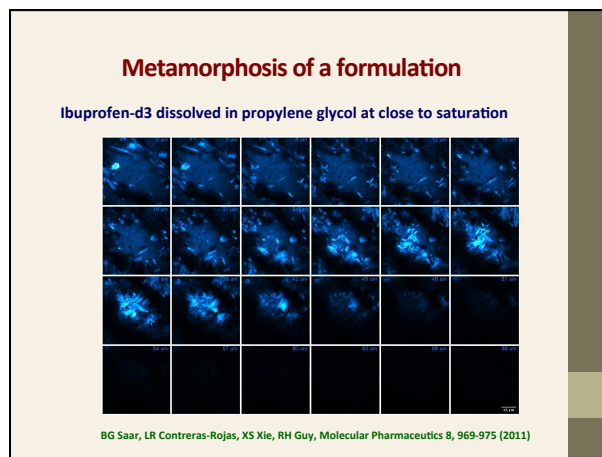
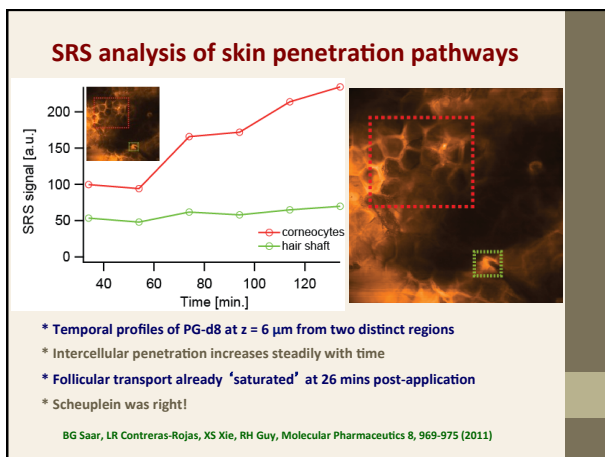
BG Saar, LR Contreras-Rojas, XS Xie, RH Guy, Molecular Pharmaceutics 8, 969-975 (2011)

### Raman spectra of key chemical species



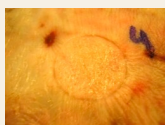
SRS contrast is based on spontaneous Raman spectra, which are used to determine optimal excitation wavelengths:  $1599 \text{ cm}^{-1}$ ,  $2120 \text{ cm}^{-1}$  and  $2845 \text{ cm}^{-1}$  report on ketoprofen, deuterated PG and skin lipids, respectively.





### Polymeric film-forming systems (FFS)

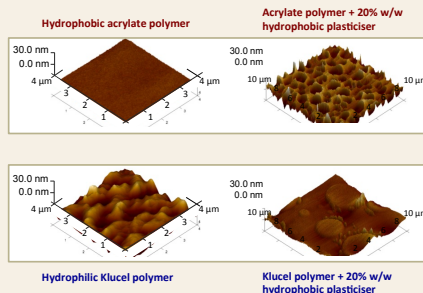
- Film Forming System (FFS): drug, polymer, solvent + plasticizer/lipid.
- A polymeric solution is applied to the skin and forms a thin, transparent and flexible film on the skin.



#### Advantages over conventional dosage forms:

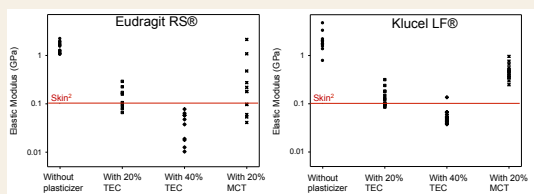
- Cosmetically acceptable**
  - invisible and flexible on the skin.
- Application convenience**
  - short drying time (<5 min) and rub off resistance
- Less frequent dosing**
  - prolonged and/or increased delivery

### Atomic force microscopy (AFM) - imaging



H. Garvie-Cook, K. Frederiksen et al. J. Control. Release (2015) in press.

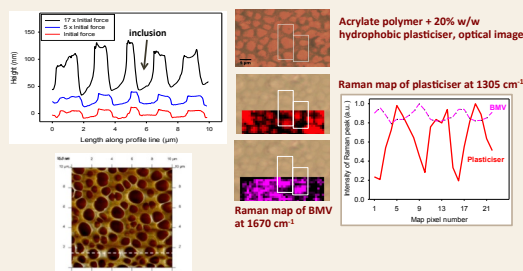
### Nanoindentation to determine elastic modulus



- Elastic modulus decreases with increasing plasticizer content
- Permits elastic modulus of FFS to be matched to that of skin
- Further work undertaken with MCT

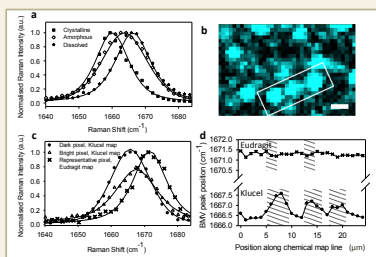
H. Garvie-Cook, K. Frederiksen et al. J. Control. Release (2015) in press.

### Polymeric film-forming systems - AFM and Raman mapping



H. Garvie-Cook, K. Frederiksen et al. J. Control. Release (2015) in press.

### Physical state of BMV in acrylate and hydrophilic polymers with MCT plasticiser



- BMV appears to be “dissolved” in the acrylate polymer, but in the solid state in the hydrophilic, Klucel FFS
- Skin uptake of BMV is greatest from acrylate FFS with MCT

H. Garvie-Cook, K. Frederiksen et al. J. Control. Release (2015) in press.

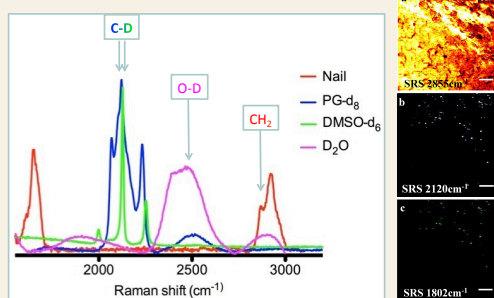
### The challenge of delivering actives to the nail

- Compact structure
- 80 – 90 layers of dead keratinized cells
- S-S bond
- Hard keratins
- Low lipid content 0.1-1%

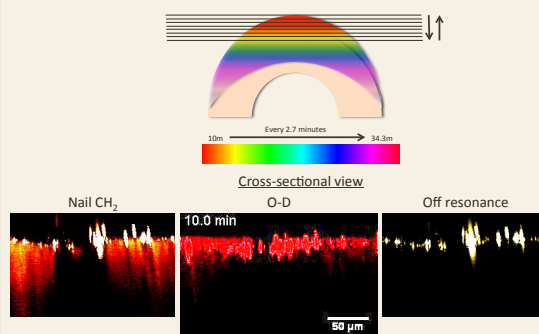
\* Fungal infections  
\* Nail psoriasis

### Solvent permeation into the nail

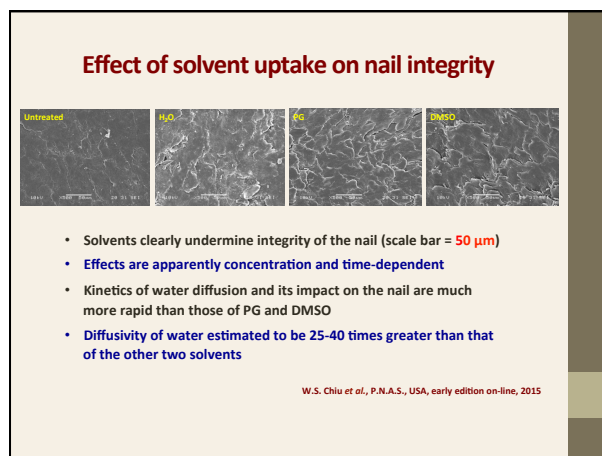
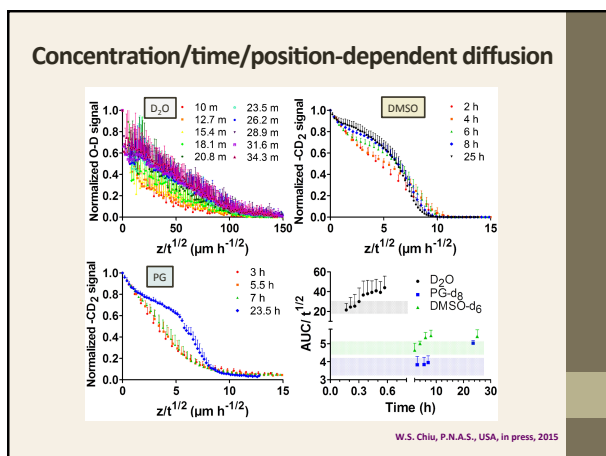
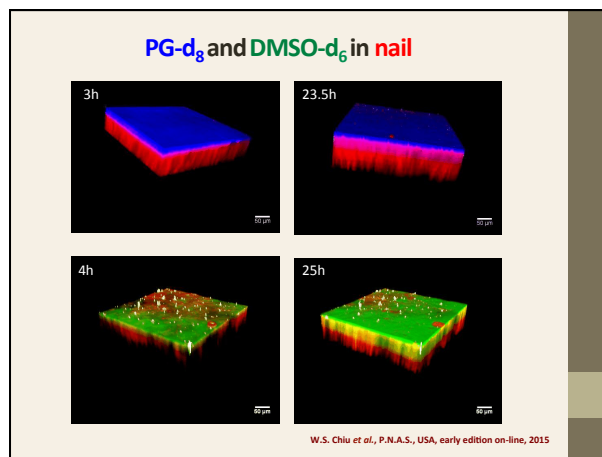
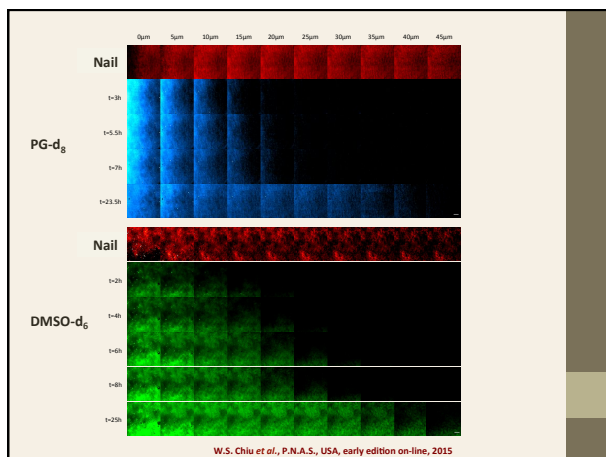
- Deuterated solvents used to achieve image contrast
- SRS has identical signal output to spontaneous Raman



### Absorption of D<sub>2</sub>O

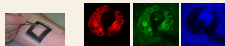


W.S. Chiu et al., P.N.A.S., USA, early edition on-line, 2015



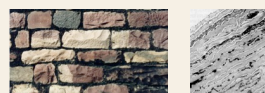
### Key questions

- What are the important rules that must be applied to select the most suitable compound to develop for topical delivery?
  - the potency/permeability conundrum
  - "Lipinski's rules" for skin?
- Determining bioavailability of topically applied actives for local effect in, or just below the skin?
  - current approaches
  - high-tech Raman imaging
- Are there clear benefits for the application of novel technologies to provide new opportunities for delivery of actives to the skin?
  - poration approaches
  - nano-vectors



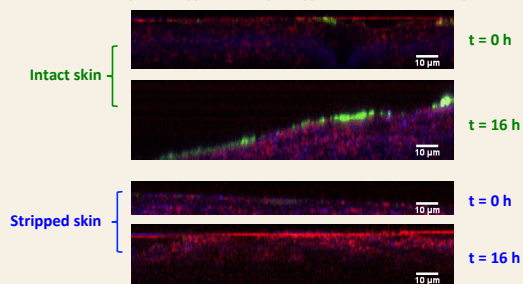
### Enhancing transdermal transport

- Passive diffusion of molecules > 1000 da is very inefficient
- Skin's principal function is to provide a barrier
- Efficient transdermal transport ⇒ enhancement technology
  - which acts on the molecule
    - iontophoresis
  - which acts on the barrier
    - microneedles, microporation
    - ultrasound
    - other 'permeabilization' approaches (e.g., high-velocity particles)
  - which involves novel formulation
    - liposomes, nanoparticles, or other carrier/targeting moiety, or enhancers



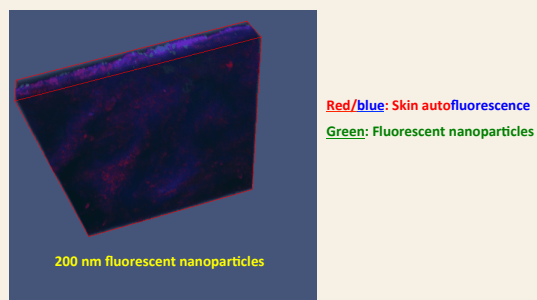
### Nanoparticles do not penetrate intact (or even partially compromised) skin!

20 nm fluorescent particles applied to 4x tape-stripped skin for 16 hours -> no penetration!



C. Campbell, L.R. Contreras-Rojas et al., J. Control. Release, 162: 201-207 (2012).

### Reconstructed 3-D confocal stack



C. Campbell, L.R. Contreras-Rojas et al., J. Controlled Release 162 (2012) 201-207

### Microneedle poration of skin

**1<sup>st</sup> example examined: metal μneedle arrays, 350 and 125 μm.**

**350 μm microneedle array applied to porcine skin.**  
 Exposure to Nile Red for 5 minutes, then imaged at 543 nm by confocal microscopy (monochrome panel is light reflectance). Tile size = 900 x 900 μm<sup>2</sup>.

N. Belsey et al., J. Control. Release 174 (2014) 37-42

### Microneedle poration of skin

**CH<sub>2</sub> contrast: nanoparticles remaining in hole created by microneedle.**

Microneedles coated with fluorescent particles.  
 Green = TPF. Blue = SRS retinol  
 Red = SRS skin lipids

Belsey et al. unpublished data

### Fluorescently-labelled polycaprolactone (PCL) nanoparticles containing octyl-methyl cinnamate (OMC)

Average nanoparticle diameter ~145 nm  
 Polydispersity index = 0.24  
 OMC encapsulation efficiency ~90%  
 [OMC] = 23 mg/mL

Fluorophore = TAPP

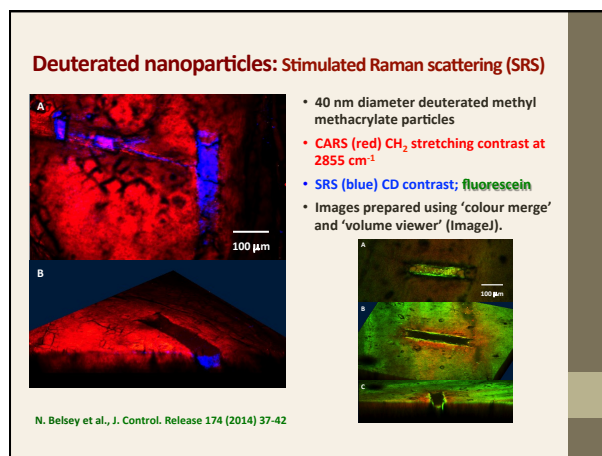
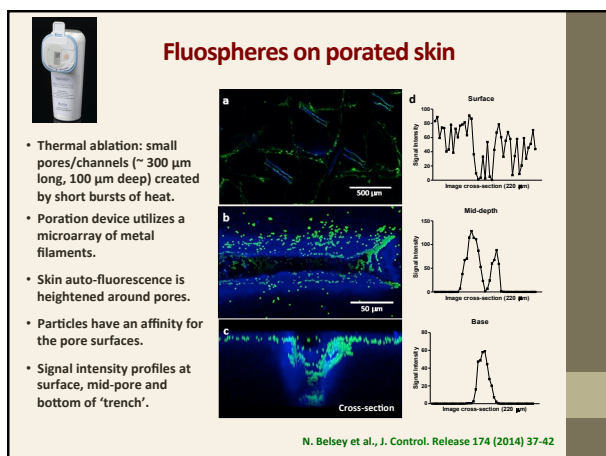
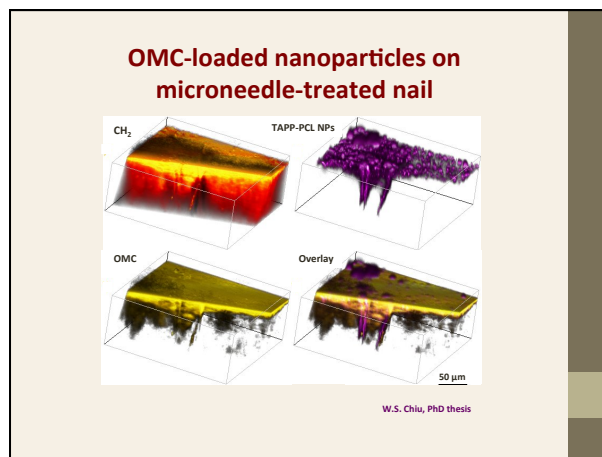
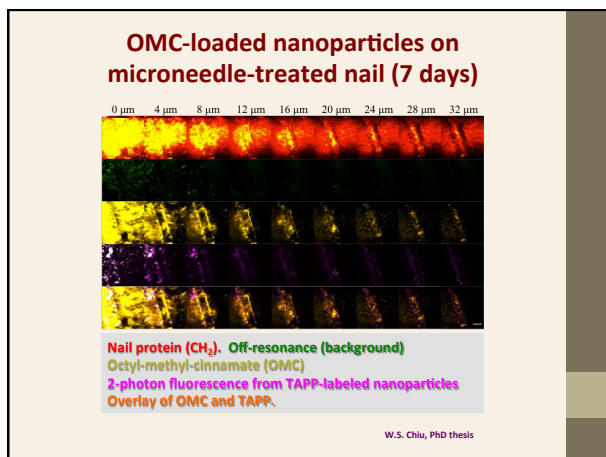
Nanoparticles tracked by 2-photon fluorescence  
 OMC at 1601 cm<sup>-1</sup>; -CH<sub>2</sub> signal from nail at 2901 cm<sup>-1</sup>

W.S. Chiu, PhD thesis

### OMC-loaded nanoparticles on microneedle-treated nail (2 hours)

Nail protein (CH<sub>2</sub>). Off-resonance (background)  
 Octyl-methyl-cinnamate (OMC)  
 2-photon fluorescence from TAPP-labeled nanoparticles  
 Overlay of OMC and TAPP.

W.S. Chiu, PhD thesis



### Controlled skin poration with femtosecond laser pulses



Dye-enhanced, femtosecond pulsed, visible laser radiation, delivered from a hollow core negative curvature fibre, porates mammalian skin.

**Ink application to skin surface lowers power required for poration and results in significantly less thermal damage to tissue surrounding pores.**

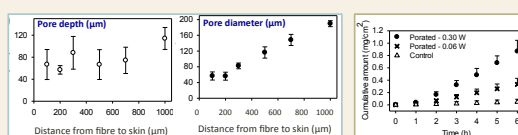
Mechanism attributed to initiation of plasma formation by thermionic electron emission from ink.

H. Garvie-Cook, J.F. Stone, F. Yu, R.H. Guy & S.N. Gordeev, unpublished results.

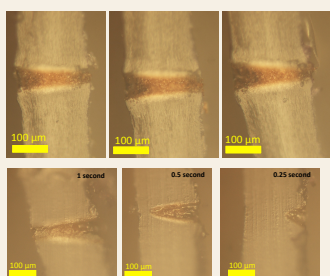
### Controlled skin poration with femtosecond laser pulses

- Ytterbium doped fiber laser (Fianium), wavelength = 1064 nm, pulse duration = 5 ps, repetition rate = 20.
- Pulses compressed and frequency doubled in Li triborate crystal.
- Resulting laser beam had wavelength = 532 nm and pulse duration = ~300 fs. Camera shutter used to expose skin to laser for 1 s.

H. Garvie-Cook, J.F. Stone, F. Yu, R.H. Guy & S.N. Gordeev, unpublished results.



### Laser poration of the nail



Cross-sections of inked nails, 130 mW power for 1 s. Reproducible pore formation. 'Collateral' damage apparent.

Decreasing exposure time reduces depth of pores formed. 'Collateral' damage decreased.

### Conclusions

- Novel, non-invasive imaging techniques may (semi-) quantify drug delivery into and through skin.
  - "metamorphosis" of formulations post-application
  - potential to improve topical formulations and optimize drug bioavailability.
- "Large" molecules and objects cannot penetrate an intact skin barrier.
  - nanoparticles as sustained release reservoirs on skin surface, in hair follicles?
  - skin poration approaches – a way forward?
  - what about the "gold standard" of a needle + syringe?

